

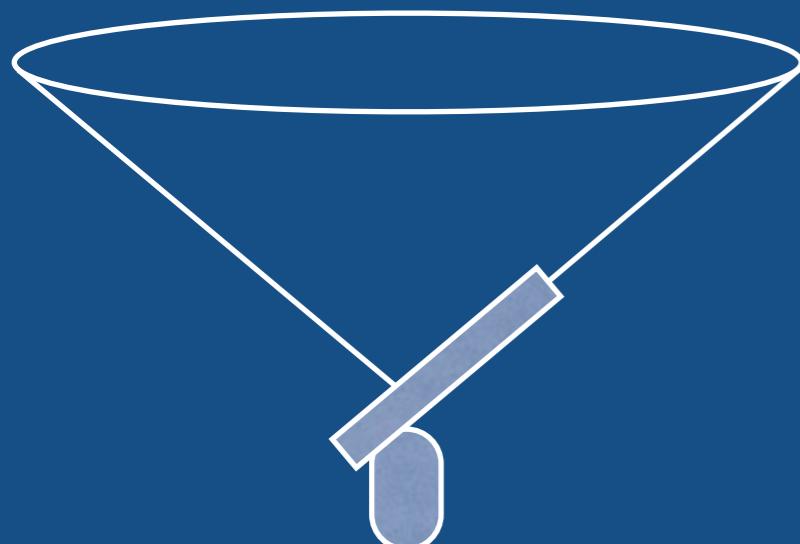
Laboratory Evaluation of the AERONET and GRASP Retrieval Algorithms

G.L. Schuster¹, R. Espinosa², L. Ziembra¹, A. Beyersdorf¹, A. Rocha-Lima^{2;3}, B. Anderson¹, J. V. Martins², O. Dubovik⁴, F. Ducos⁴, D. Fuertes⁵, T. Lapyonok⁴, M. Shook¹, Y. Derimian⁴, R. Moore¹

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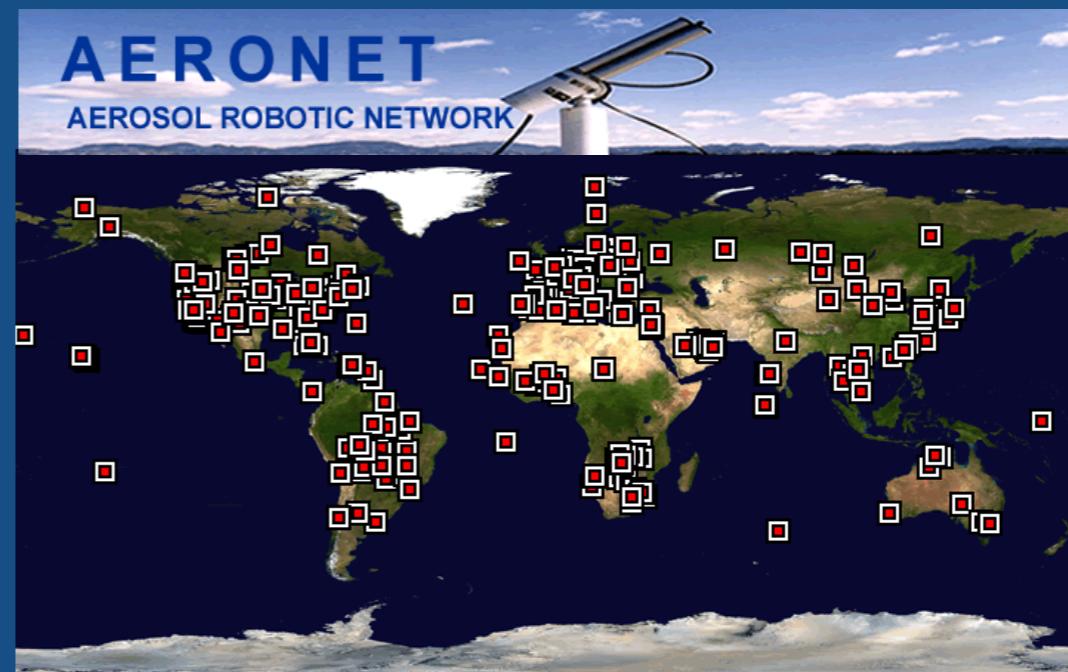


AERONET Cimel
angular dependence of scattering



Brent Holben, PI
Products

- Size Distribution
- Complex Refractive Index
- SSA



Brent Holben
P.I.
GSFC

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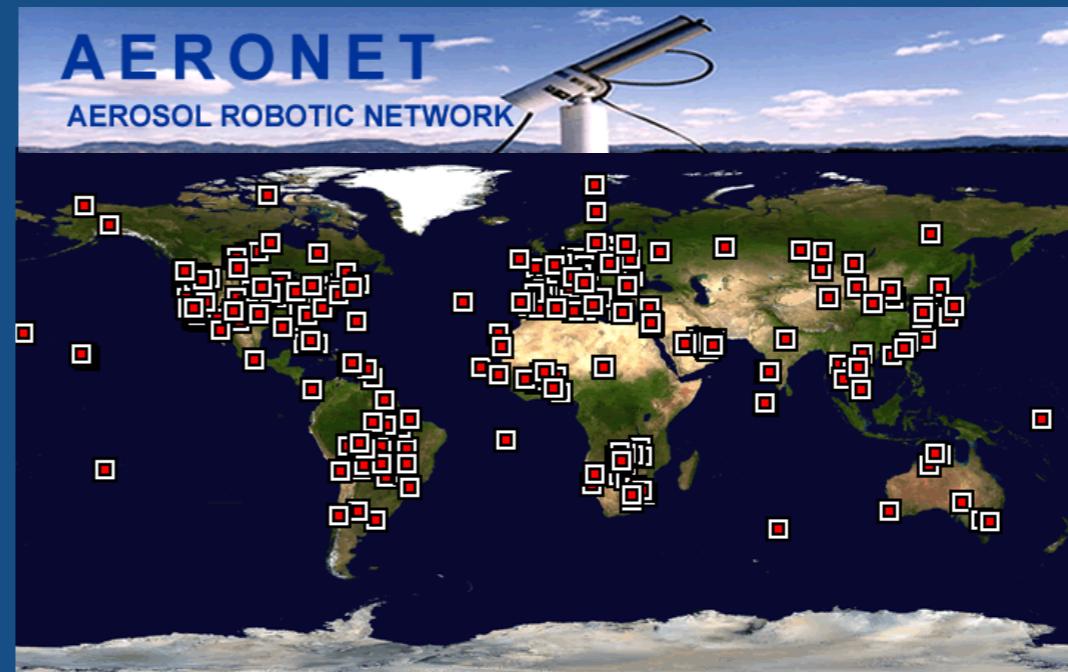


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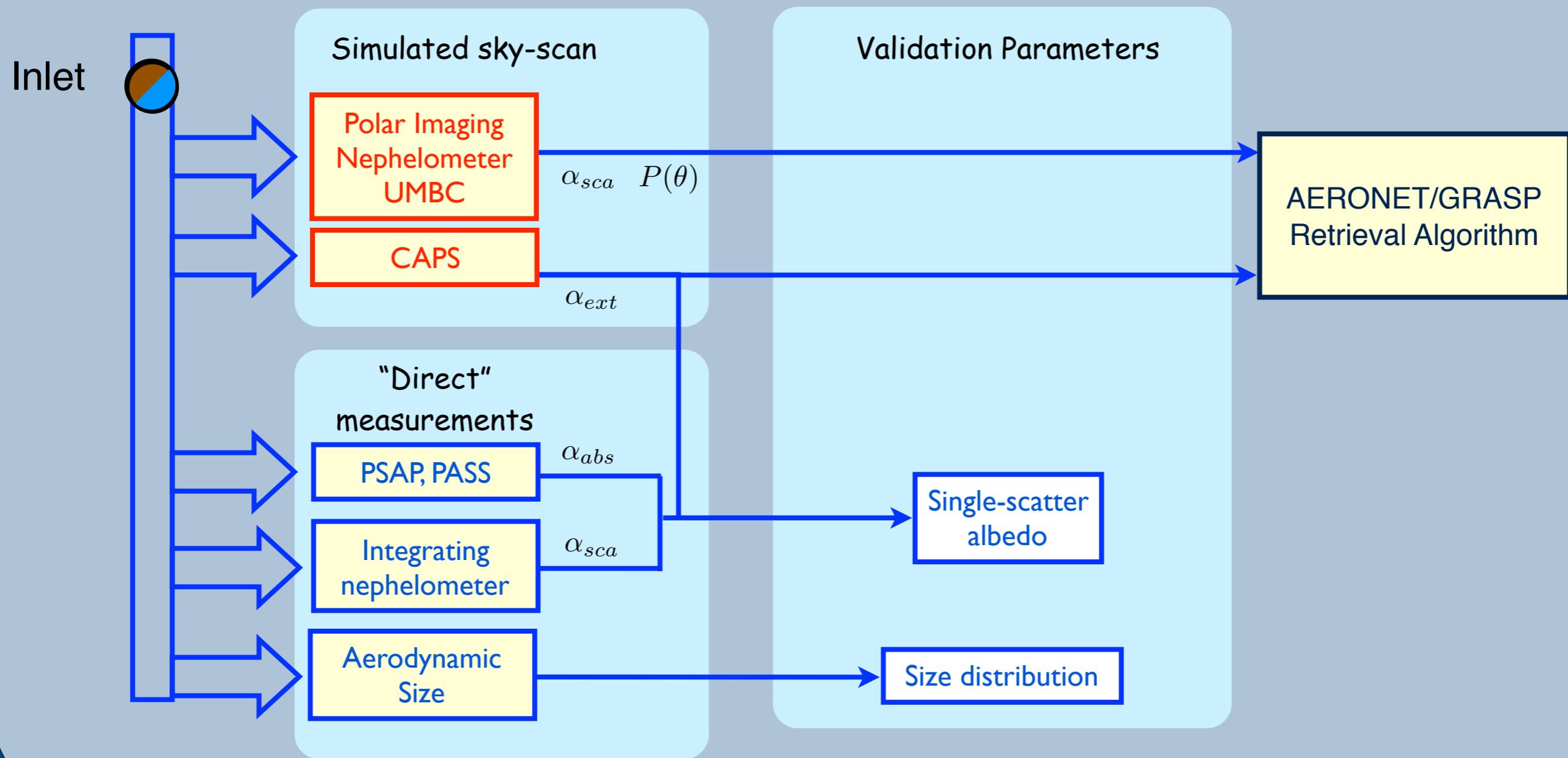
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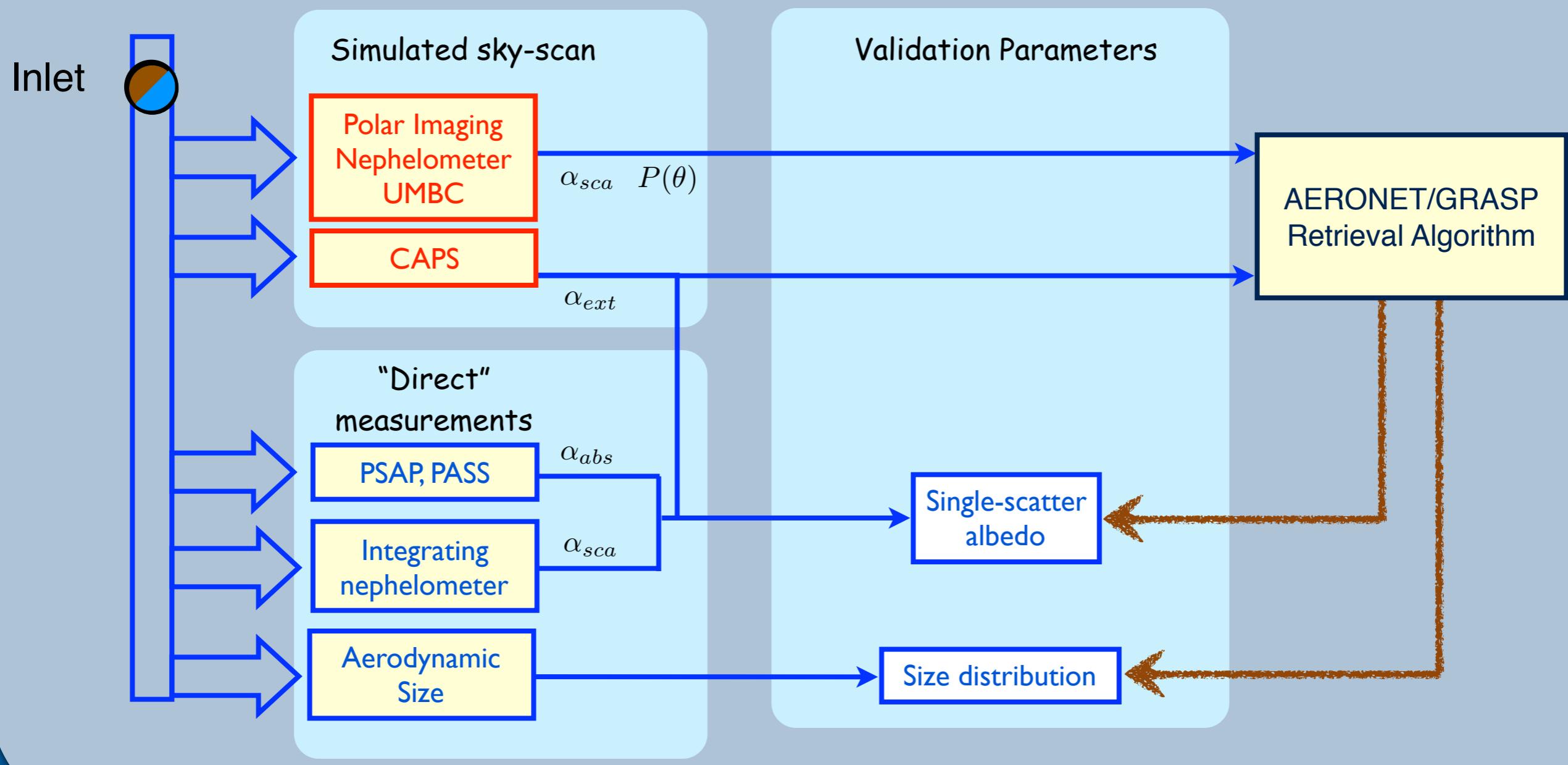
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Simplified Schematic



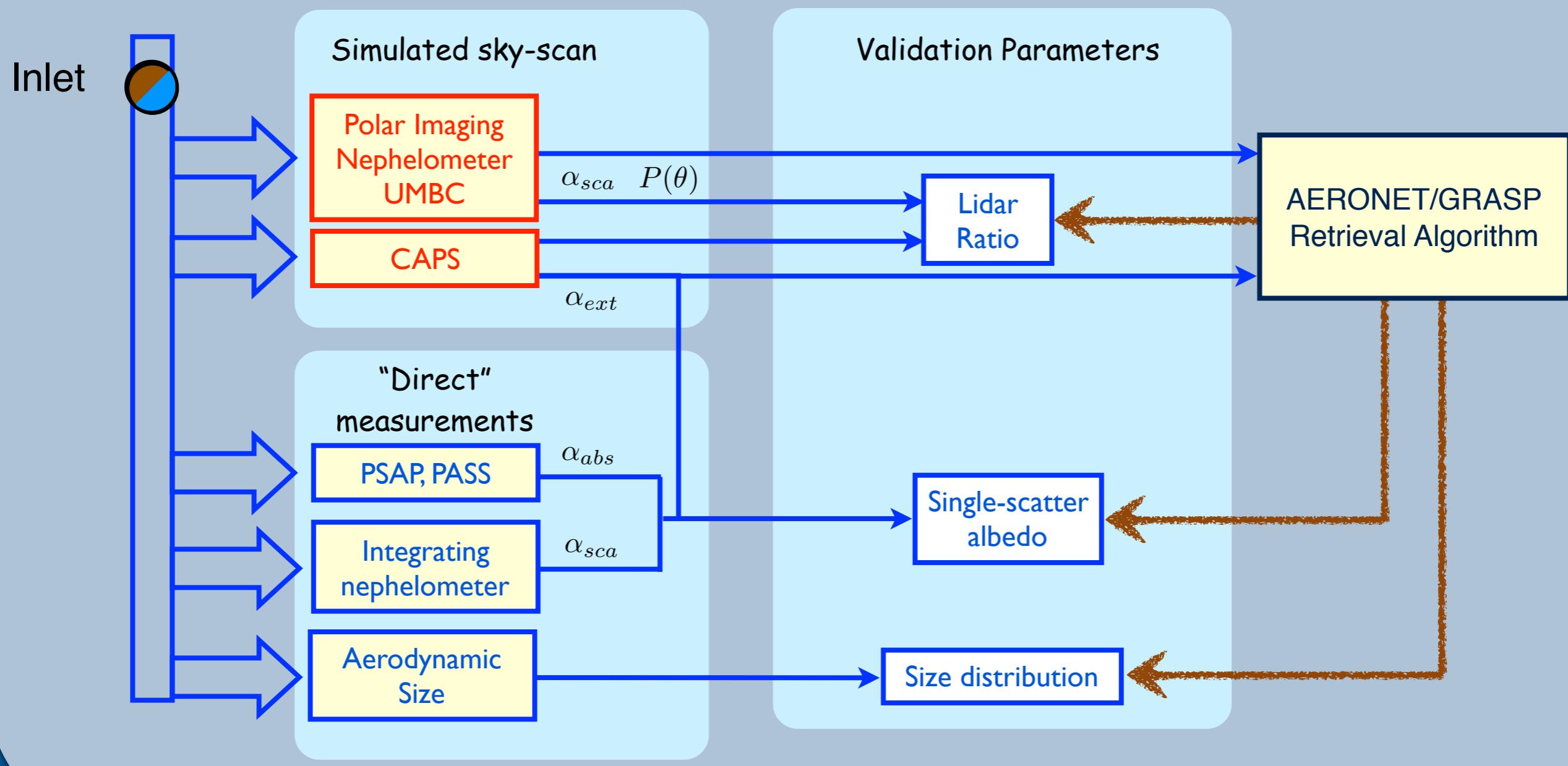
CAPS: Cavity Attenuated Phase Shift monitor
PSAP: Particle Soot Absorption Photometer
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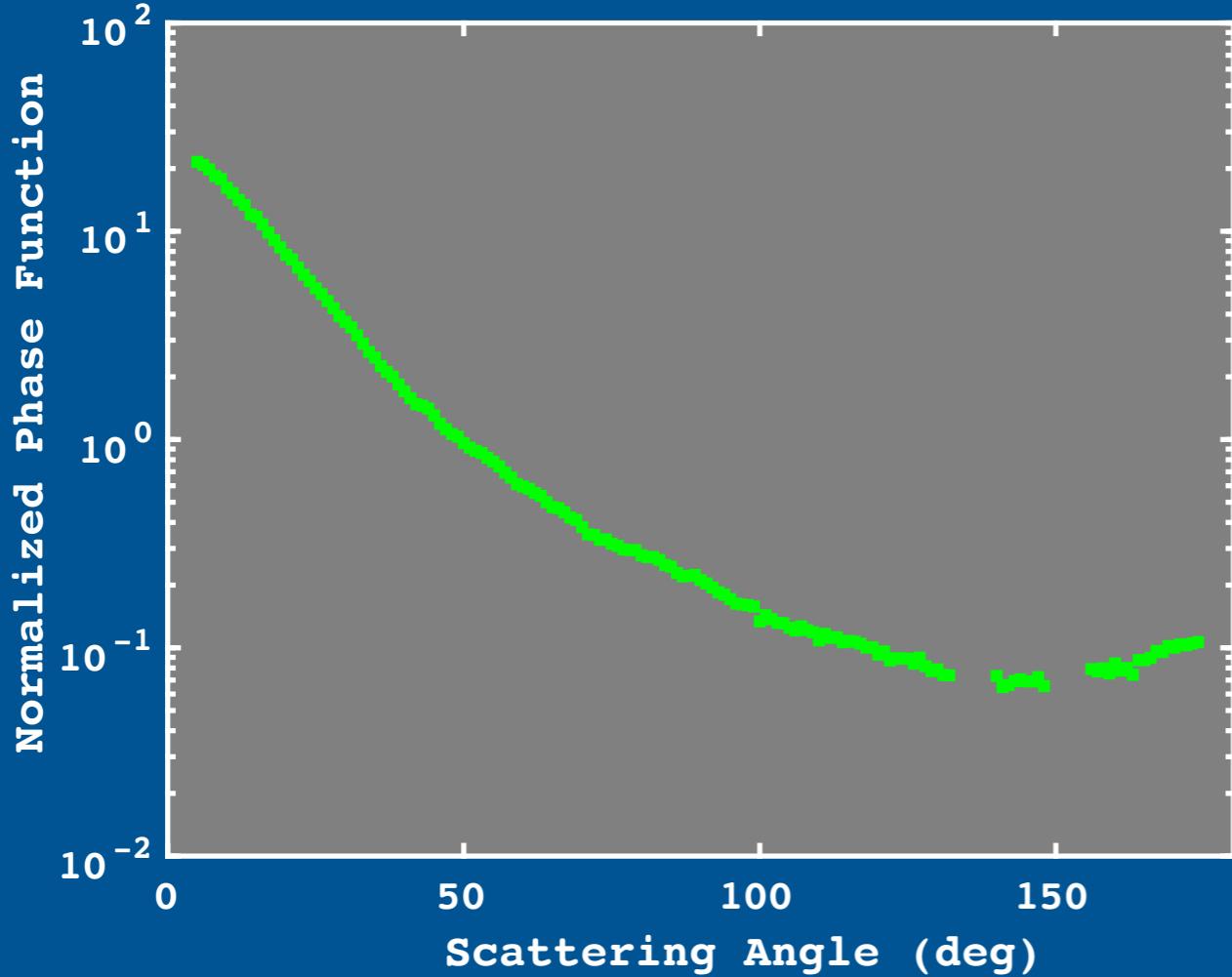
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Subsampling PI-Neph to match AERONET measurement angles

- AERONET robot pauses for measurements at fixed specified azimuth angles, ϕ .
- Thus, scattering angles (Θ) are determined by the solar zenith angle (θ_o) and ϕ .

$$\cos \Theta = 1 - \sin^2 \theta_o (1 - \cos \phi)$$

193: Mt. St. Helens, PM1



Simulating AERONET with GRASP

- Input radiances only considered at AERONET scattering angles.
 - Real refr. index range: 1.33 – 1.6
 - Imag. refr. index range: 0.0005 – 0.5
 - Radius range: 0.05 – 15 um (22 bins)
 - Residuals less than 8%

Some Inconsistencies

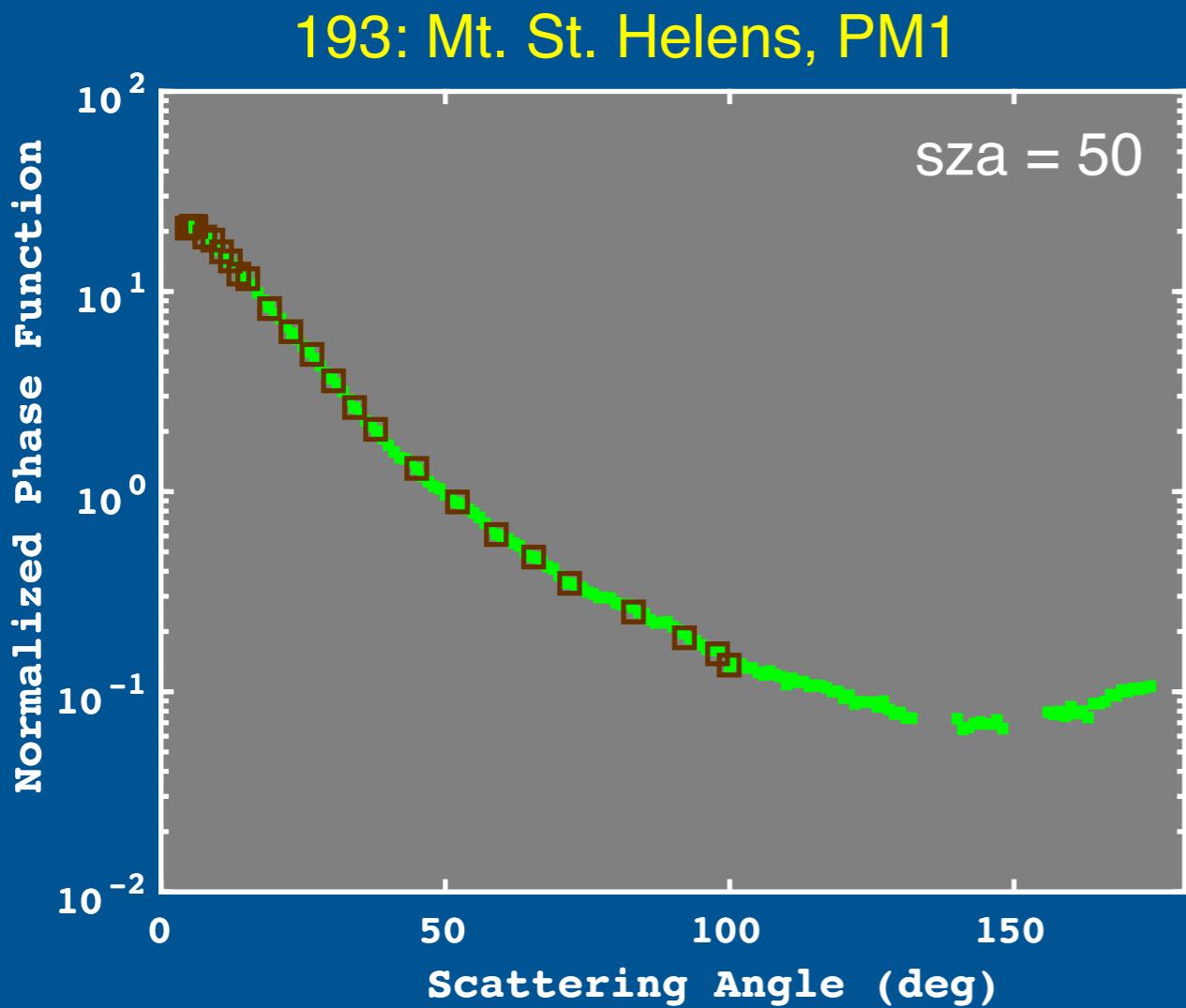
- PI-Neph wavelengths different than AERONET: 473, 532, 671 nm vs 440-870
- Instrument sensitivities
- No multiple scattering

"Necessary but not sufficient" experiment

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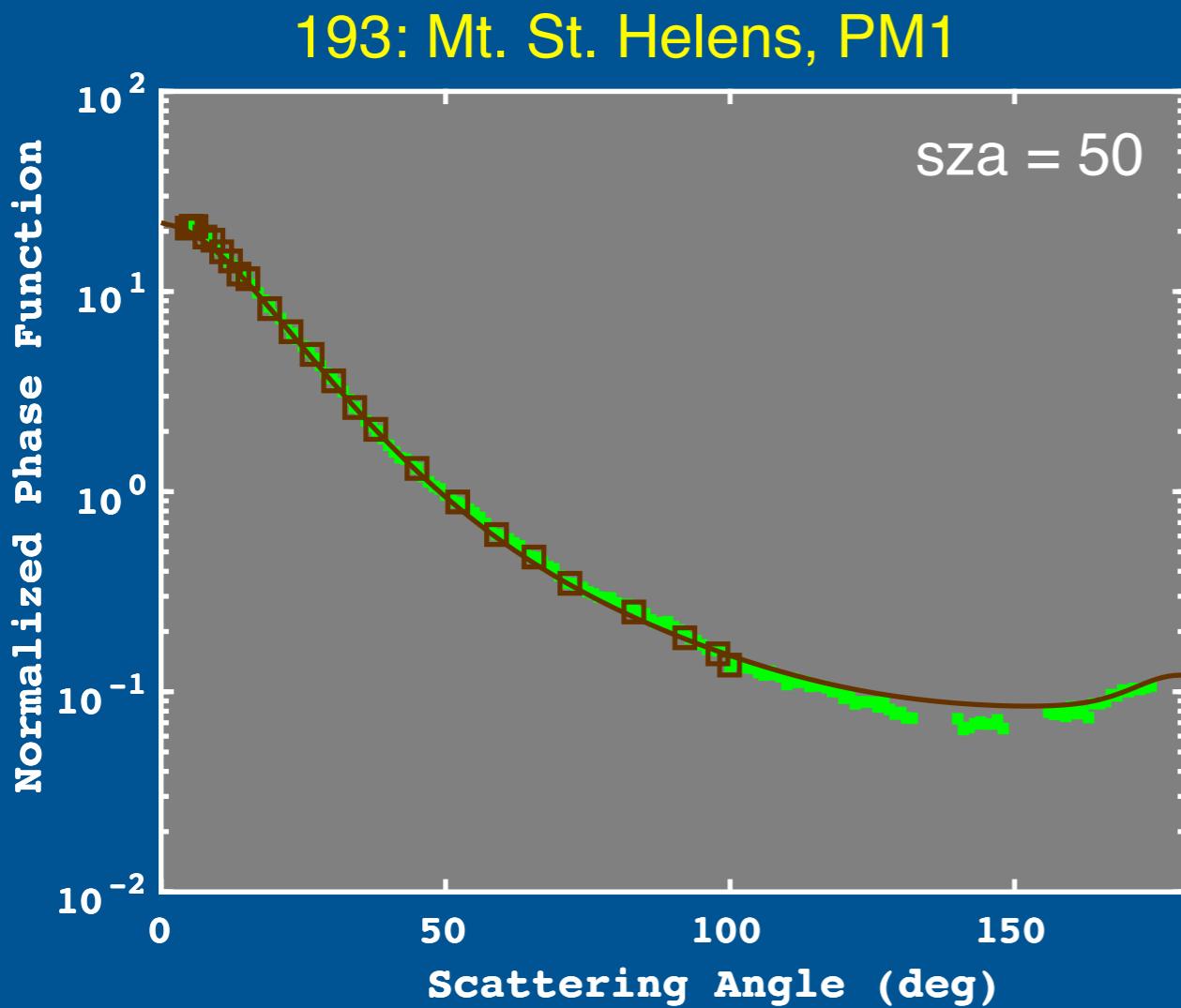
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Some Inconsistencies

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"Necessary but not sufficient" experiment

Tested 285 samples

Tests include humidified and dried runs for both PM1 and PM2.5.

Minerals

Hectorite
Hematite
Arizona Test Dust
Cambrianshale Imt-2
Saz-2 Ca-rich Montmorillonite
Illite-smectite
Na-Montmorillonite
Montmorillonite, STx-1b
Montmorillonite SCa-3
Israel, Negev Desert
Senegal
Ripidolite CCa-2
Palygorskite
Arginotec NX Europe
A1 ultrafine test dust
Silica Dust

Artist Pigments

Lemon Ocher
Yellow Ocher Light
Blue Ridge Hematite
Brown Ocher (Goethite)
Nicosia Yellow Ocher
Ambrogio Yellow Earth

Volcanic Ash

Mt. St. Helens
Fuego Volcano
Pinatubo
Iceland Volcano
Mt. St. Helens
Puyehue
Spurr
Gulagong

Soot

Ashrae #2
120 nm soot
105 nm Soot
60 nm soot
25 nm soot
70 nm soot
Fullerene soot

Spheres

600 nm PSL
900 nm PSL
100 nm PSL

Standards

Ammonium Sulfate
Ammonium Nitrate
Adipic Acid

Mixtures

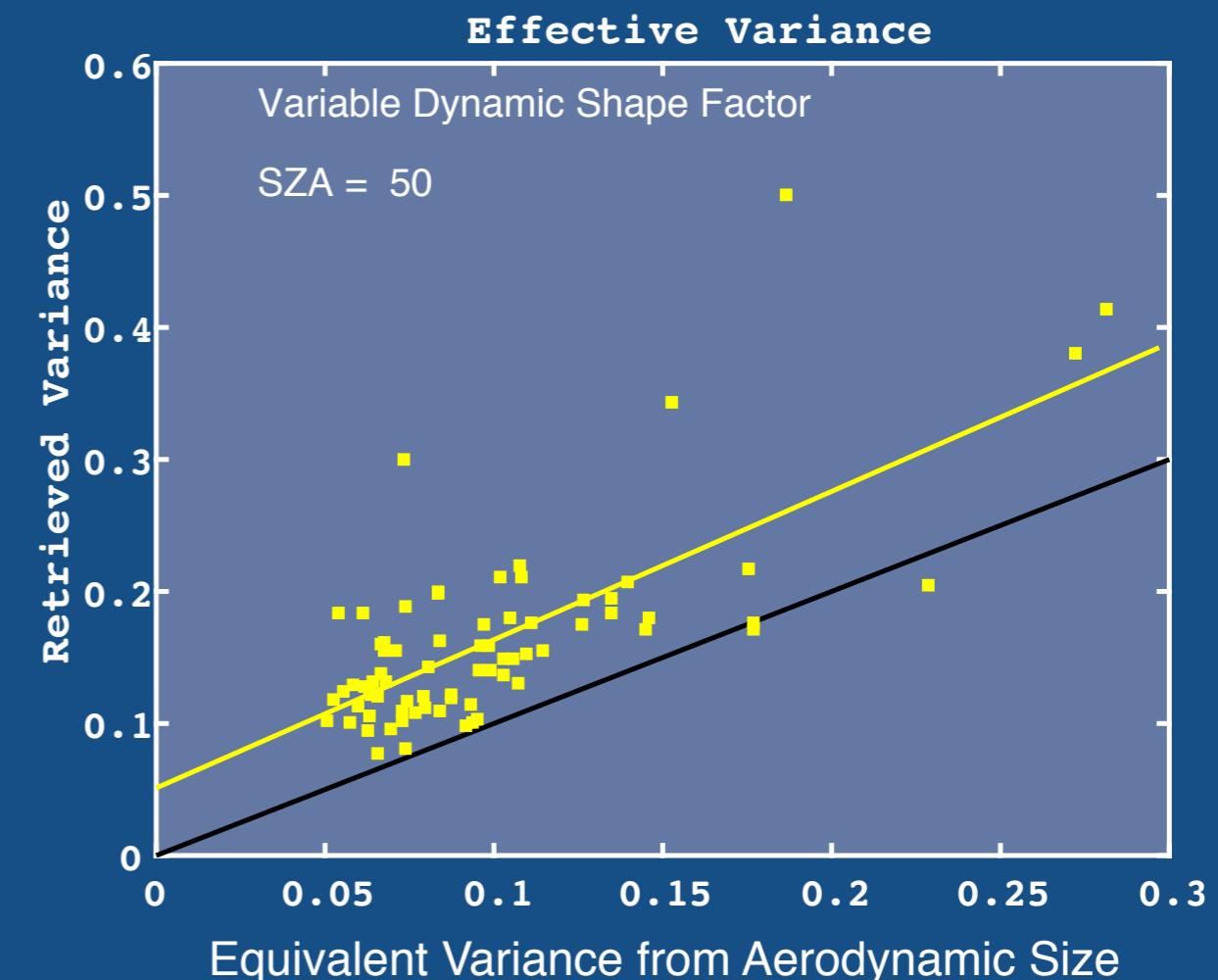
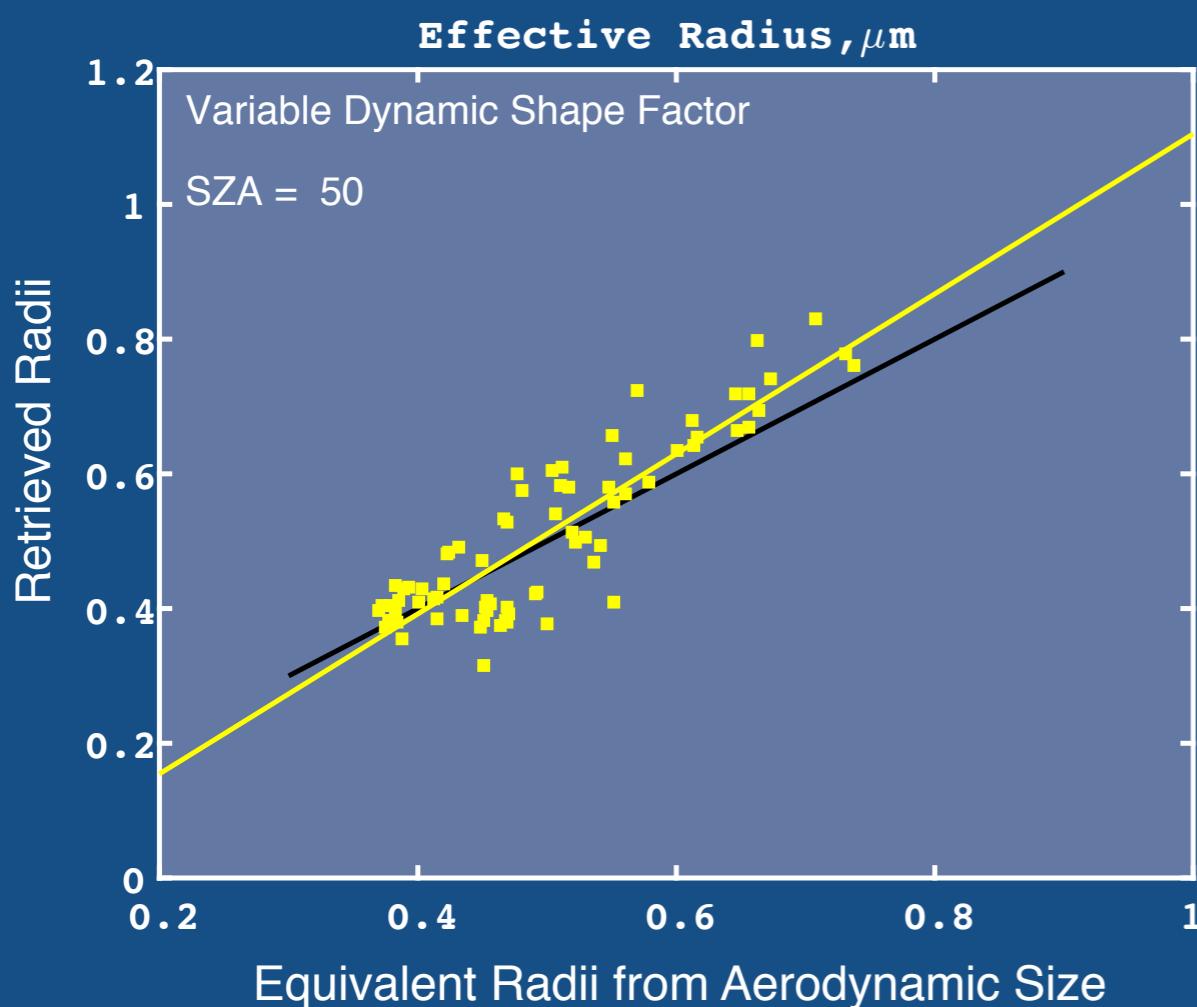
Mont STx + 5% Goethite (by mass)
Mont STx + 10% Goethite (by mass)
Amm Sulf + Goethite (9–26% of scat)
Amm Sulf + Amborgio Yellow Earth (11-30% of scat)
Amm Sulf + Italian Yellow Earth (11-38%)
Amm Sulf + Soot (0.78–0.97 SSA)
Internal Silica+AS
Internal Silica+fullerene
Internal Hematite+AS
Internal Goethite+AS
Internal Goethite+AS
Internal Hematite+AS
AS + Soot - 0.87–0.98 SSA
AN/Full_Int #1 + 7-15% Arginotec
AN/Full_Int #2 + 9-17% Mont. Sca-3
Mont. STx, 150–1000 Mm-1
Mont. STx, APS=0.63, 19LPM
Mont. STx, APS=0.73, 12LPM
Mont. STx, APS=0.94, 8LPM
Mont. STx, APS=1.38, 5LPM
Mont. STx, APS=1.57, 2LPM
600 (60/Mm) + 900 nm (100/Mm) PSL
600 (110/Mm) + 900 nm (100/Mm) PSL
Mont. SCa-3 + Amm. Nit. (~61%)
Mont. SCa-3 + Amm. Nit. (9–80%)
Fullerene + Amm. Nit. (external, 0.86–0.96 SSA)
Silica + Fullerene
Silica + AS (Ext, 16% Dust)
Blue Ridge Hematite + AS (Ext, 19% Dust)
Blue Ridge Hematite + AS (Ext, 16% Dust)
Arginotec + AS (Ext, 24% Dust)
Arginotec + AS (Ext, 21% Dust)
AN+Full (Ext, SSA = 0.92)
Argintoc + AN/Full_Ext (18% Dust, SSA = 0.92)
Mont. Sca-3 + AN/Full_Ext (18% Dust, SSA = 0.92)
Mont. Sca-3 + AN/Full_Ext (18% Dust when dry, SSA = 0.92)
Argintoc + AN/Full_Ext (18% Dust when dry, SSA = 0.92)

Comparing Retrieved Size Distributions to Aerodynamic Size

Evaluate size distribution retrievals using the effective variance and effective radius.

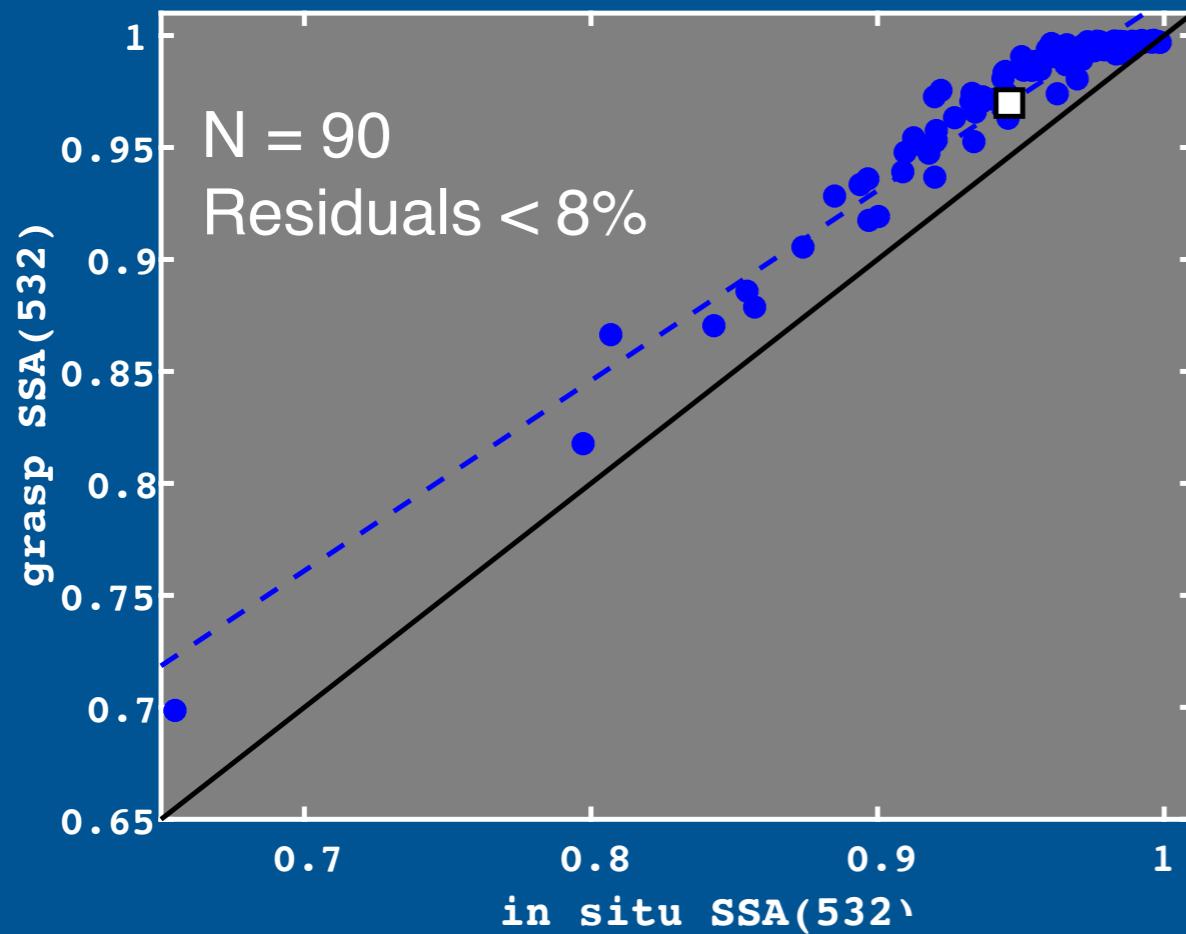
$$r_{eff} = \frac{\int r \times \pi r^2 n(r) dr}{\int \pi r^2 n(r) dr}$$

$$v_{eff} = \frac{\int (r - r_{eff})^2 \times \pi r^2 n(r) dr}{r_{eff}^2 \int \pi r^2 n(r) dr}$$



| | slope | intcpt | cc | Absolute Bias | Relative Bias (%) | RMS | N | SZA | DSF |
|-------|--------|---------|--------|---------------|-------------------|--------|----|-----|------|
| R_eff | 1.1876 | -0.0830 | 0.8796 | 0.0108 | 1 | 0.0566 | 74 | 50 | vrbl |
| V_eff | 1.1231 | 0.0512 | 0.7161 | 0.0634 | 116 | 0.0710 | 74 | 50 | vrbl |

Single Scatter Albedo



PSAP

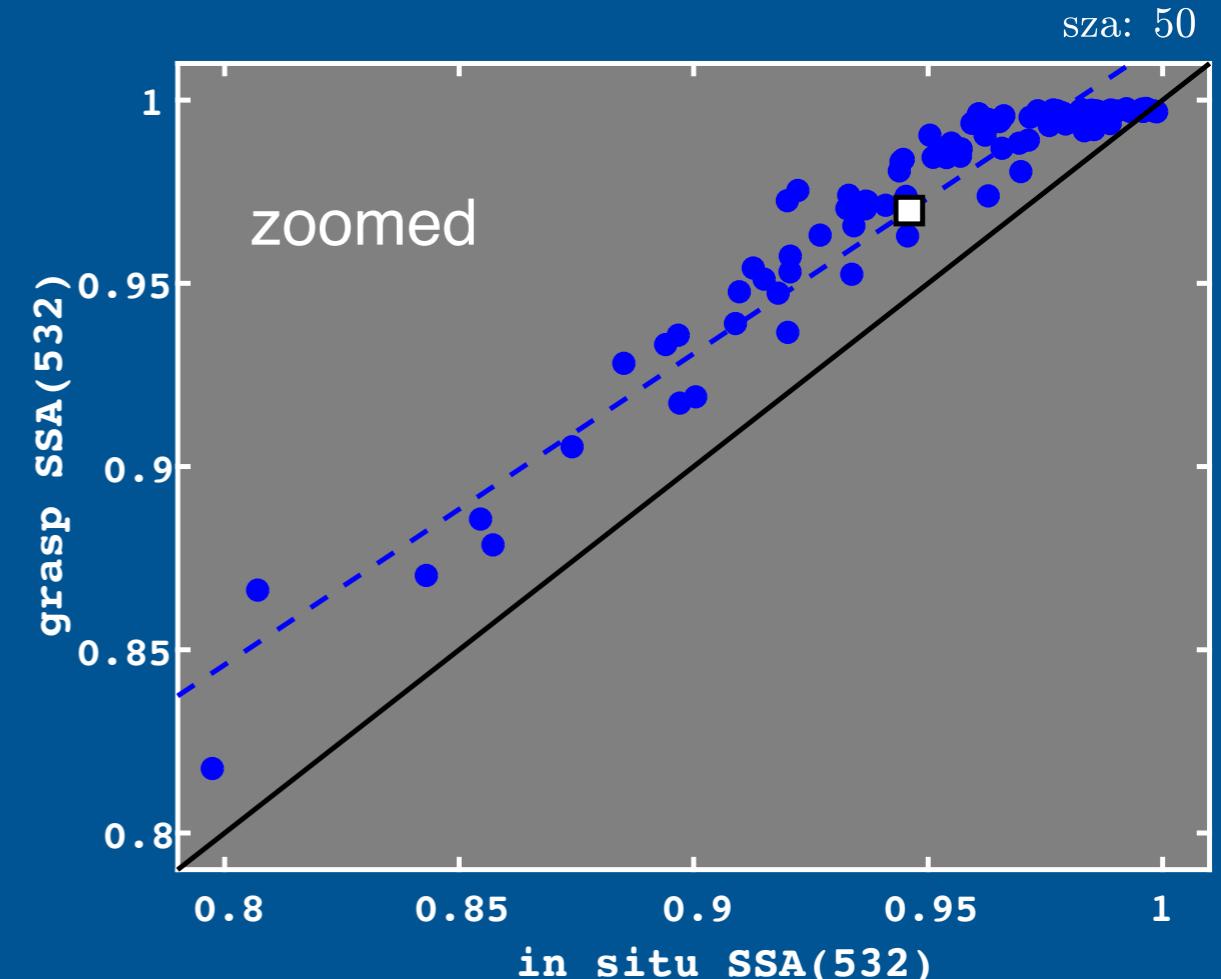
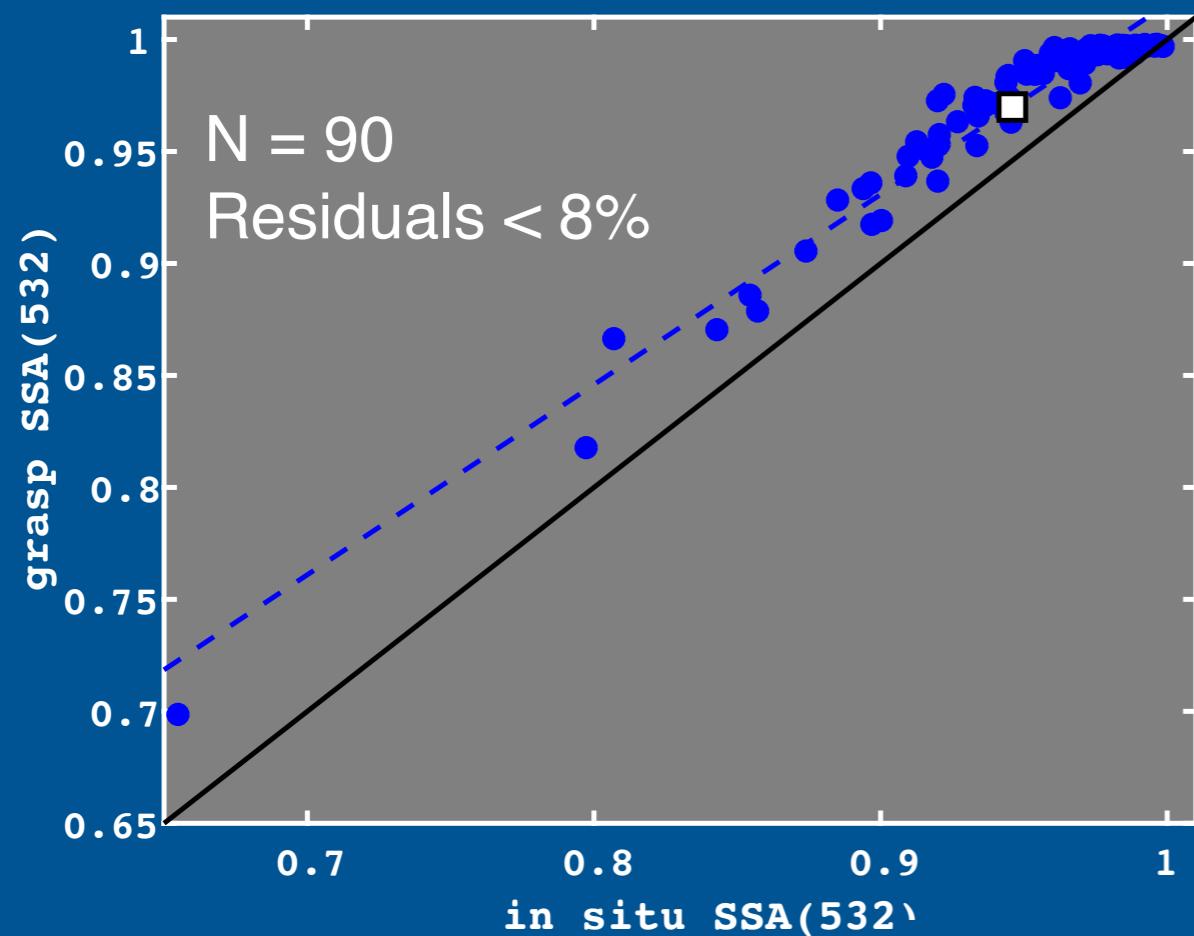
$$\frac{(\text{EXT} - \text{ABS}_{\text{psap}})}{\text{EXT}}$$

| corr. coef. | 0.973 |
|--------------------|-------|
| slope | 0.860 |
| intercept | 0.155 |
| abslt bias | 0.023 |

EXT:

Extinction via Cavity Attenuated
Phase Shift Spectrometer
(CAPS)

Single Scatter Albedo

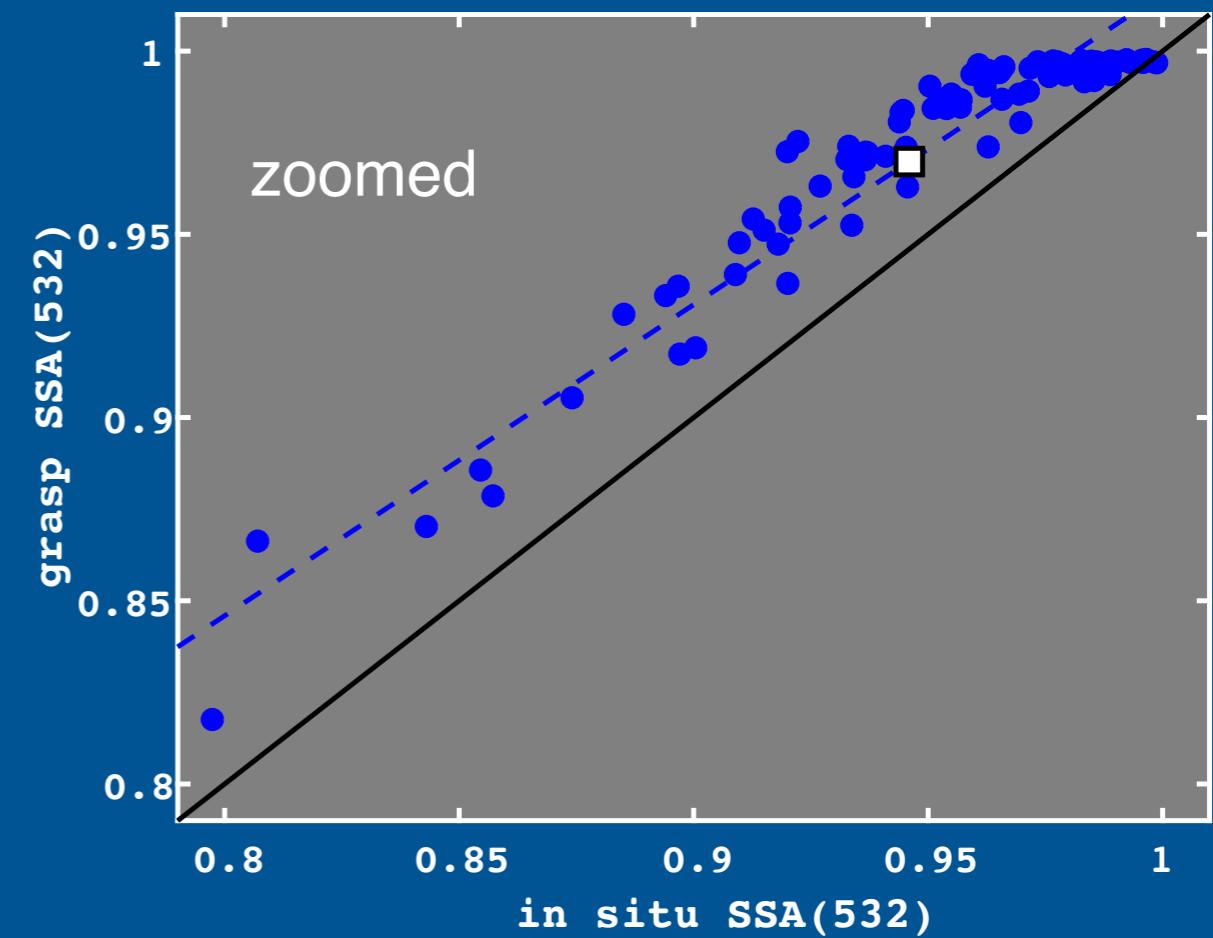
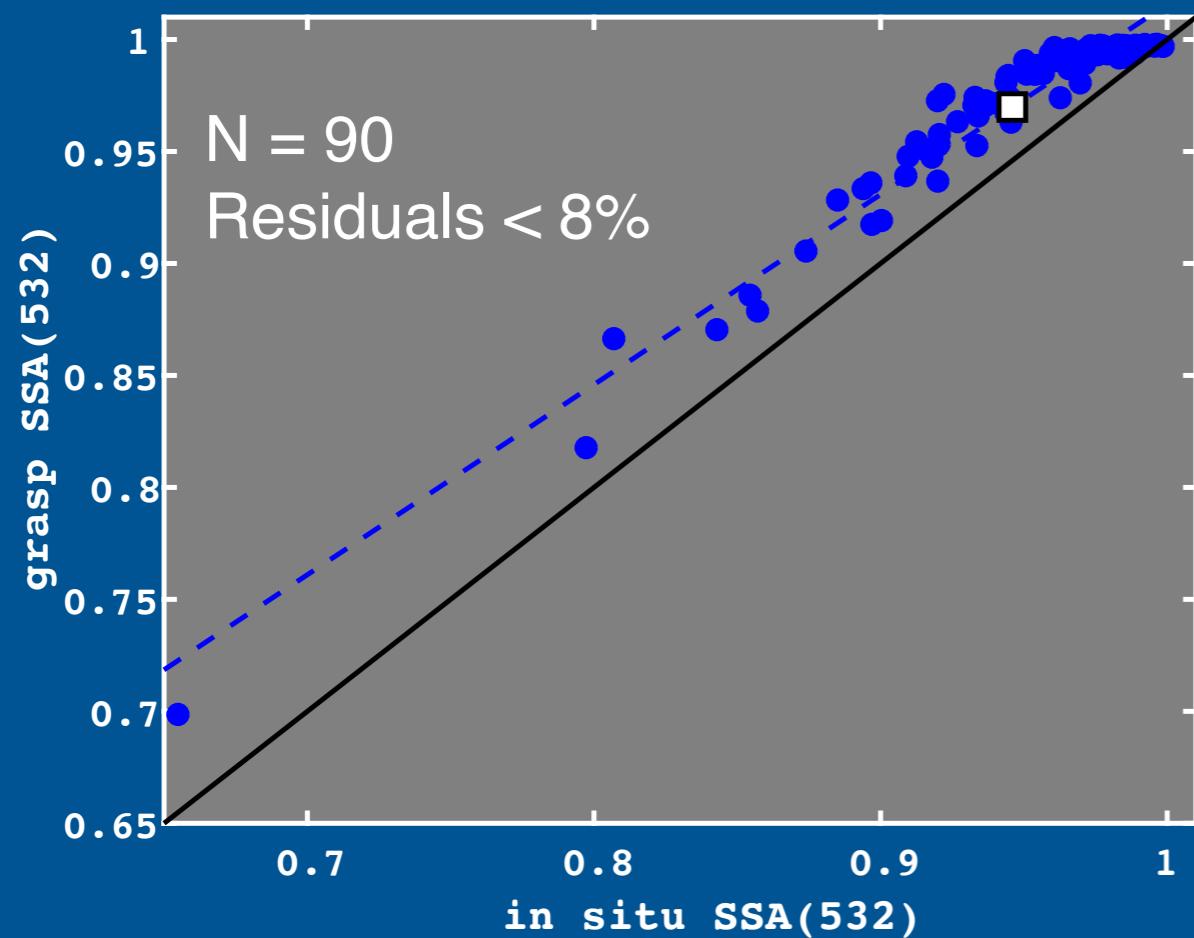


PSAP

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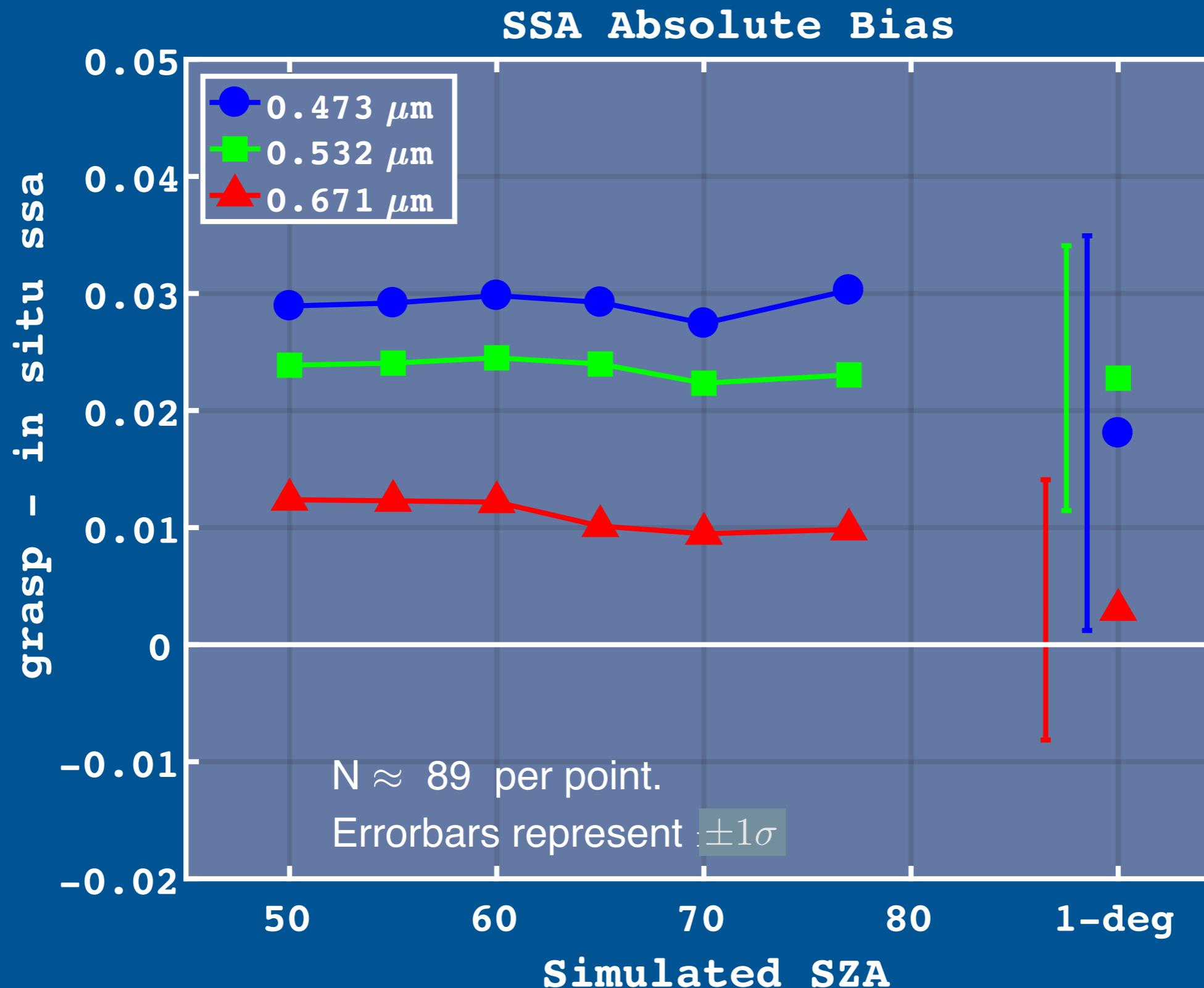
Single Scatter Albedo



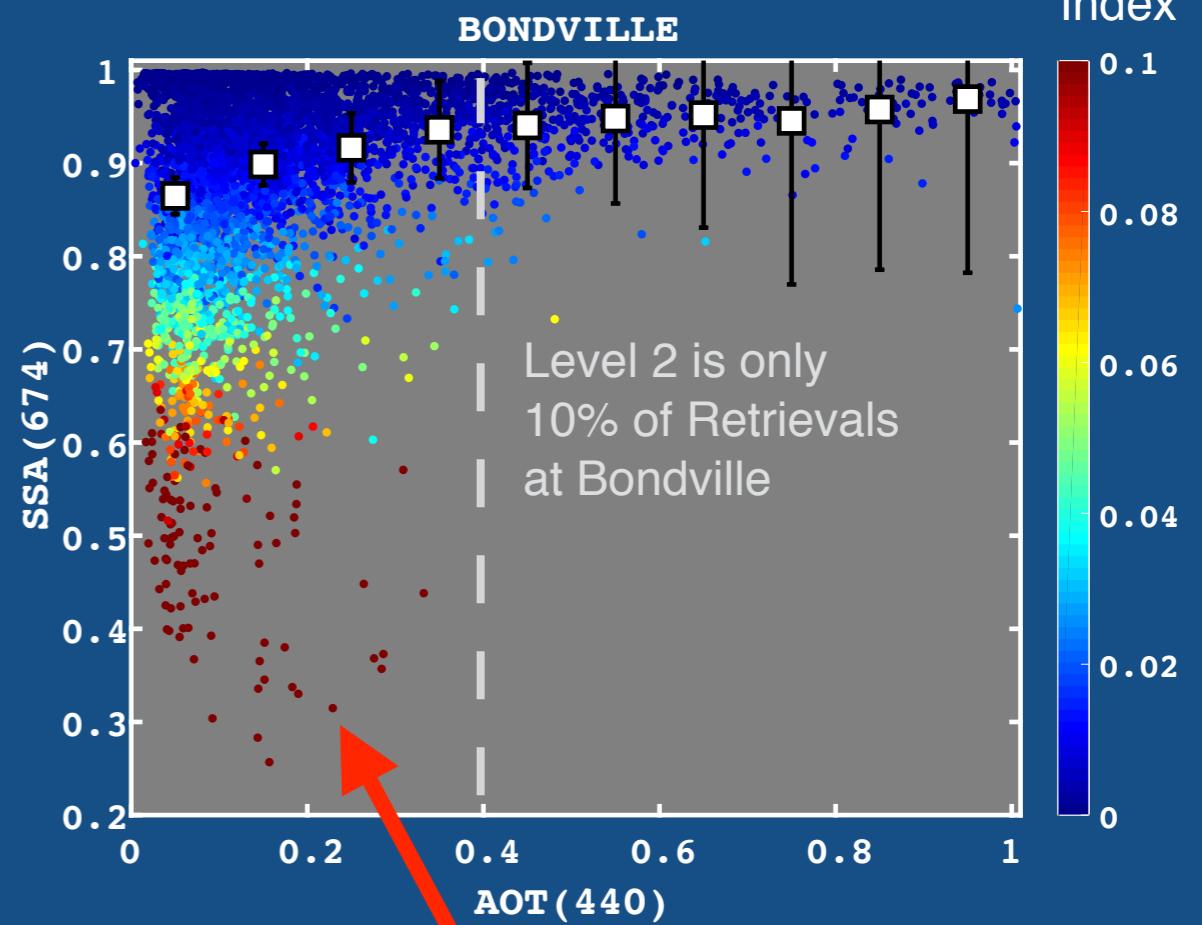
| | PSAP | PASS | Neph |
|--------------------|--|--|---------------------------------|
| | $\frac{(\text{EXT} - \text{ABS}_{\text{psap}})}{\text{EXT}}$ | $\frac{(\text{EXT} - \text{ABS}_{\text{pass}})}{\text{EXT}}$ | $\frac{\text{SCA}}{\text{EXT}}$ |
| corr. coef. | 0.973 | 0.976 | 0.955 |
| slope | 0.860 | 0.871 | 0.895 |
| intercept | 0.155 | 0.146 | 0.131 |
| abslt bias | 0.023 | 0.026 | 0.036 |

EXT:
Extinction via Cavity Attenuated
Phase Shift Spectrometer
(CAPS)

Solar Zenith Angle effects on Absolute Bias

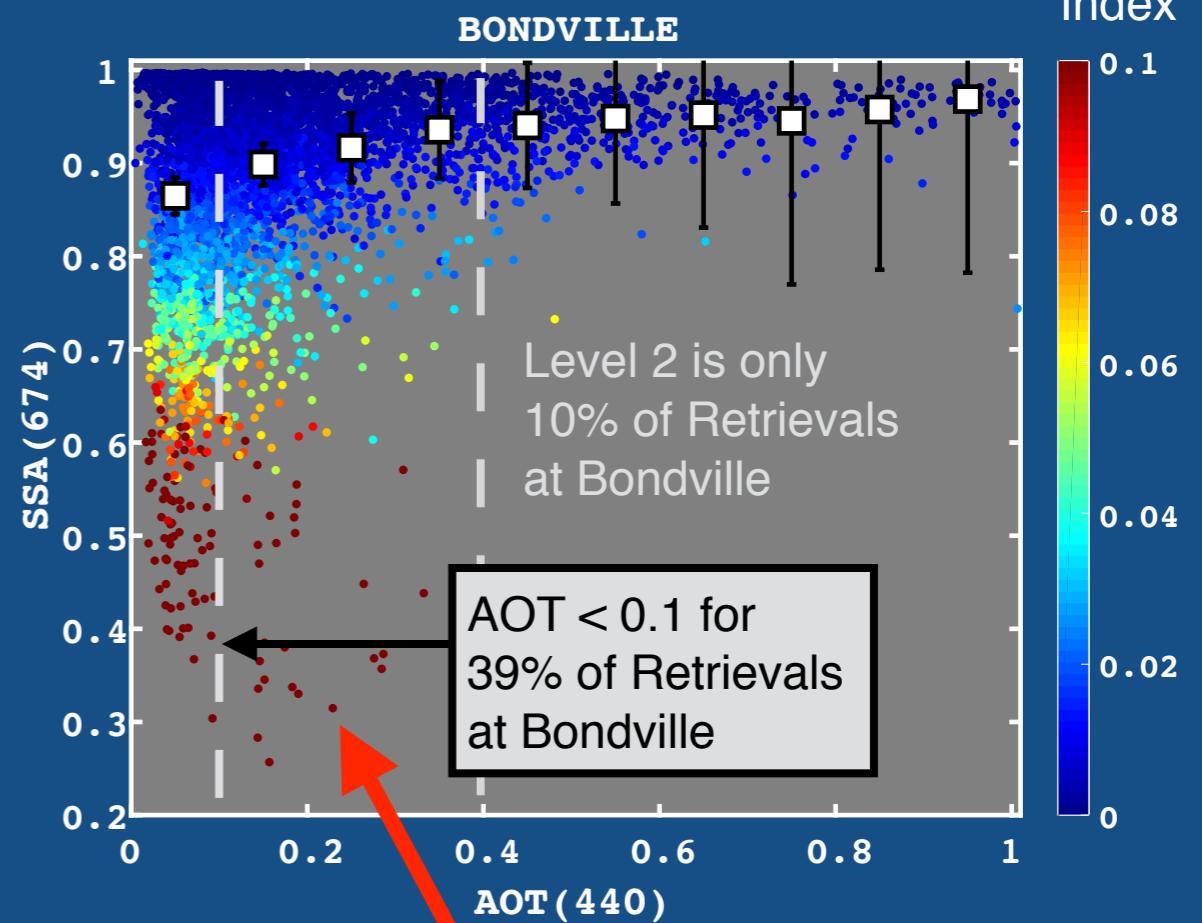


AERONET Retrievals at Low AOT



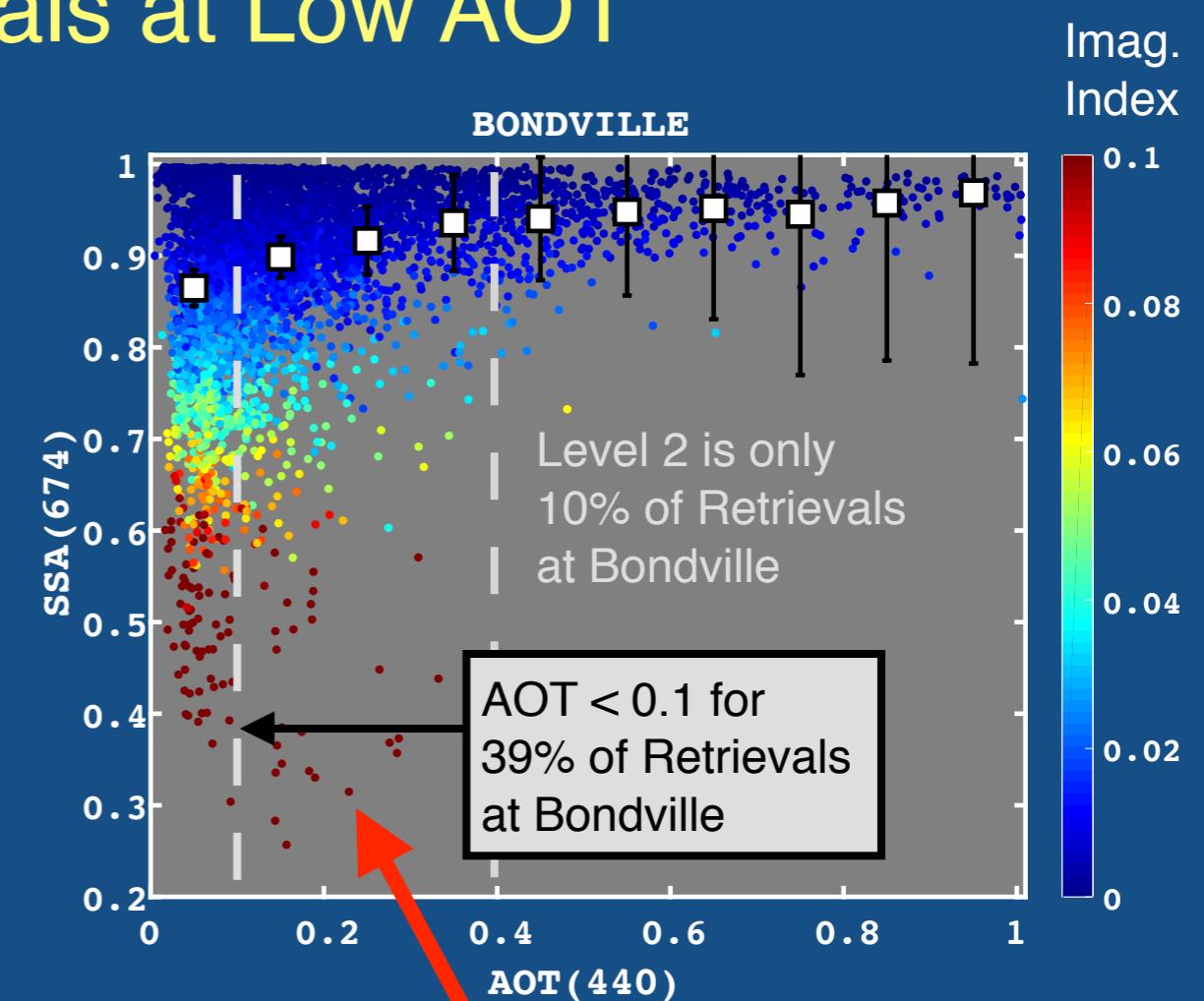
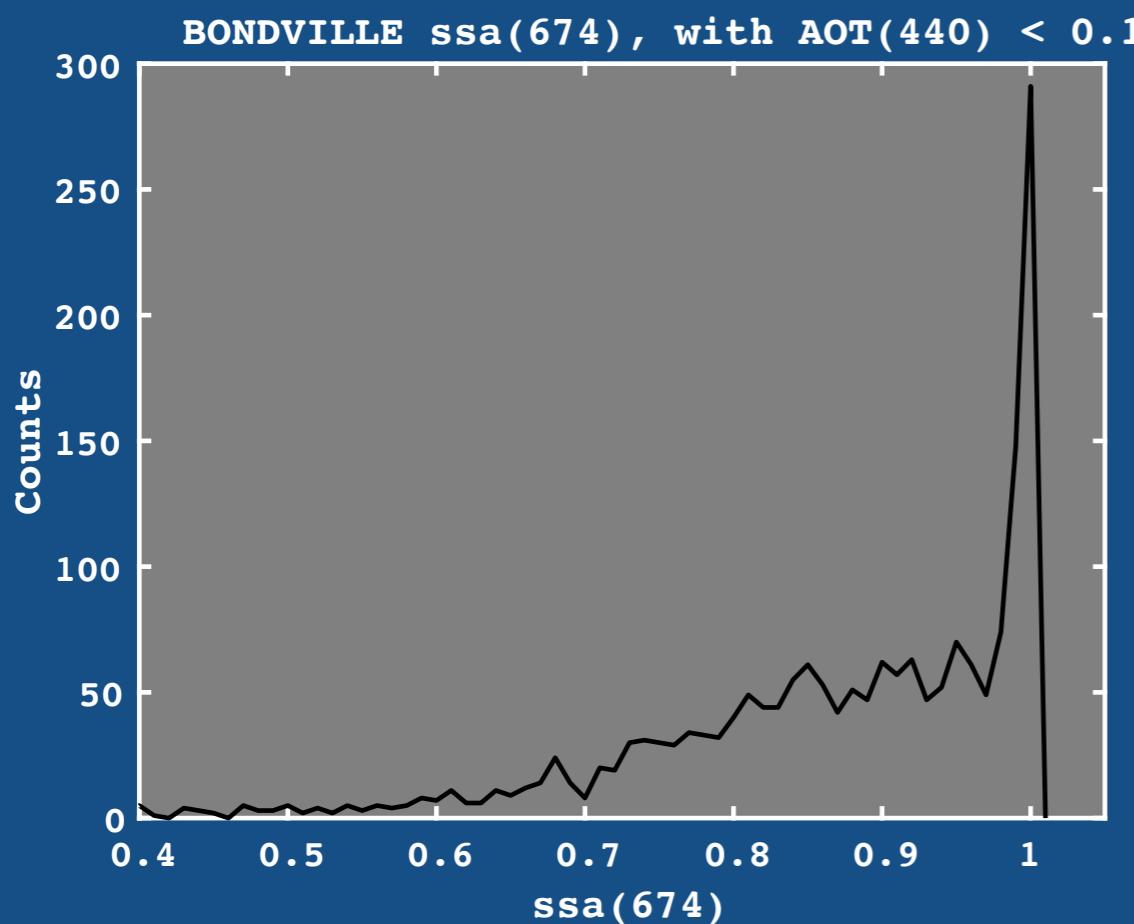
Important: Only use Lev 1.5 when corresponding Lev 2 size distribution exists

AERONET Retrievals at Low AOT



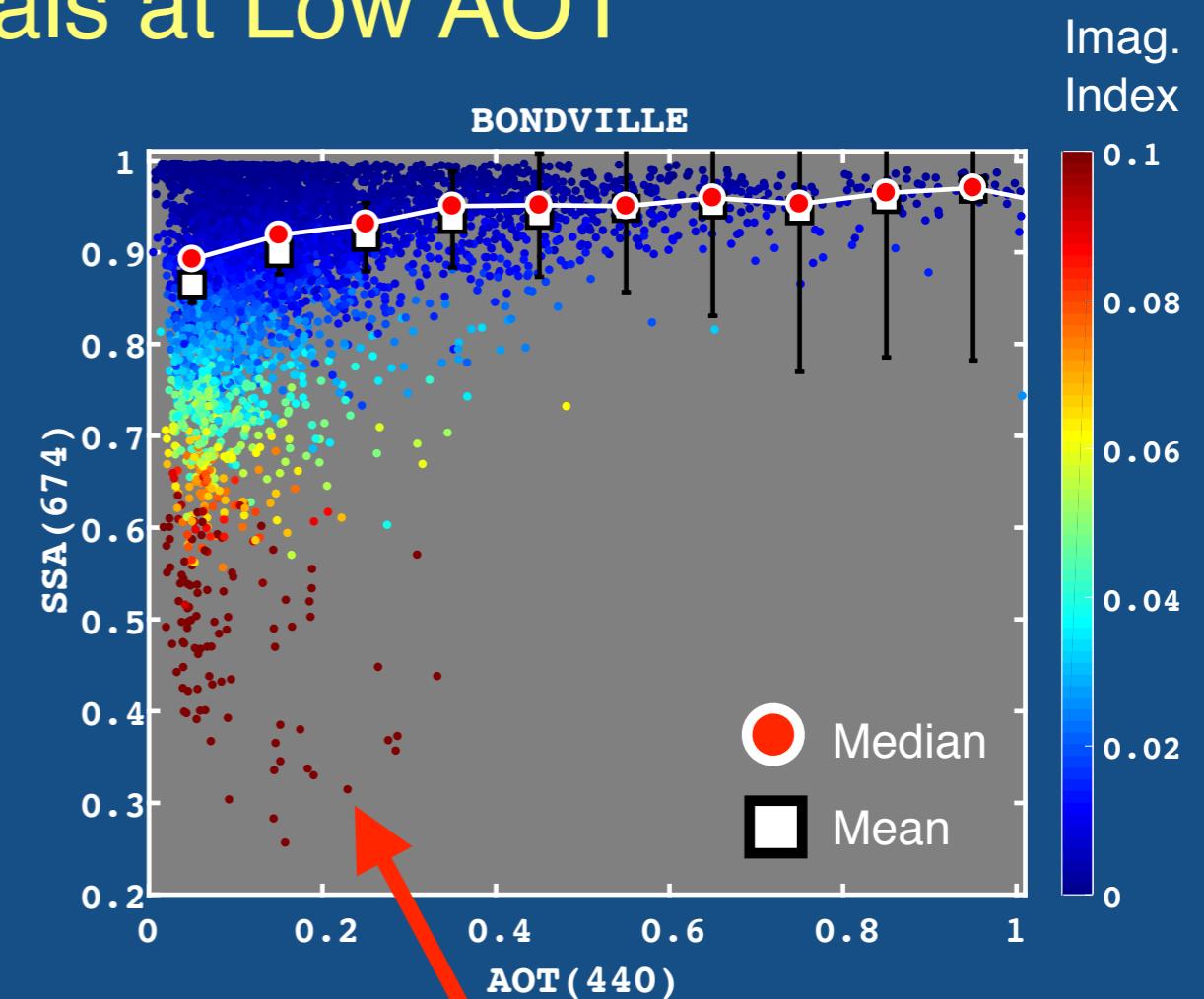
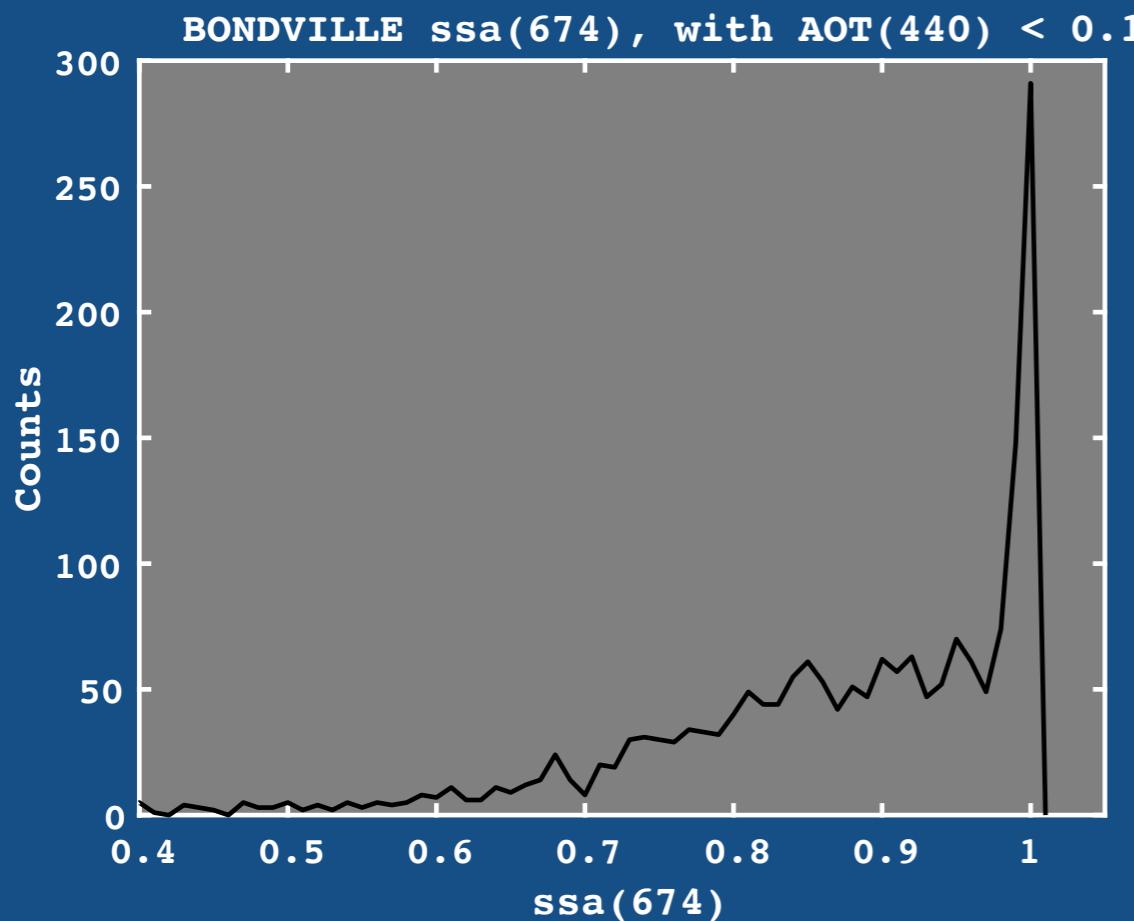
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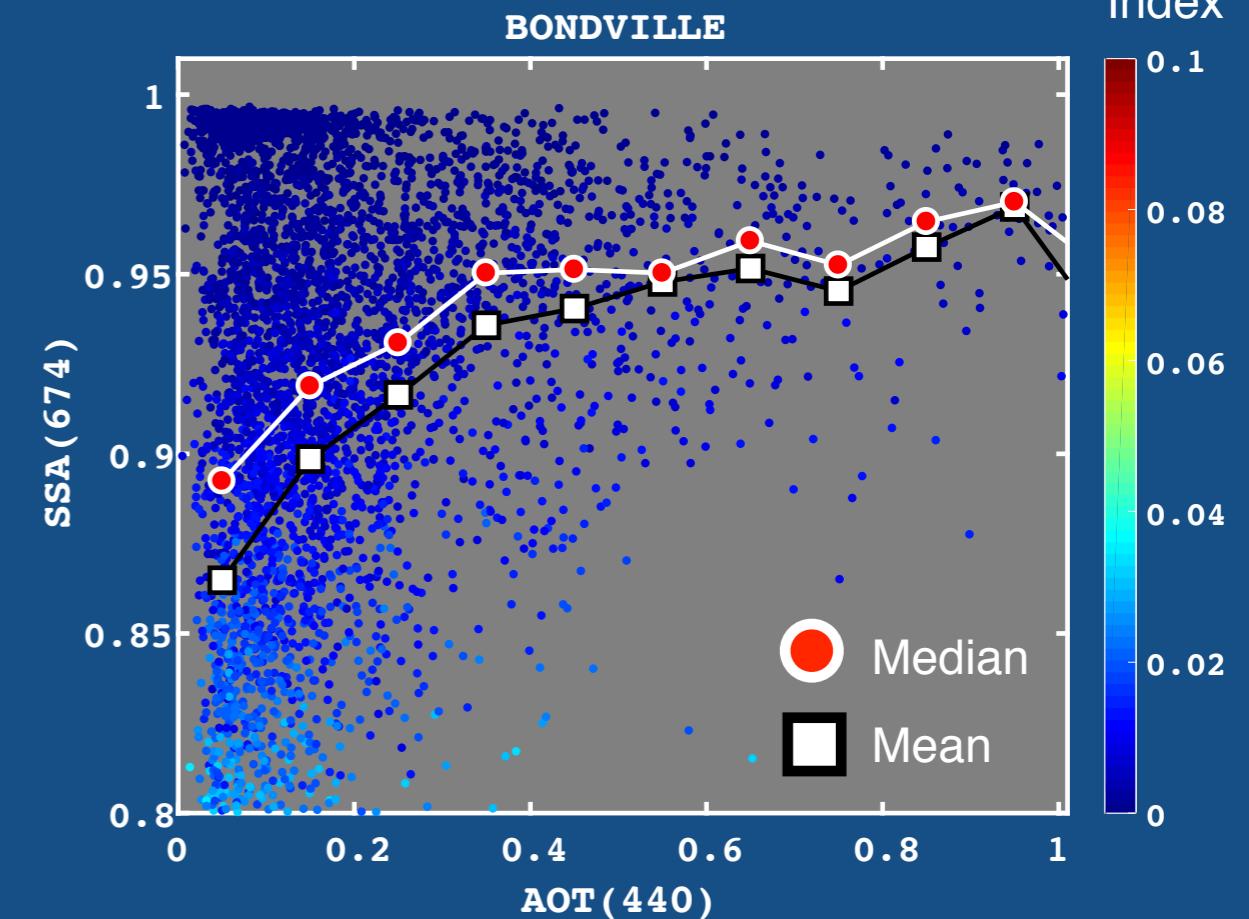
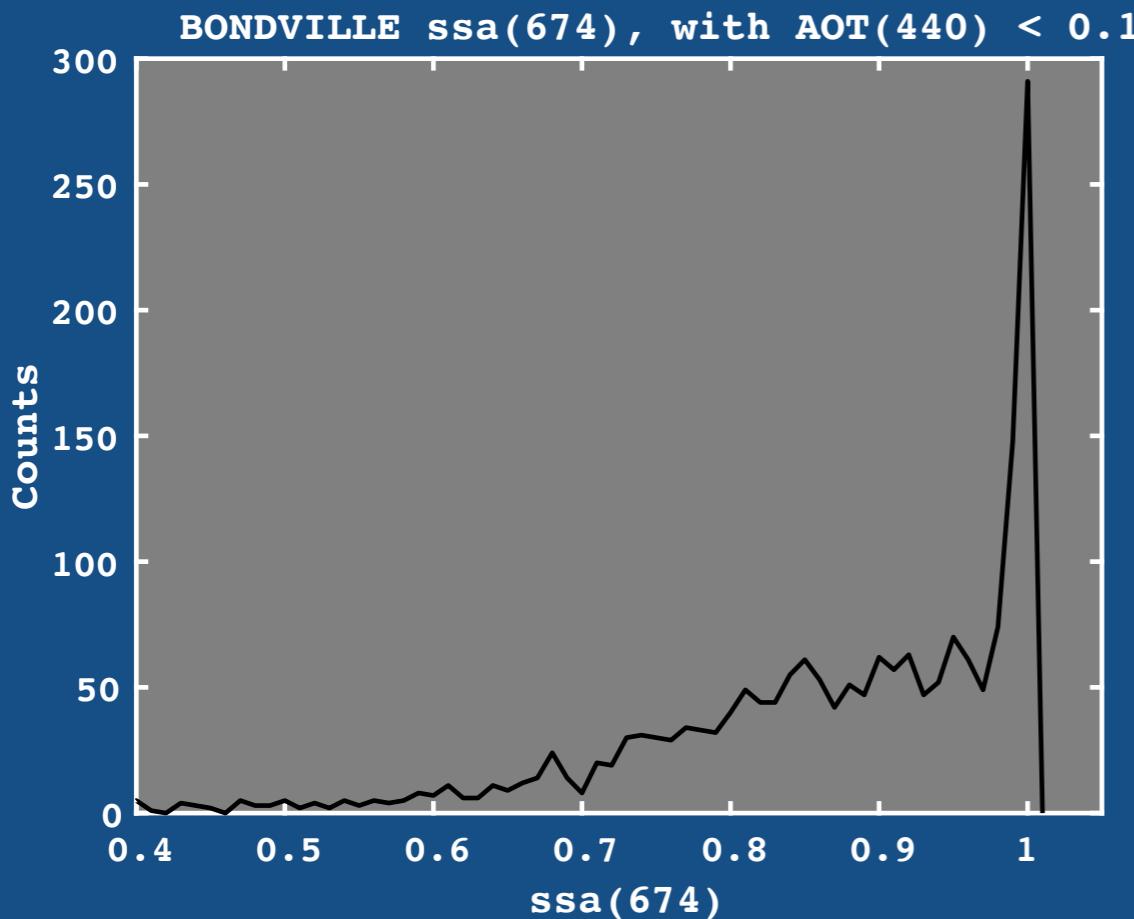
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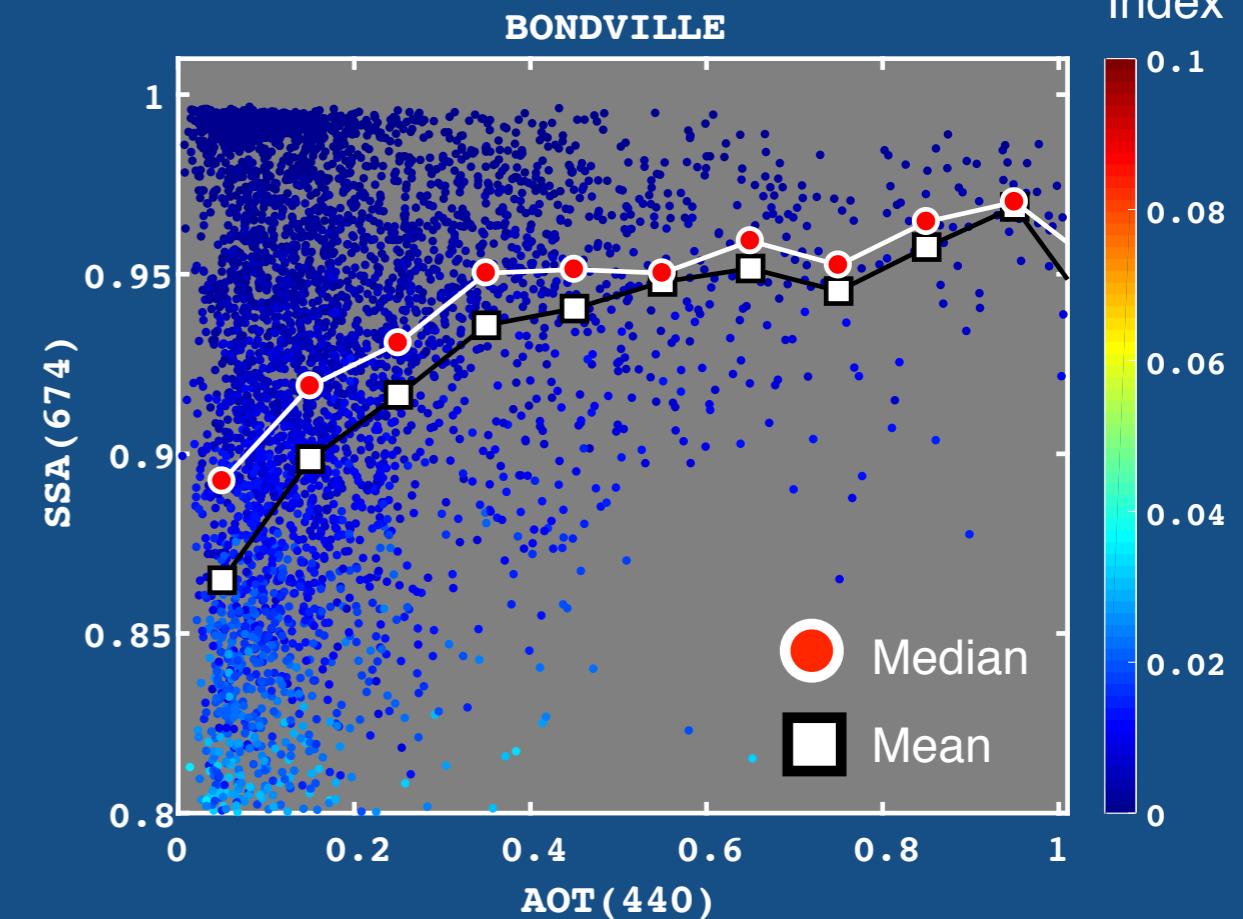
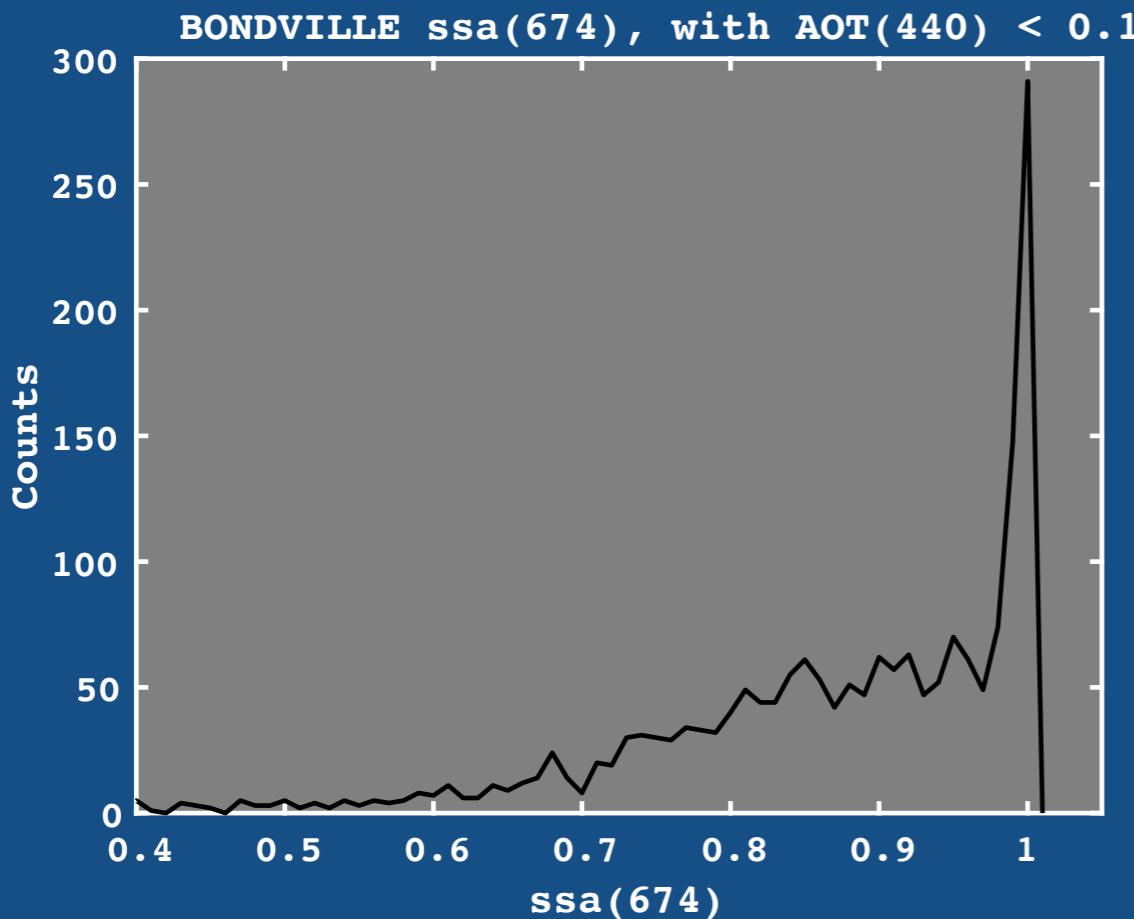
AERONET Retrievals at Low AOT



| | AOT(440) < 0.1 | ALL AOT |
|------------|----------------|---------|
| mean ssa | 0.865 | 0.895 |
| median ssa | 0.892 | 0.926 |
| difference | 0.033 | 0.031 |

Bondville

AERONET Retrievals at Low AOT



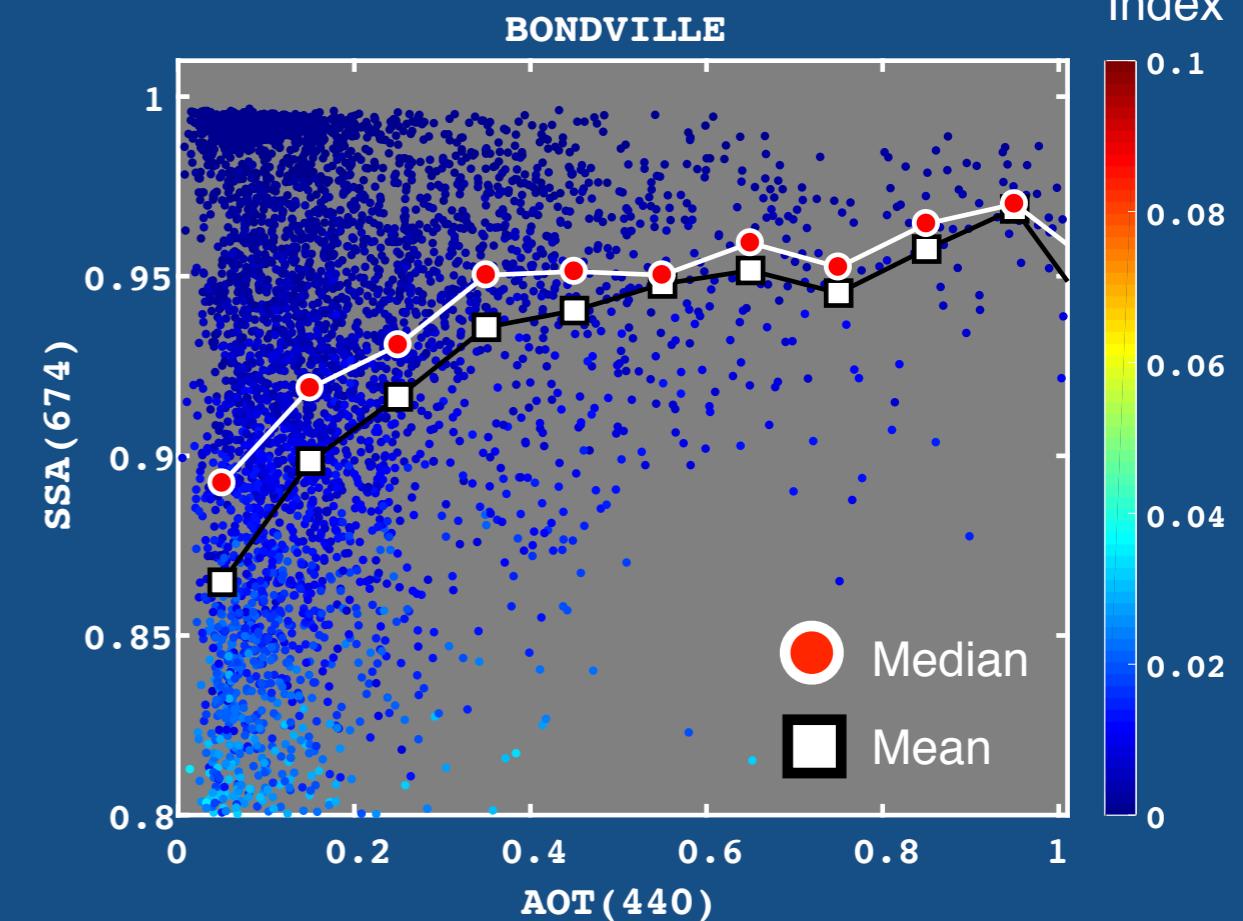
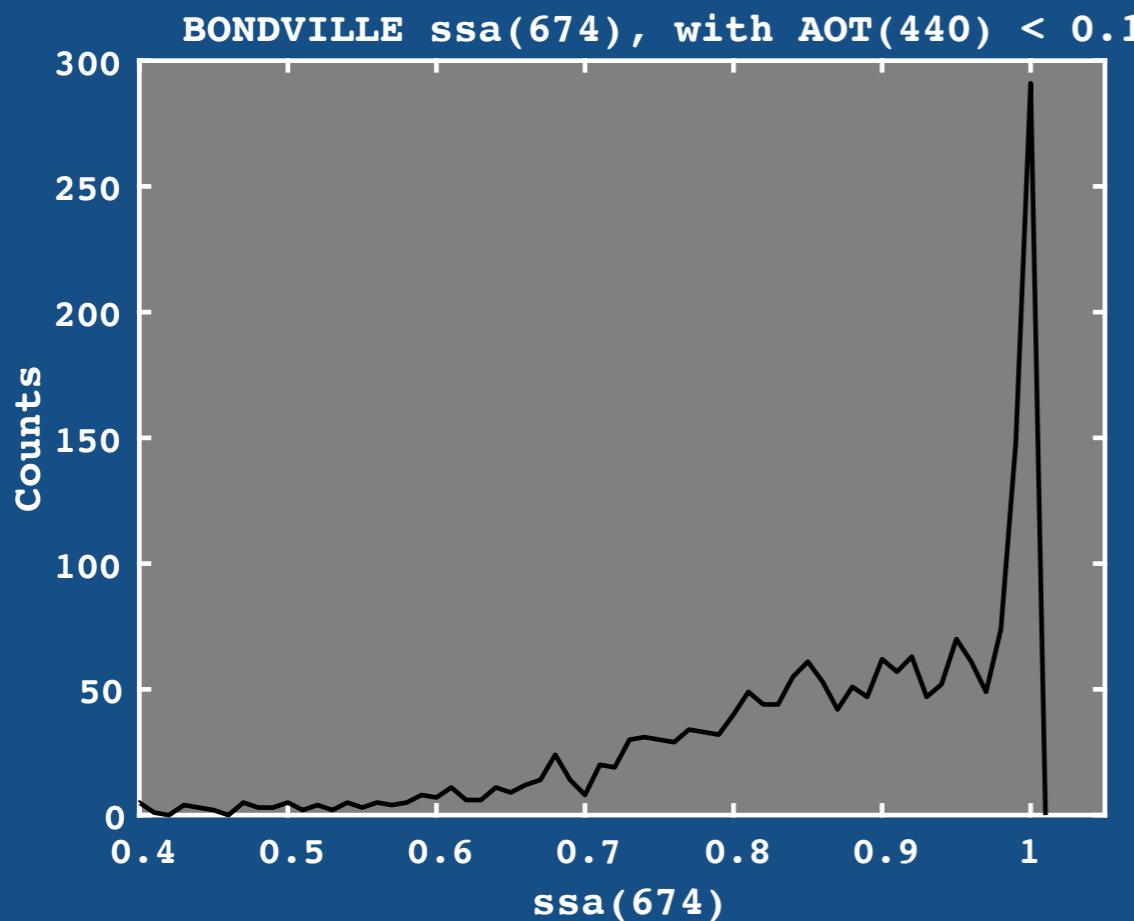
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$$AAOD = (1 - \omega_o) \times AOD$$

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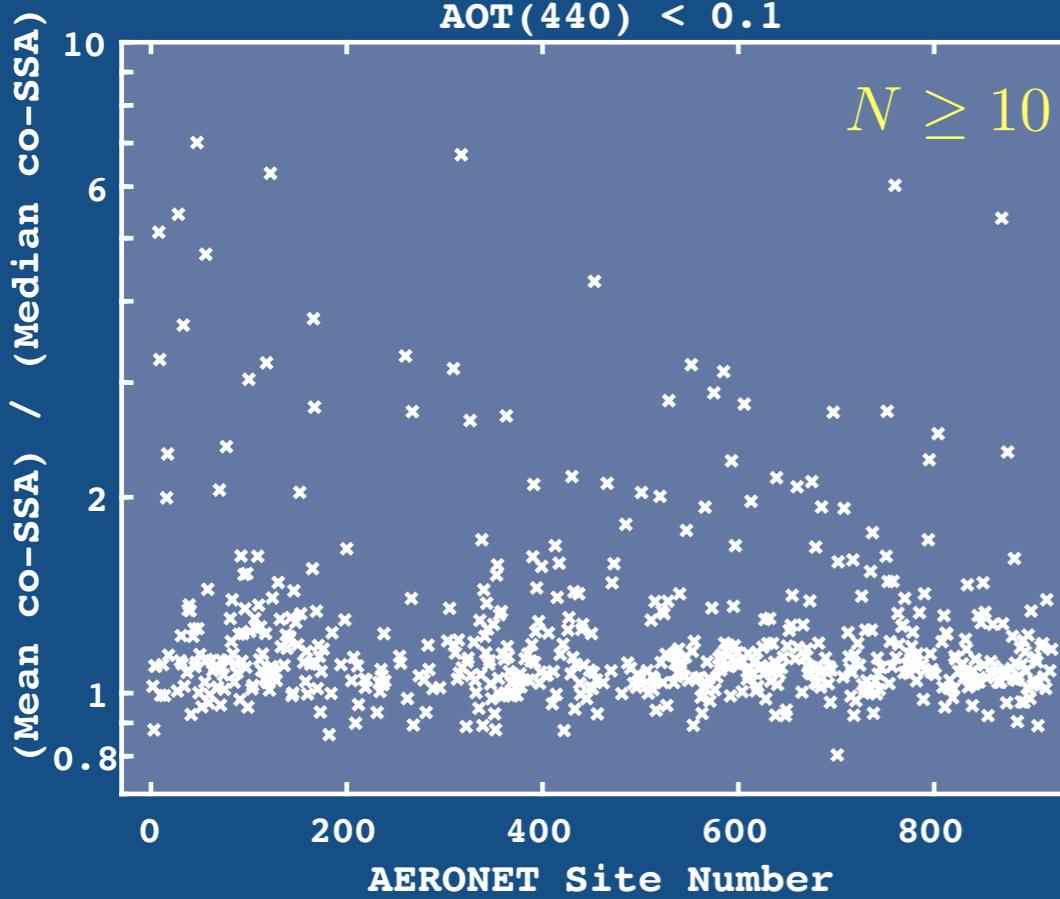
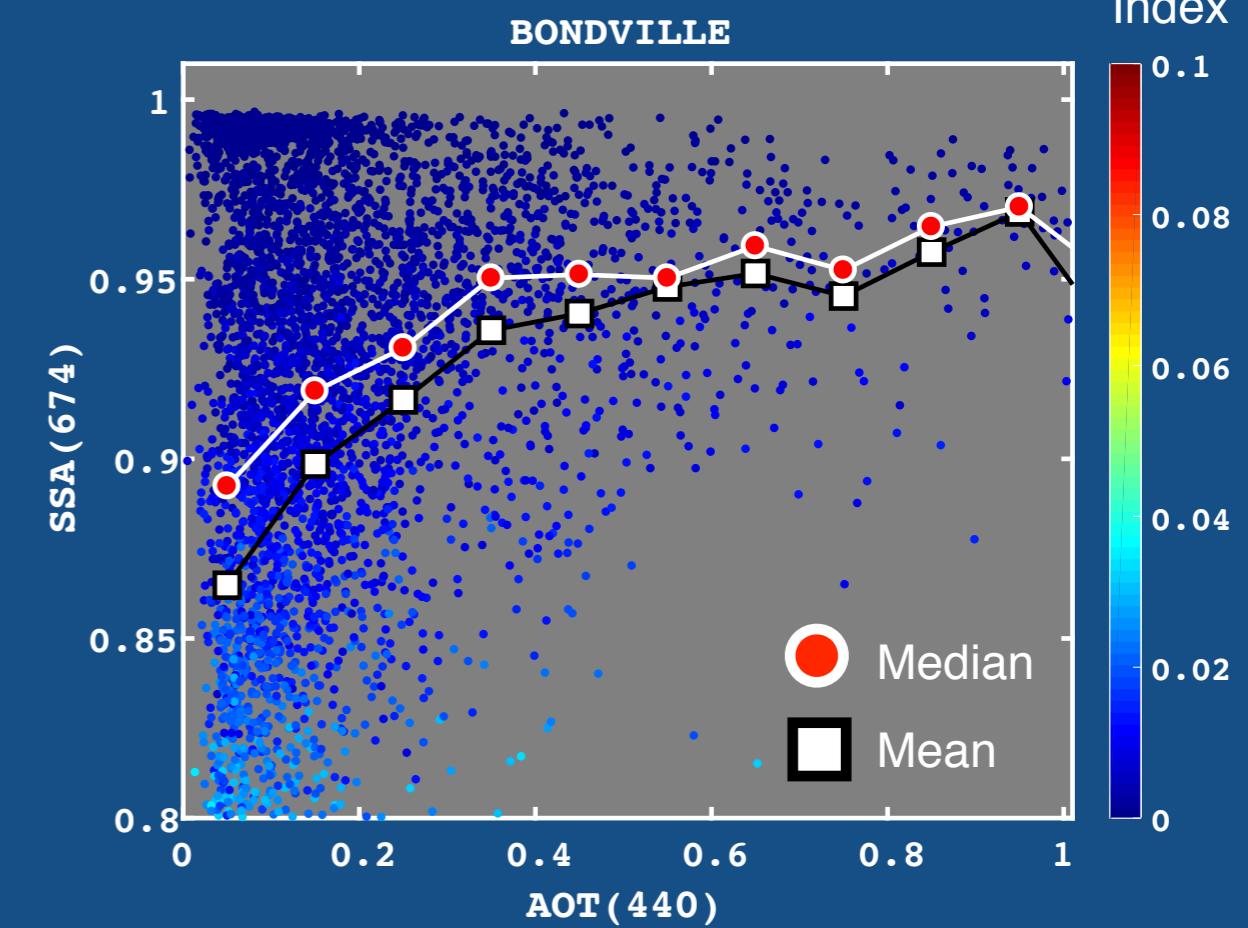
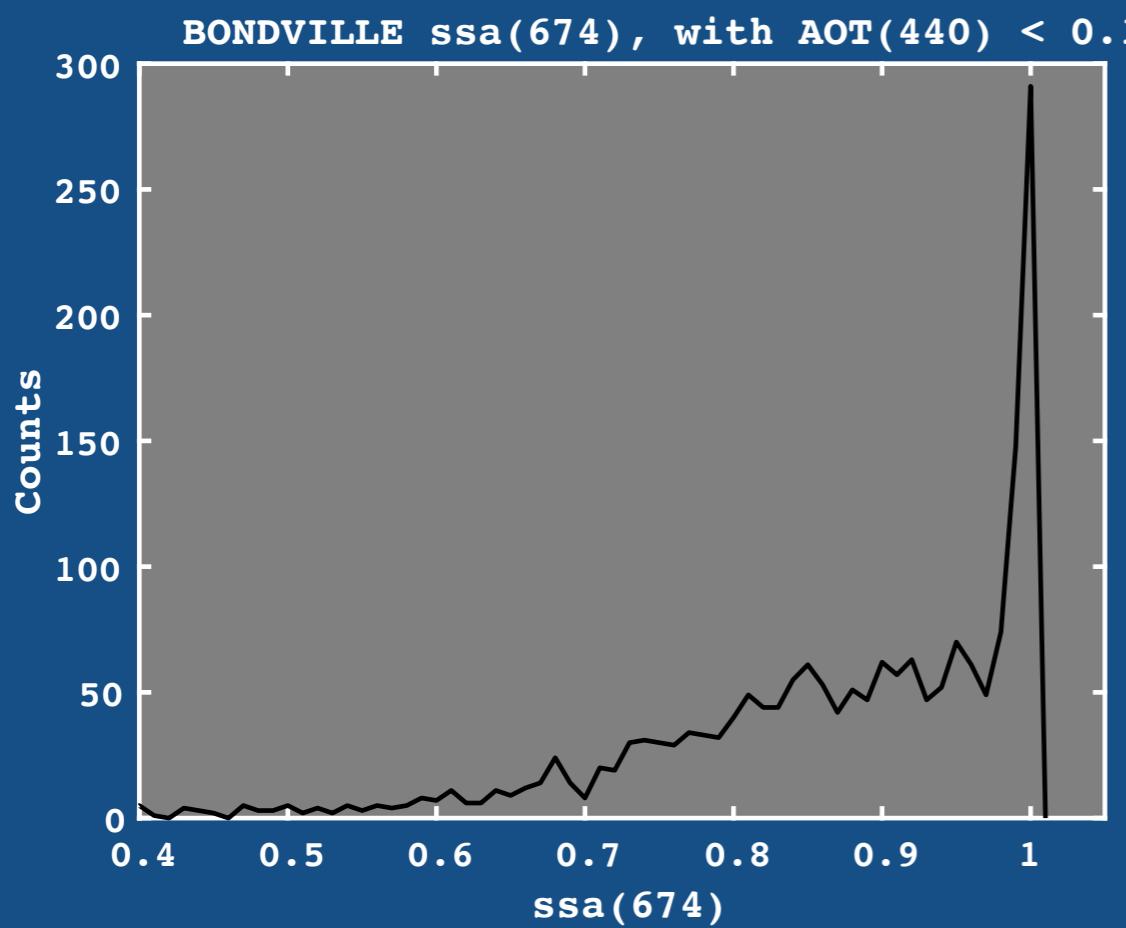


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Conclusions

- Simulated AERONET measurements for 285 in situ sampling volumes.
- GRASP provided quality retrievals (residuals of < 8%) for ~90 samples.
- Relative bias for effective radius is 1% when dynamic shape factor is constrained by extinction.
- Relative bias for the effective variance of size distributions is 116%.
- Absolute bias for single-scatter albedo is +0.023 at 532 nm via PSAP, +0.026 via photo-acoustic, and +0.036 via nephelometer.
- Biases do not vary significantly for SZA = 50-77 degrees.
- Use median instead of mean SSAs to better neutralize noise of low AOT AERONET retrievals.

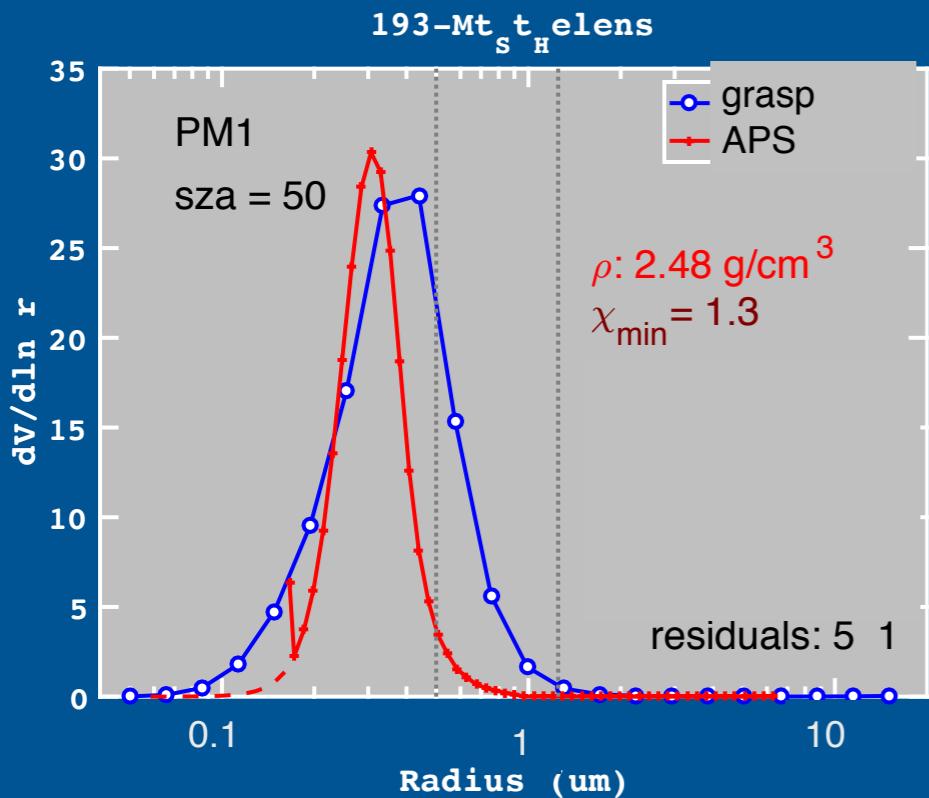
Acknowledgements

This material was supported by NASA through the ROSES Atmospheric Composition: Laboratory Research program, issued through the Science Mission Directorate, Earth Science Division. We acknowledge the efforts of the AERONET principal investigators and the entire AERONET team.

APPENDIX

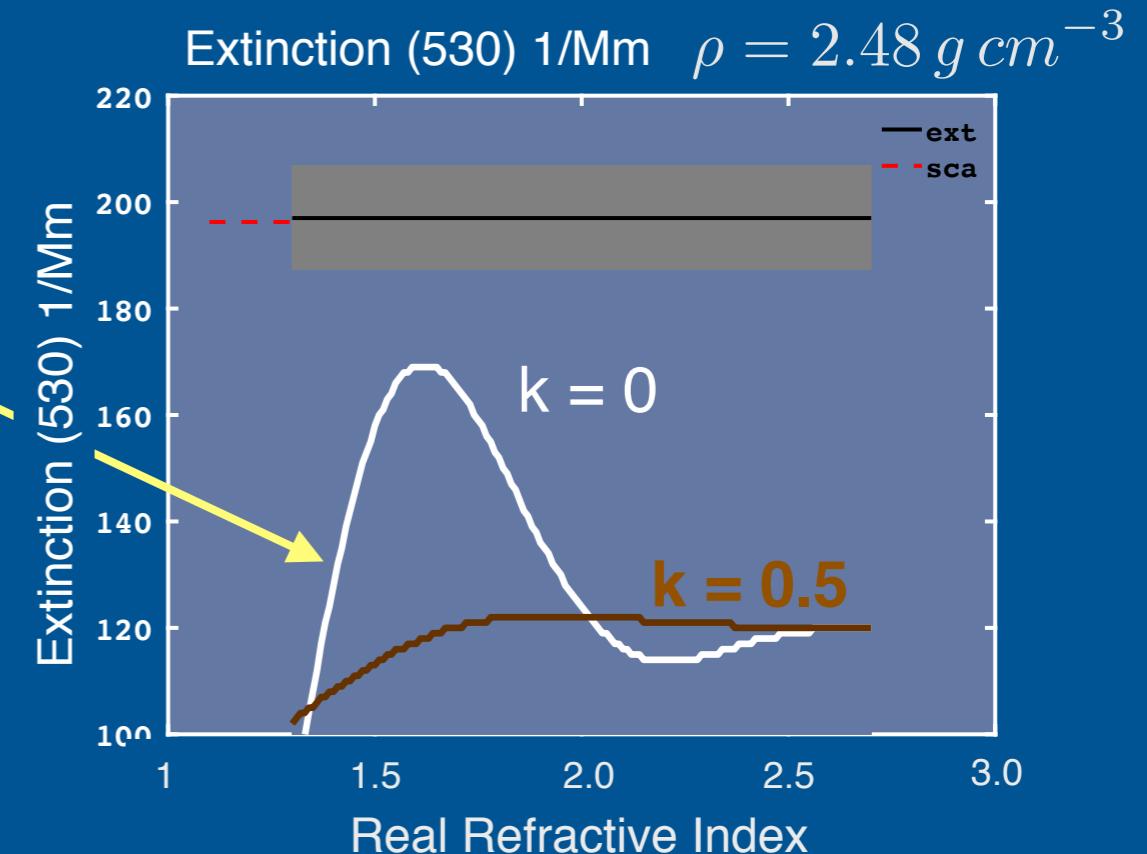
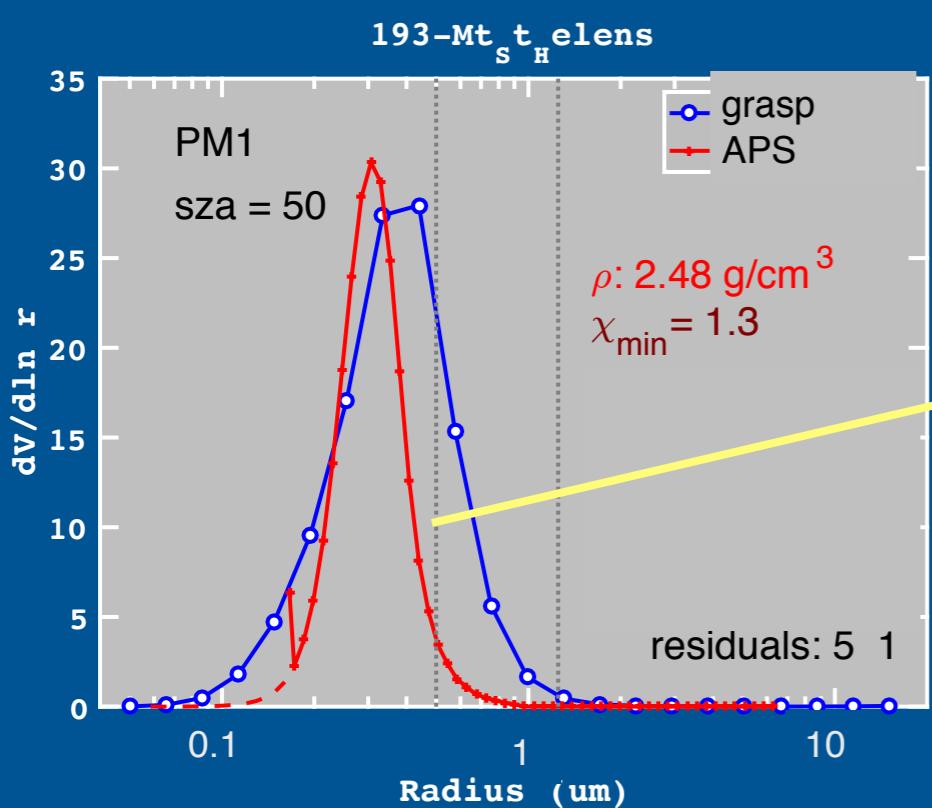
Aerodynamic-Optical Size Conversion

Require closure with extinction measurements



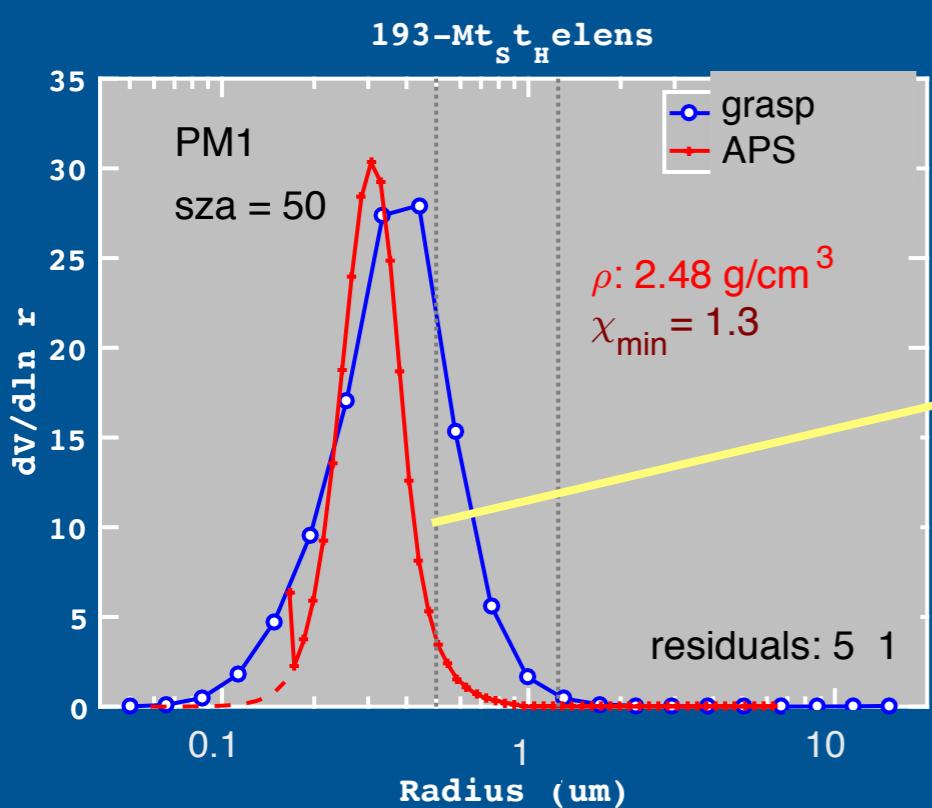
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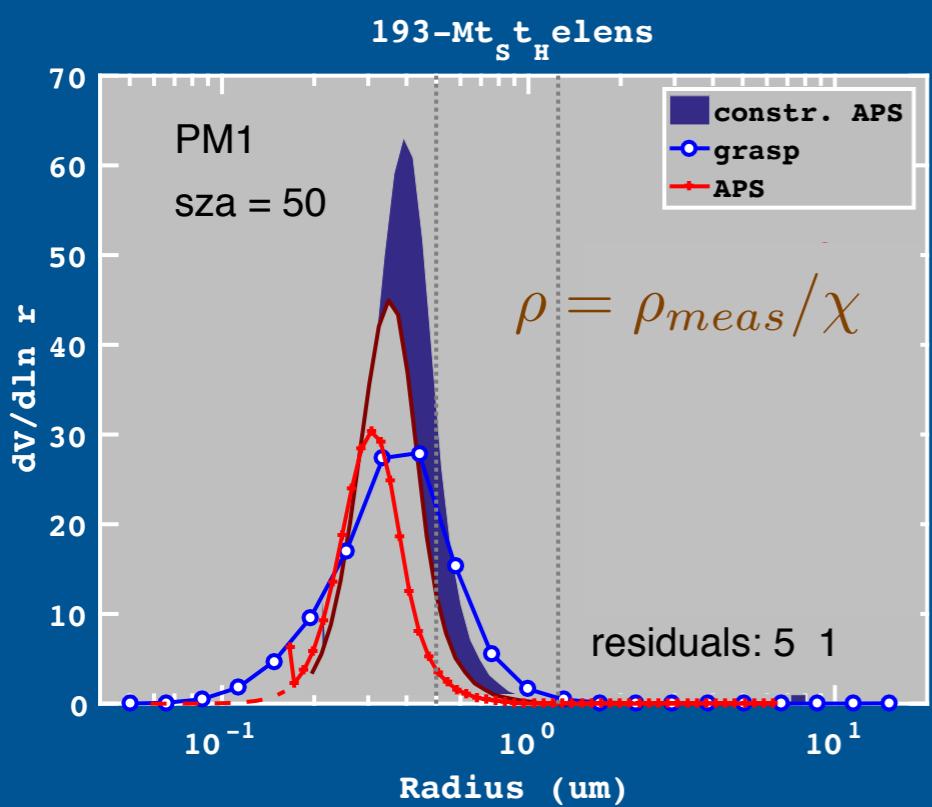
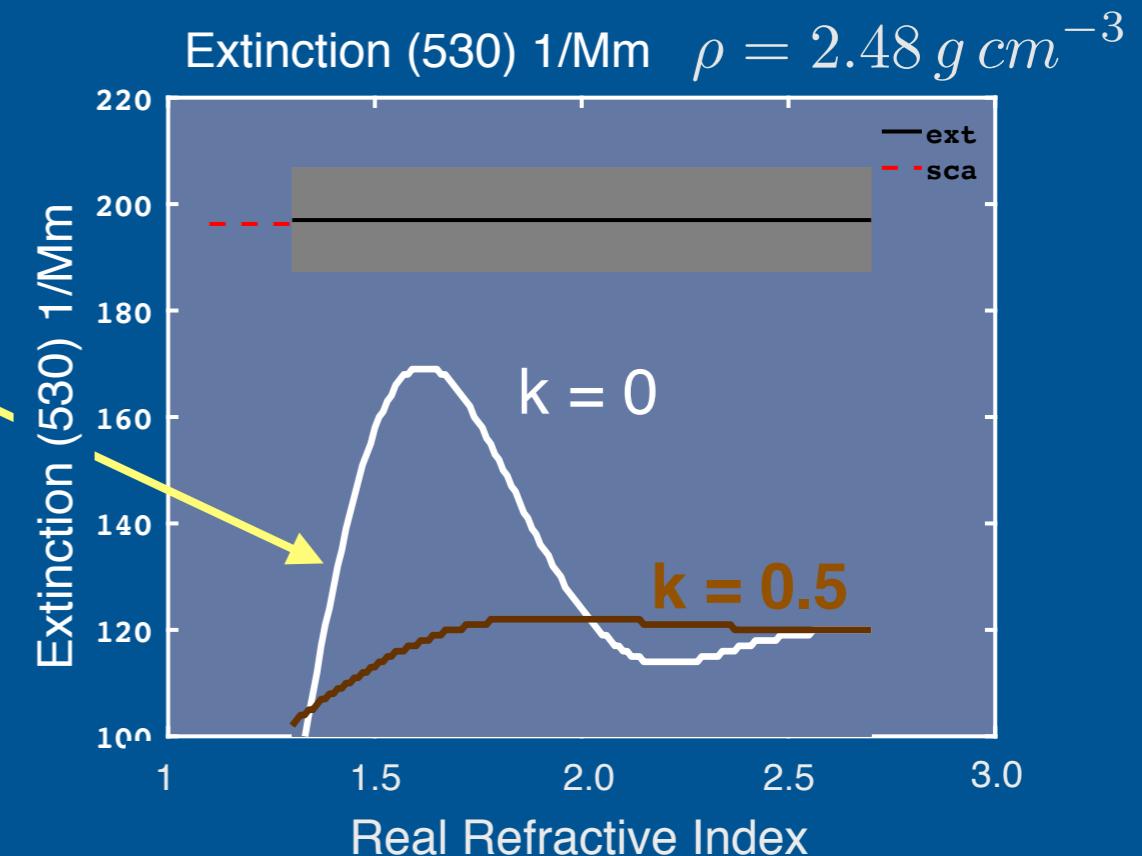


Aerodynamic-Optical Size Conversion

Require closure with extinction measurements



Mie Code



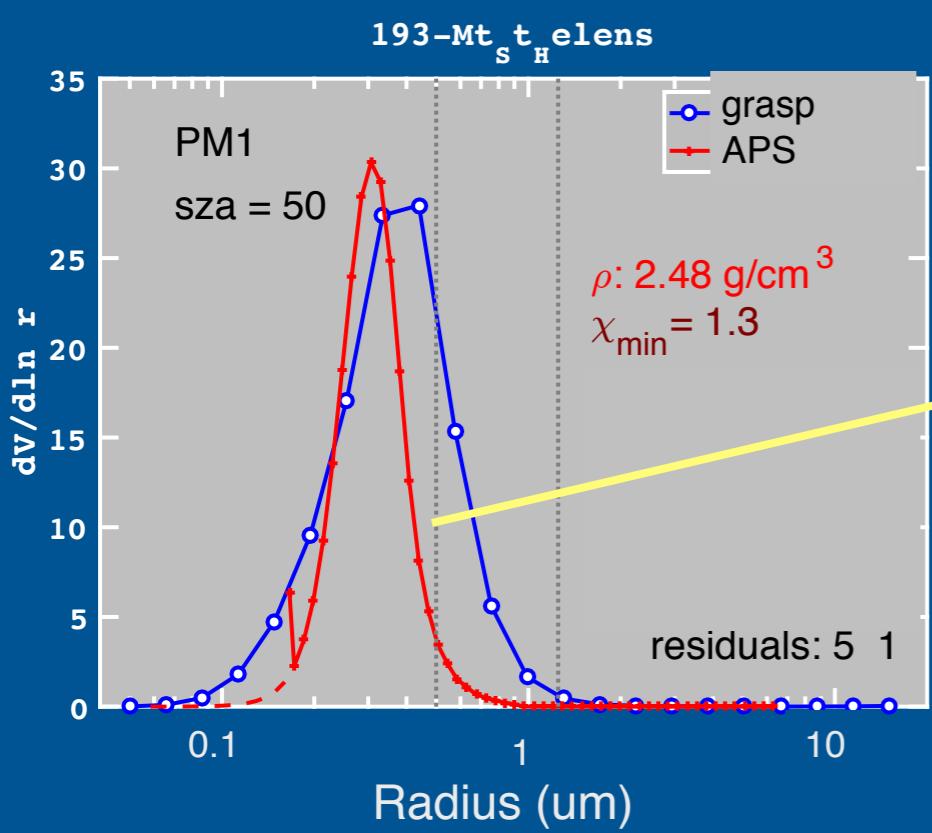
Decrease Density

$$\text{Extinction} = \int Q(n, k, r, \lambda) \pi r^2 n(r) dr$$

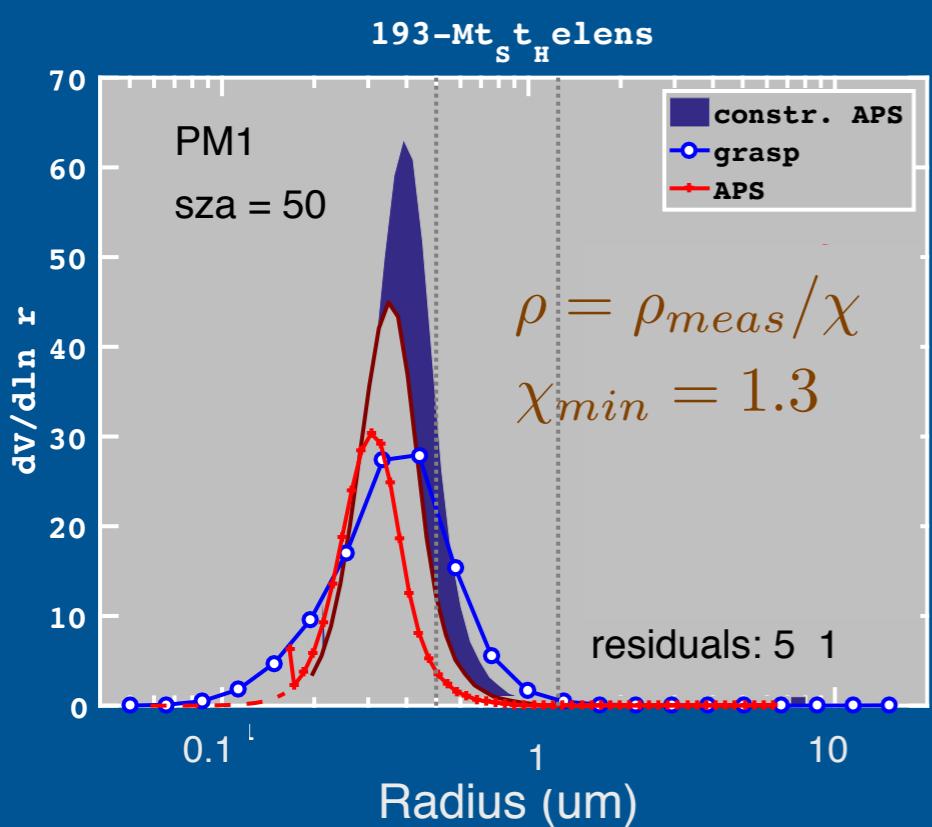
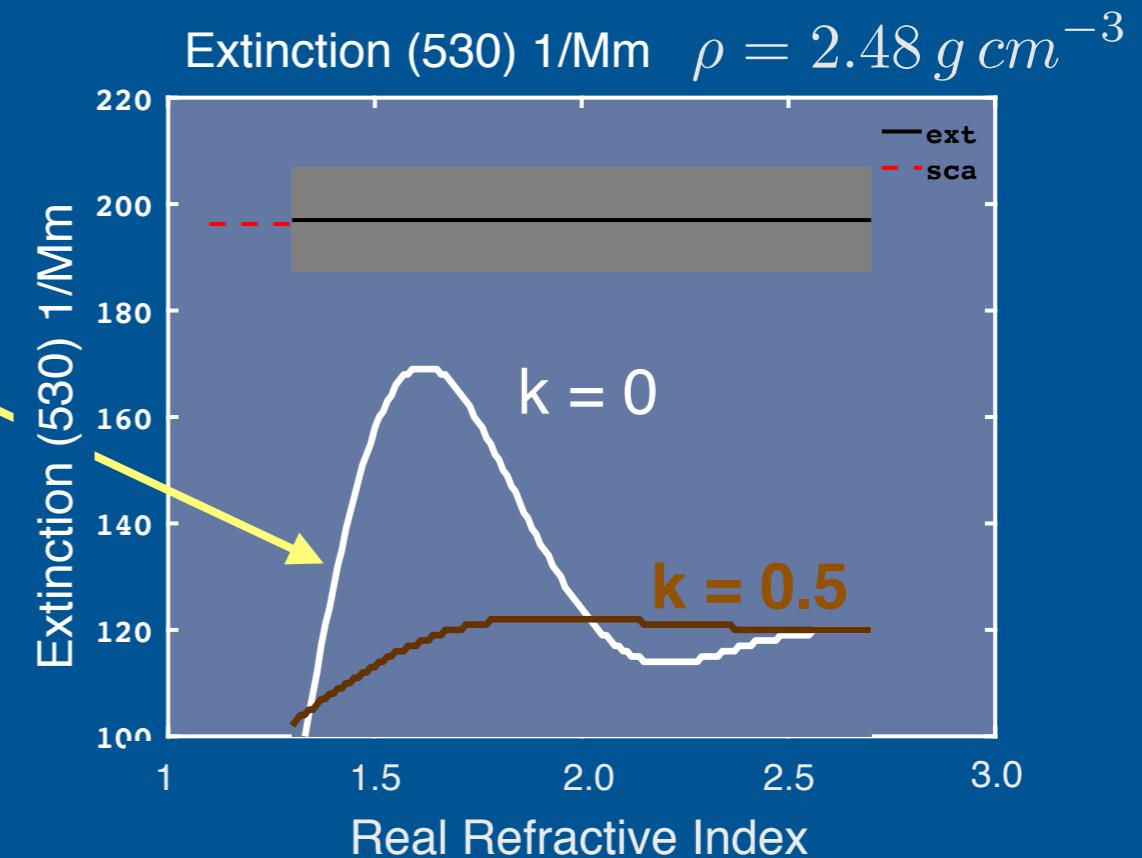
$$R_{vol} = R_{aero} \sqrt{\frac{\chi}{\rho}} = \frac{R_{aero}}{\sqrt{\rho^*}}$$

Aerodynamic-Optical Size Conversion

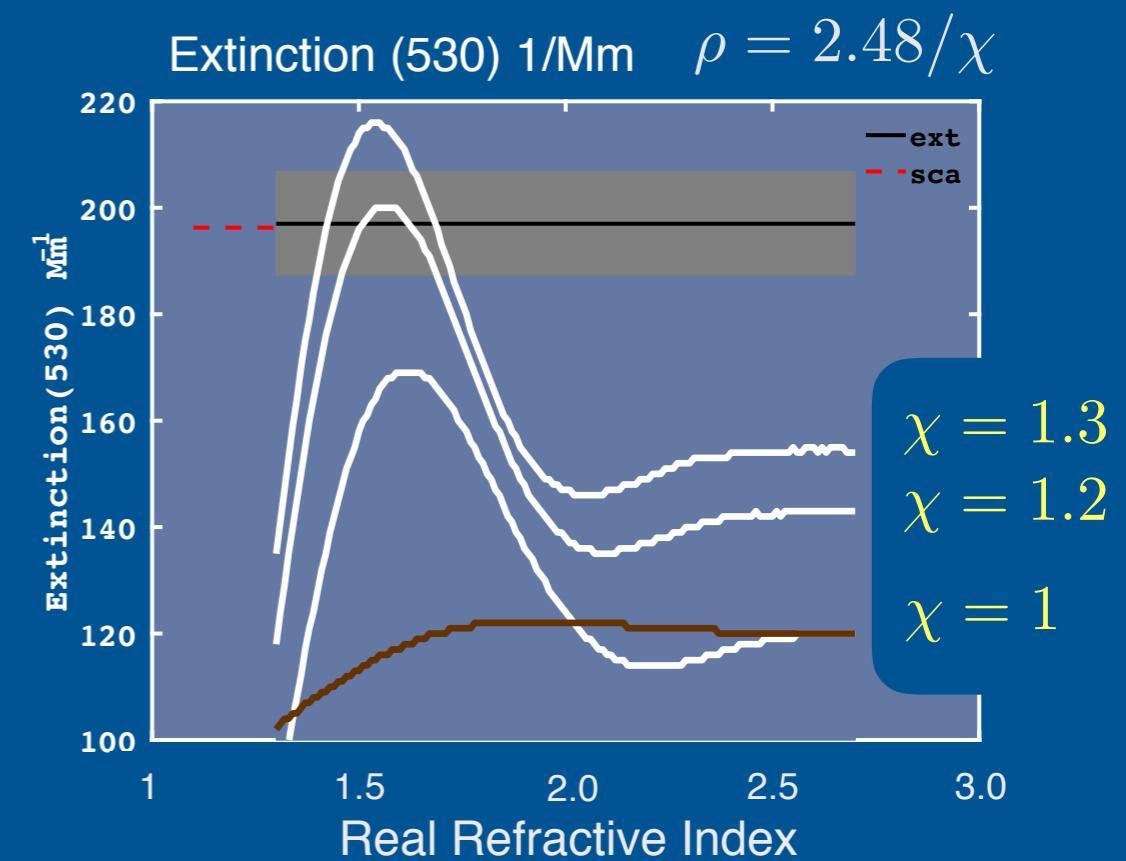
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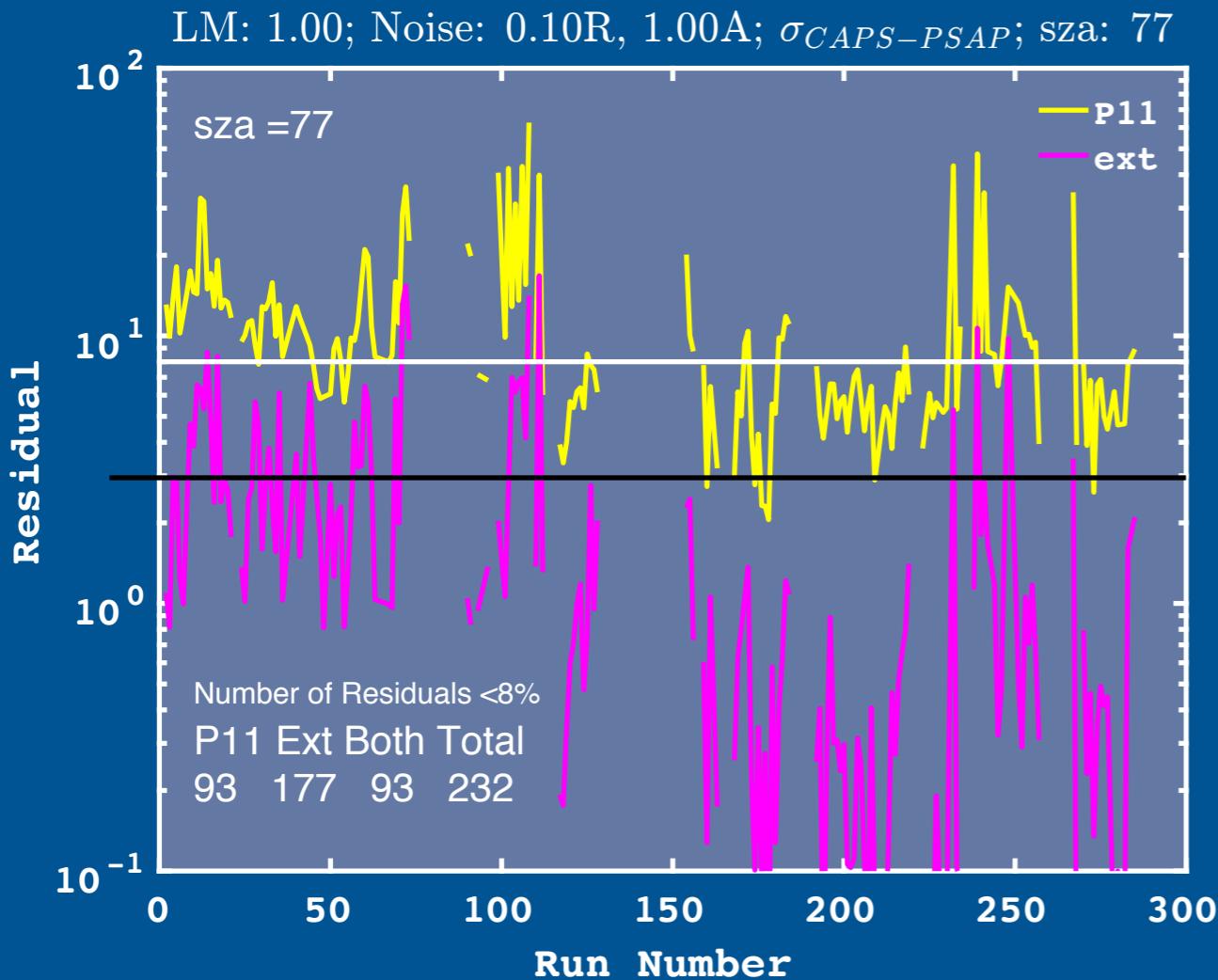
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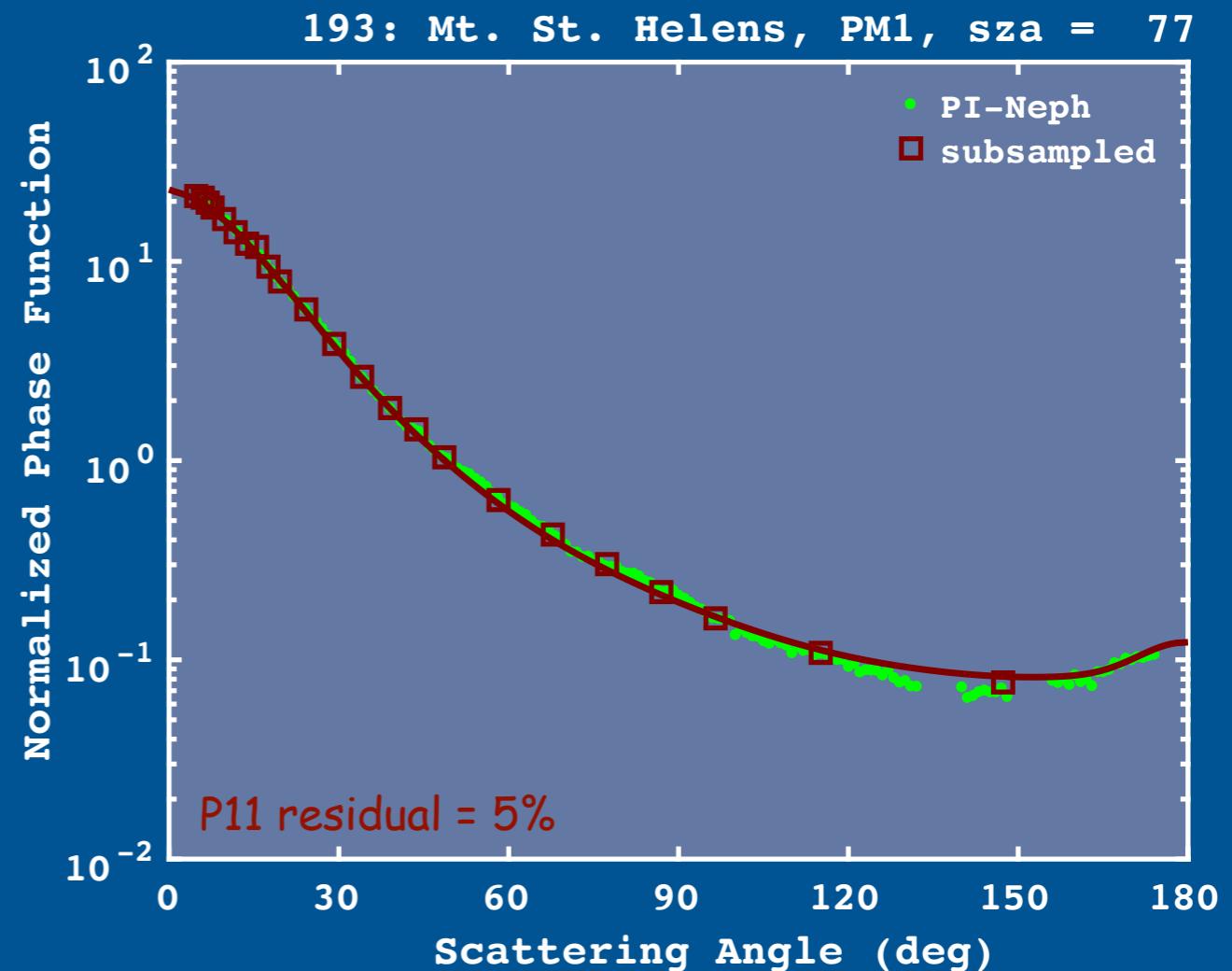
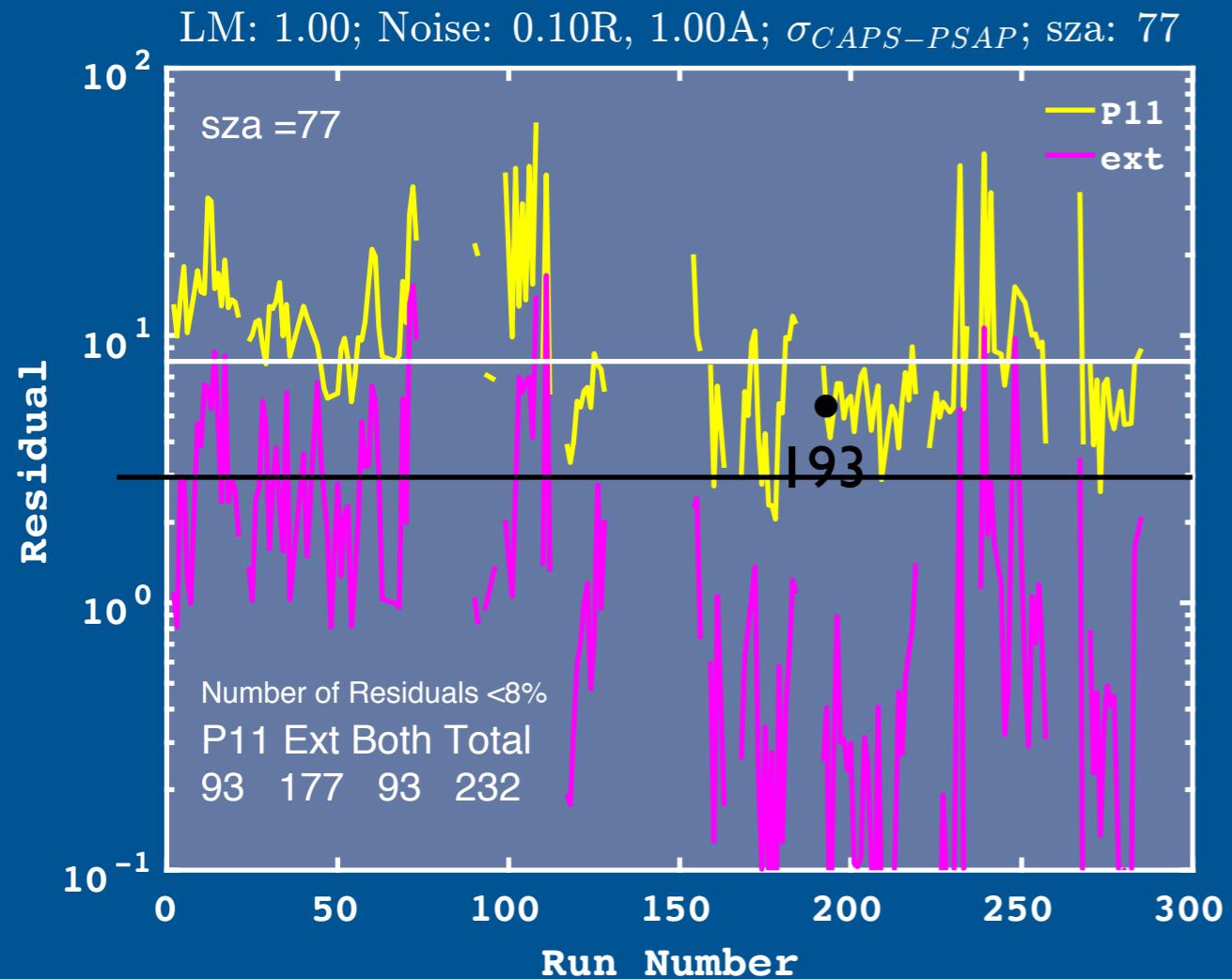
Decrease Density



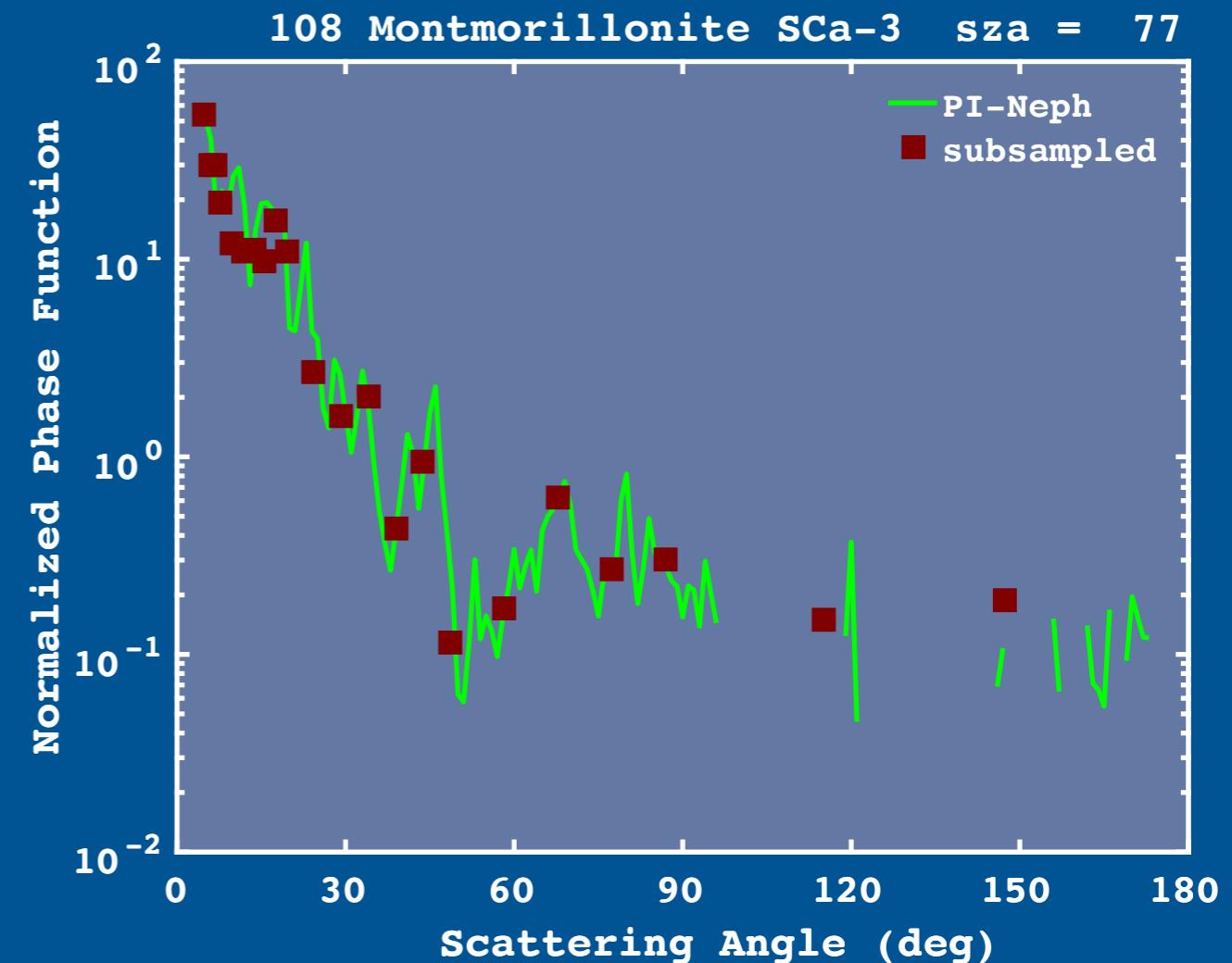
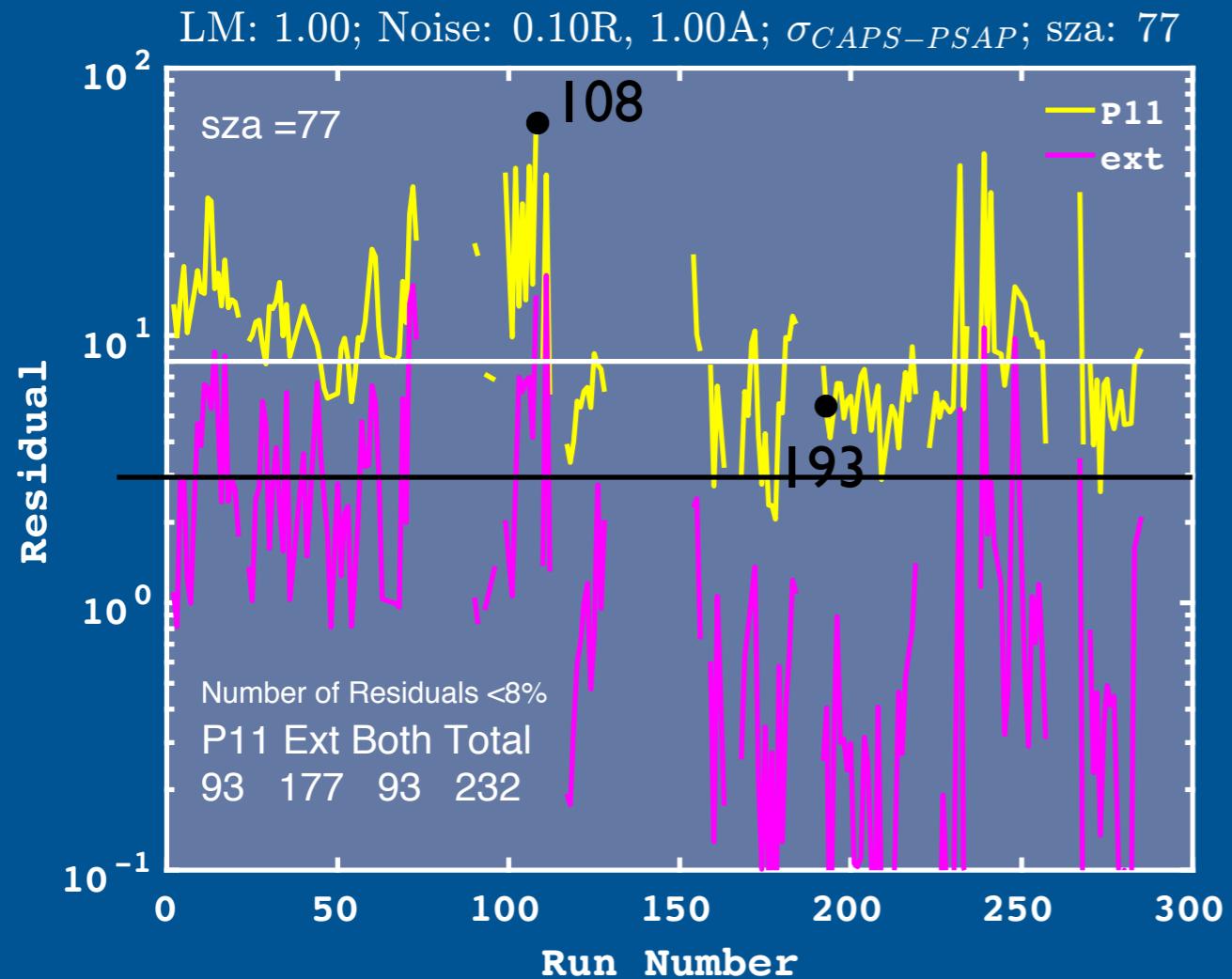
The Importance of Residuals



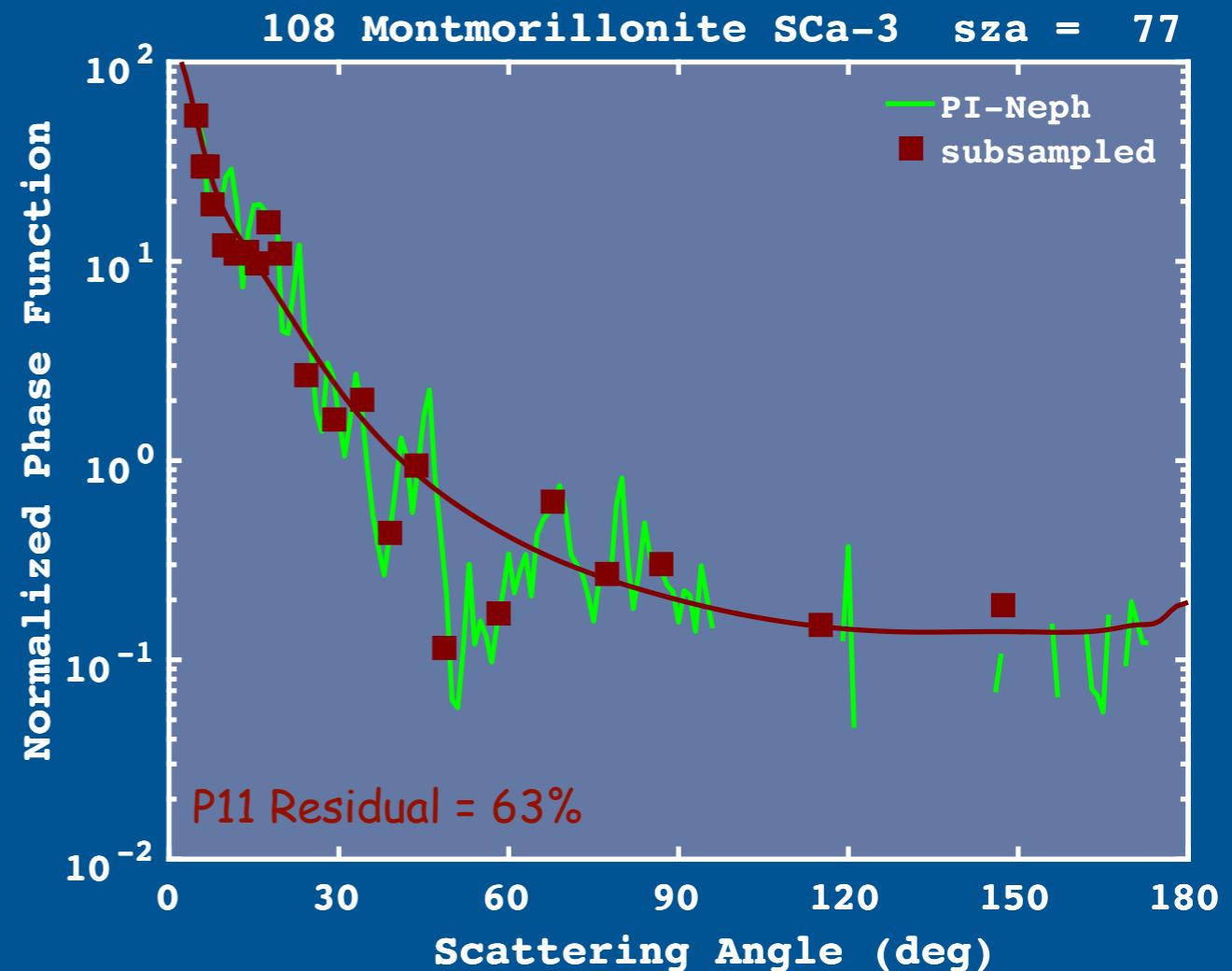
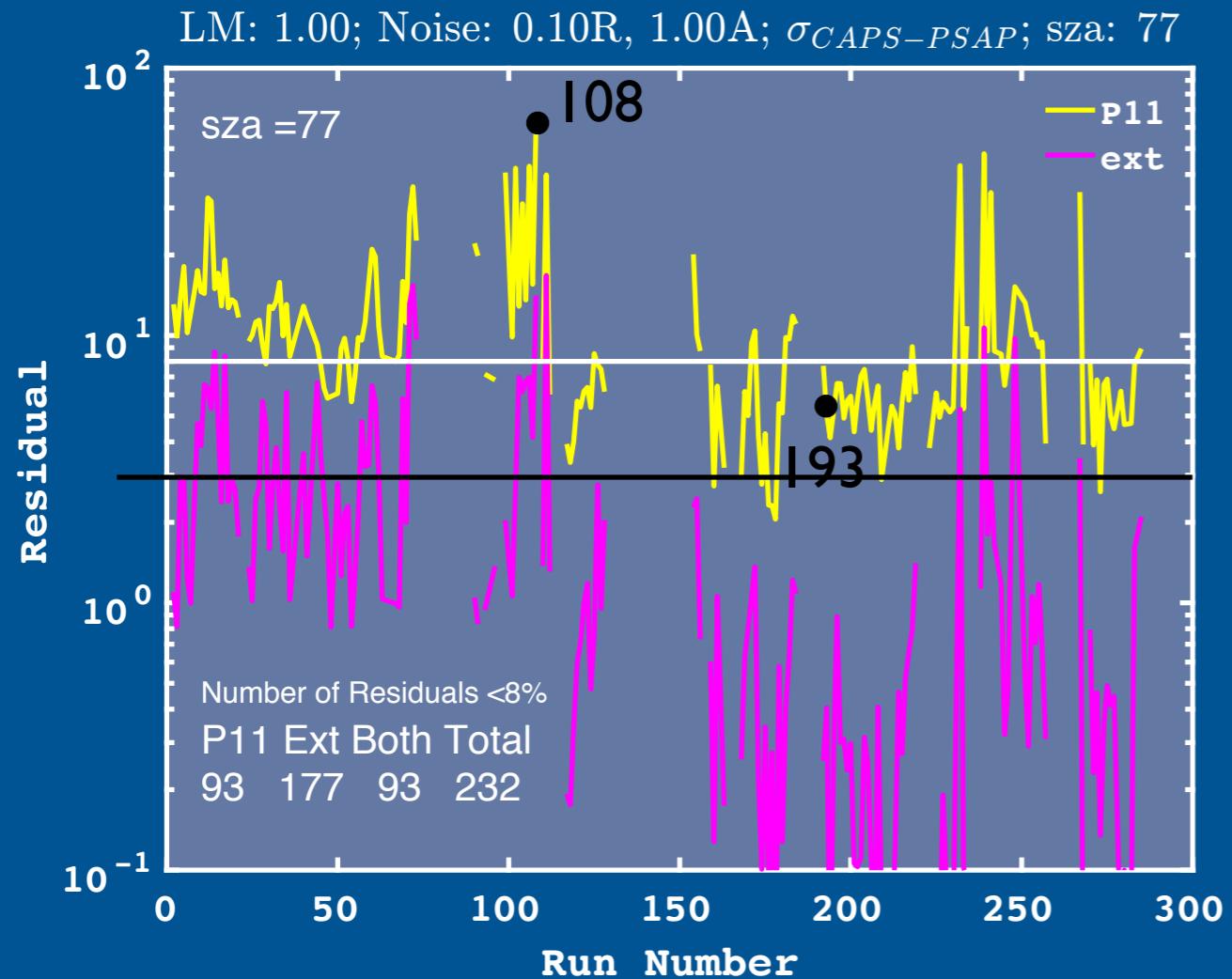
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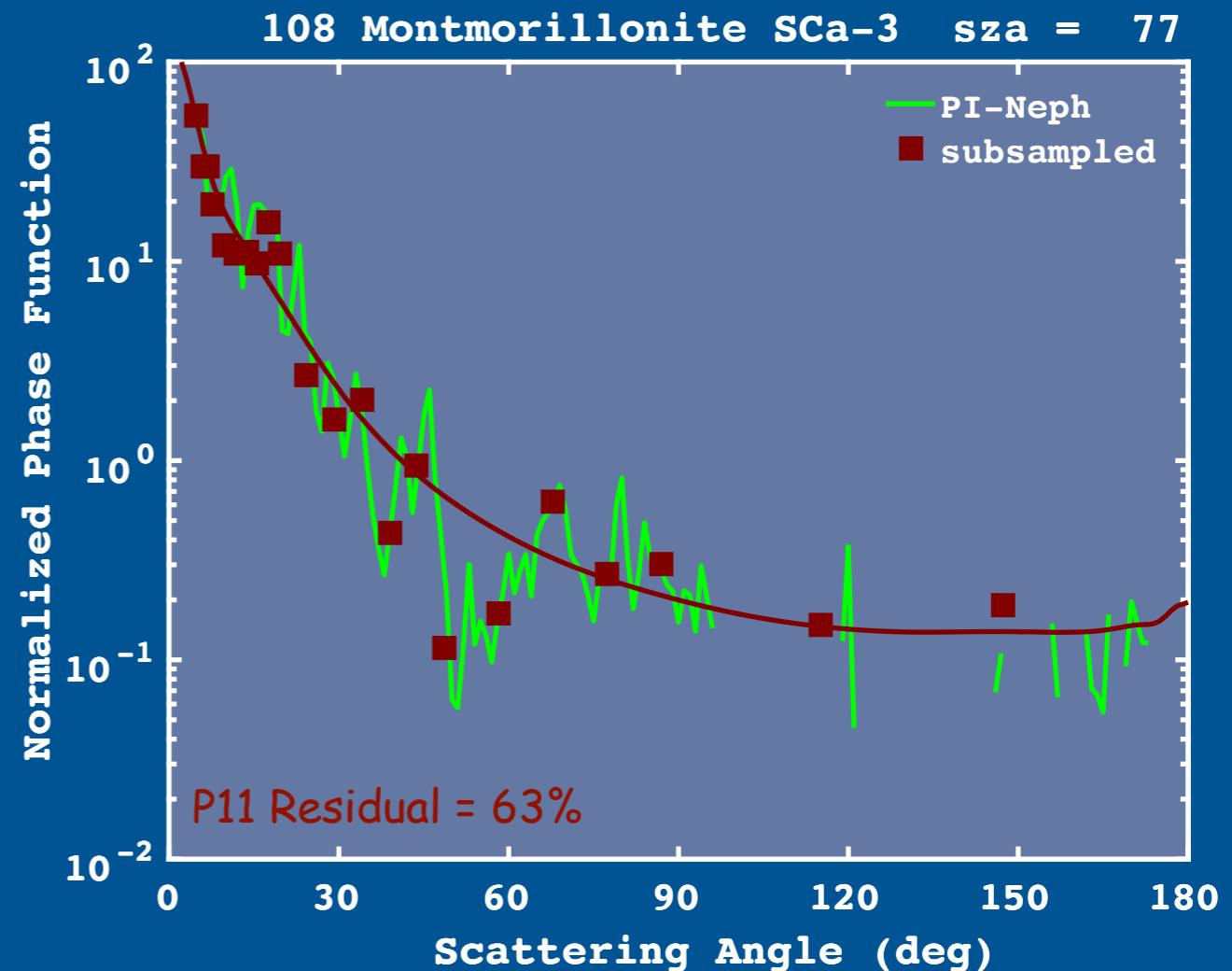
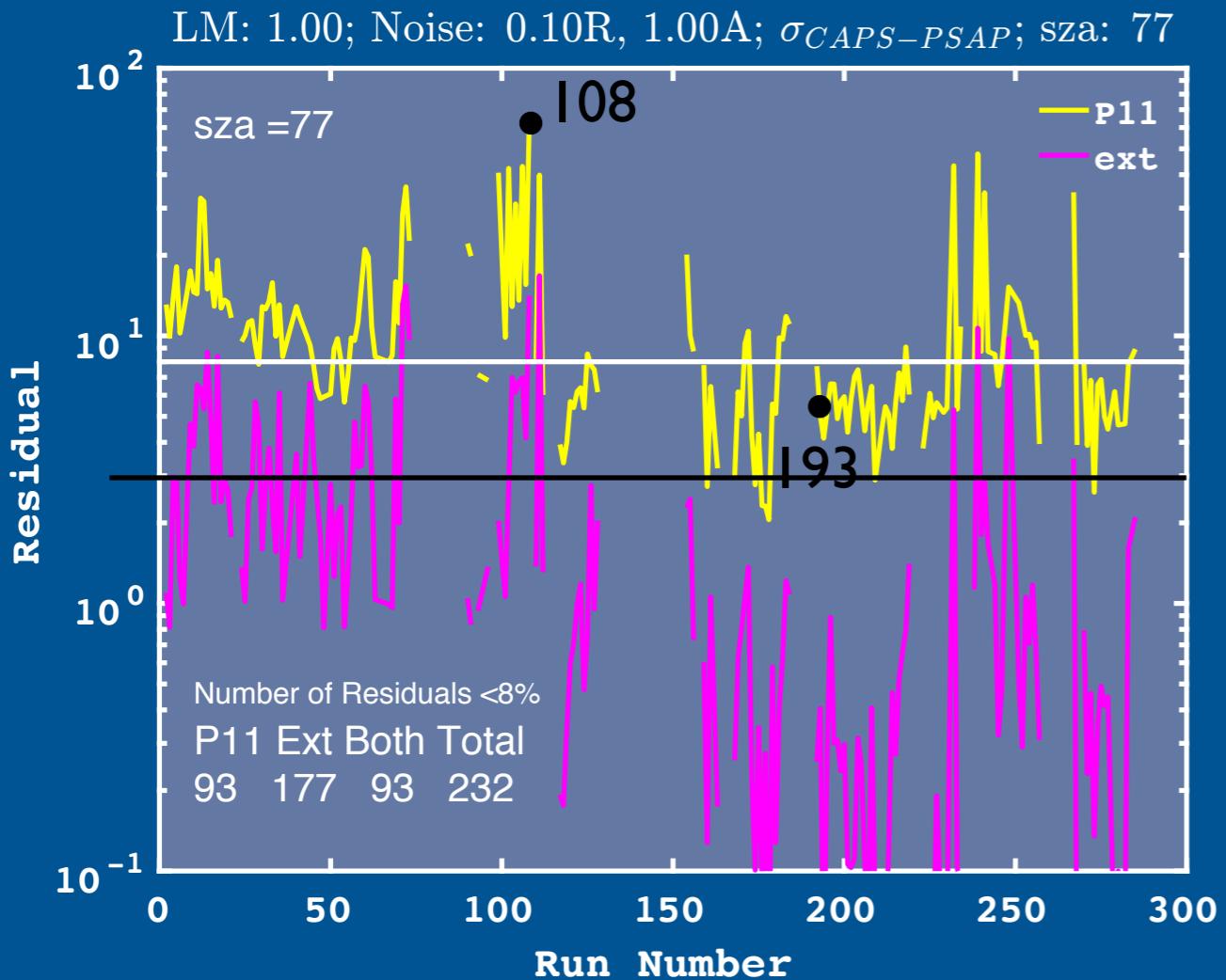
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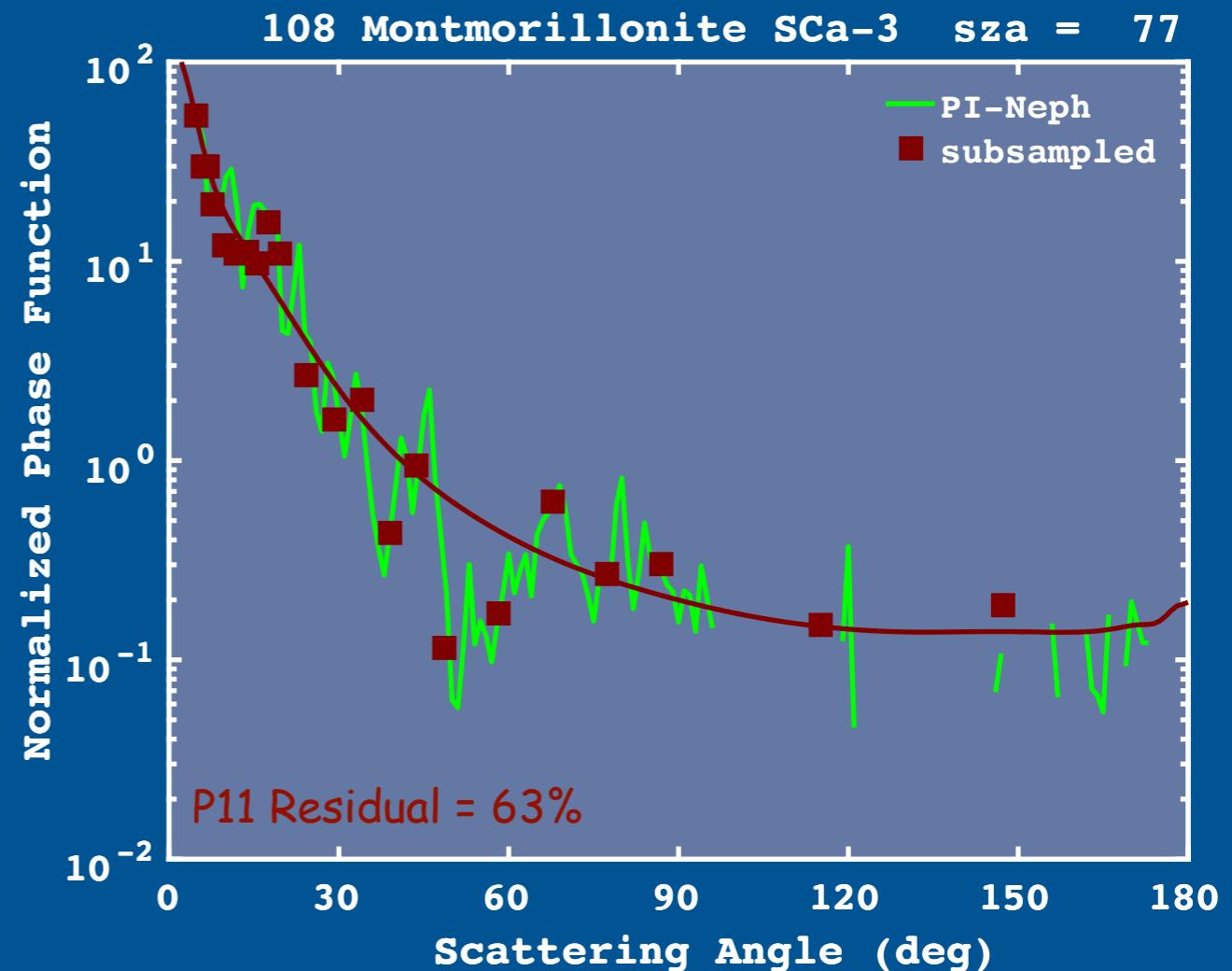
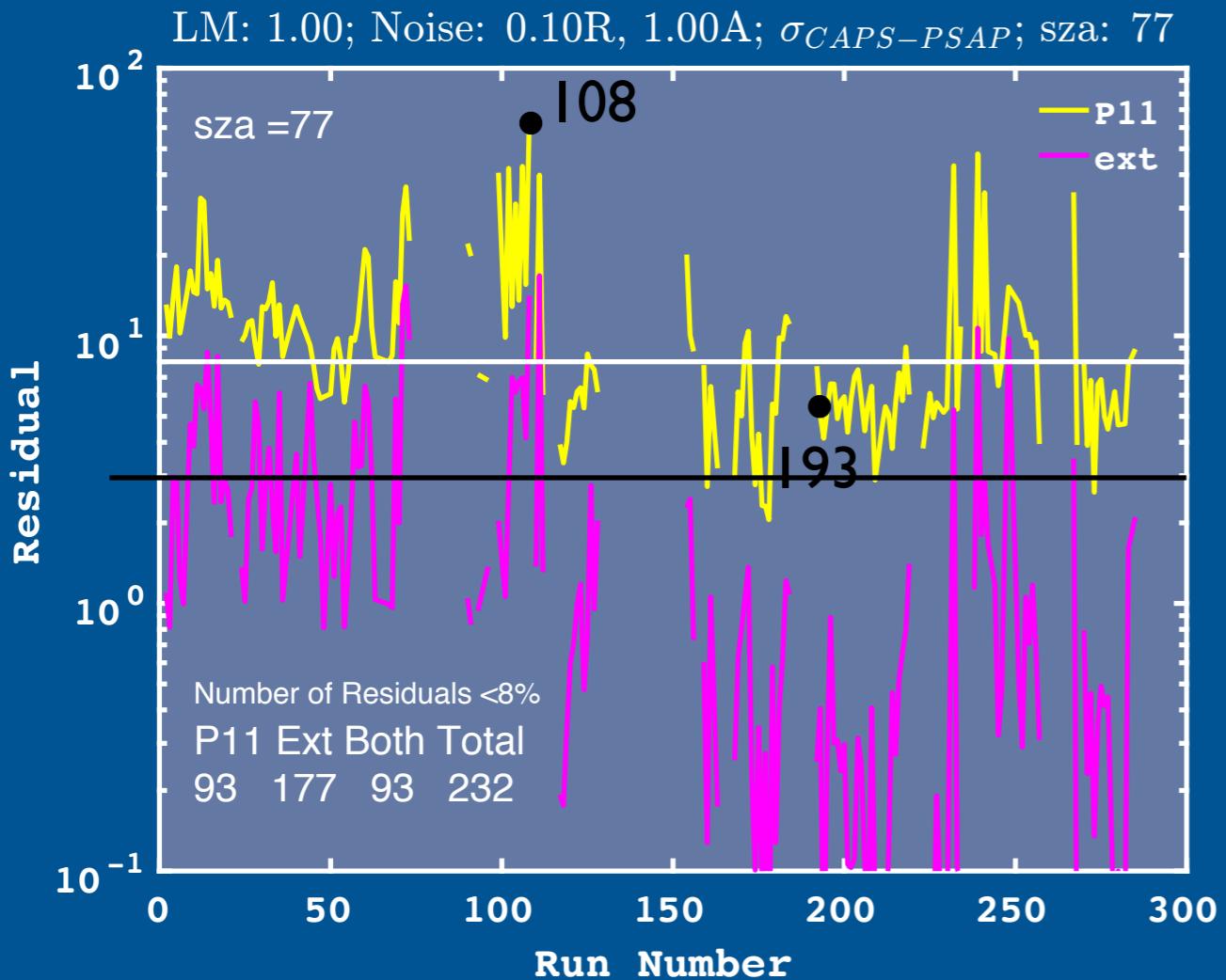


The Importance of Residuals



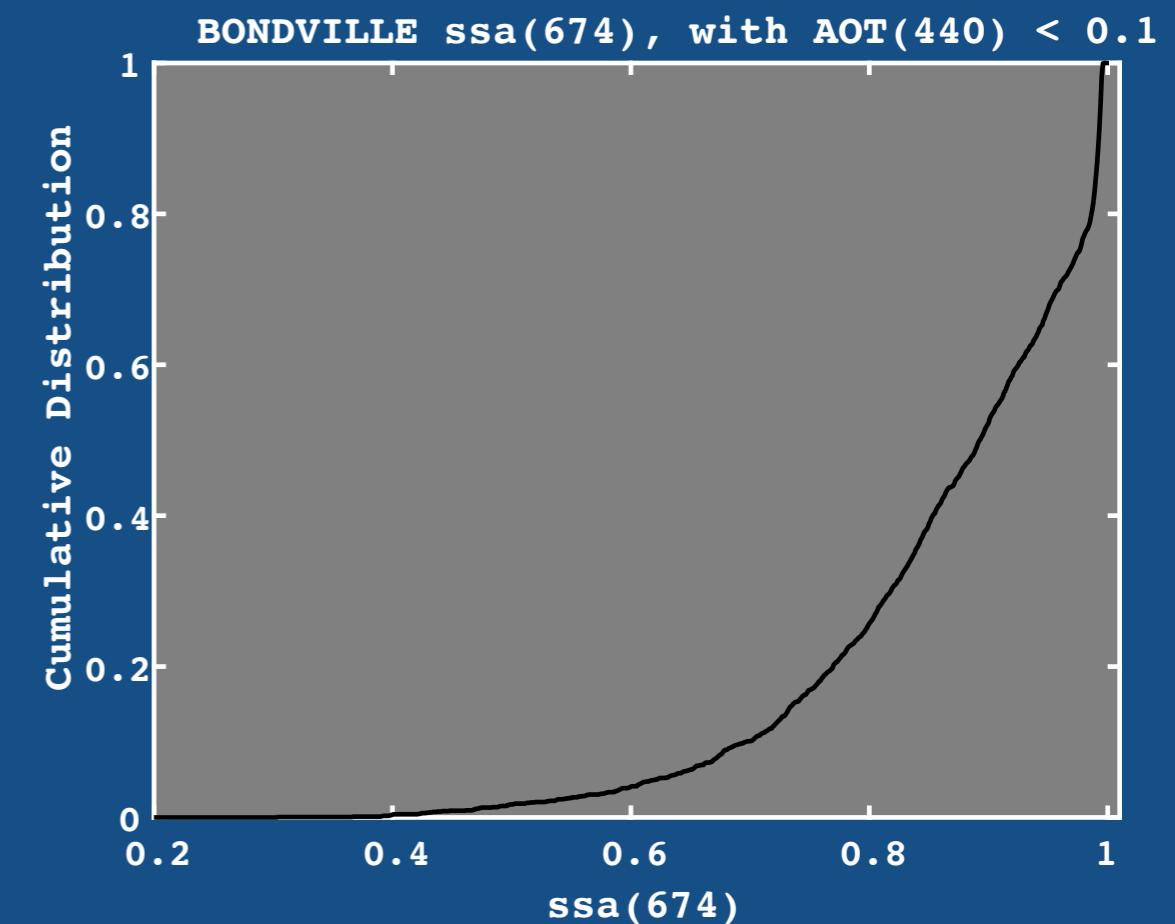
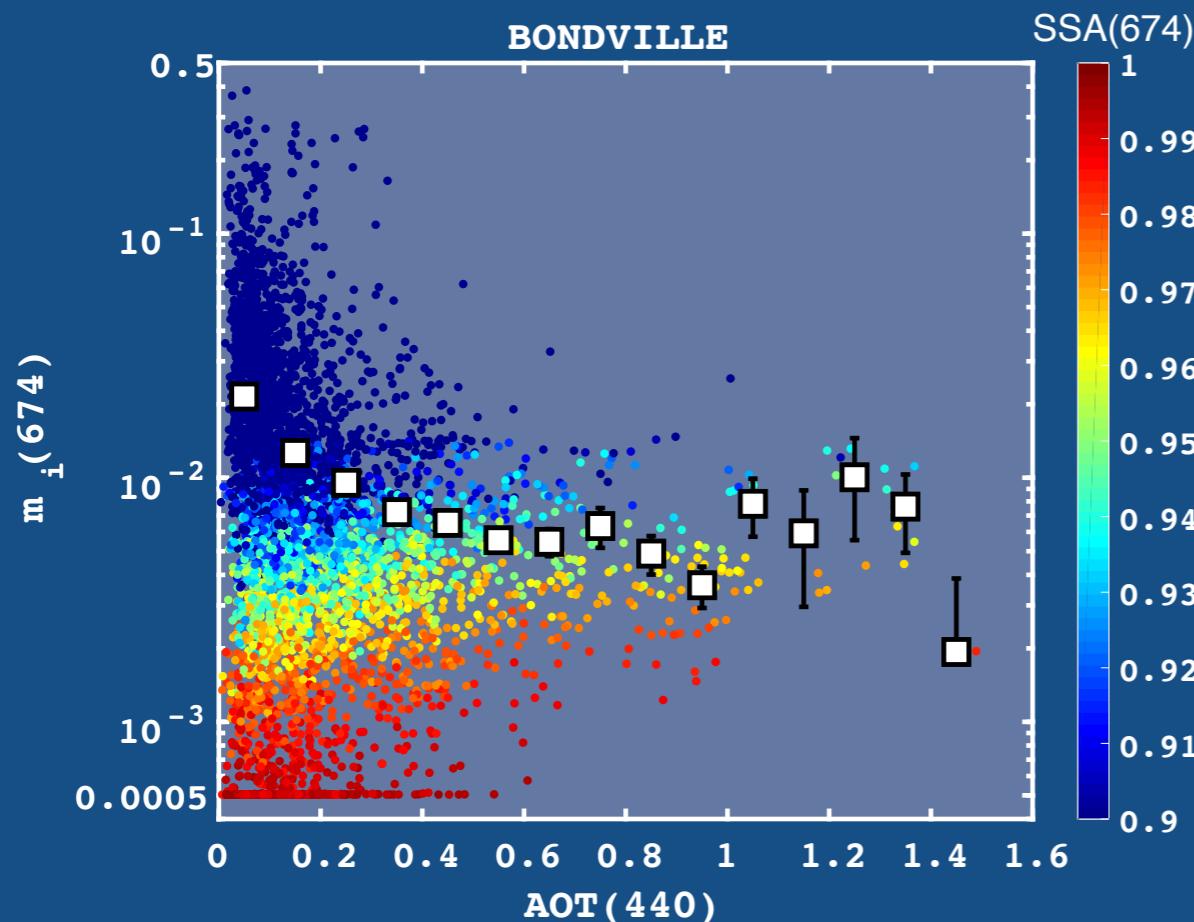
Note: AERONET Level 2 require residuals less than 5-8%, depending upon AOD.
AERONET Level 1.5 reports all retrievals, regardless of residuals.

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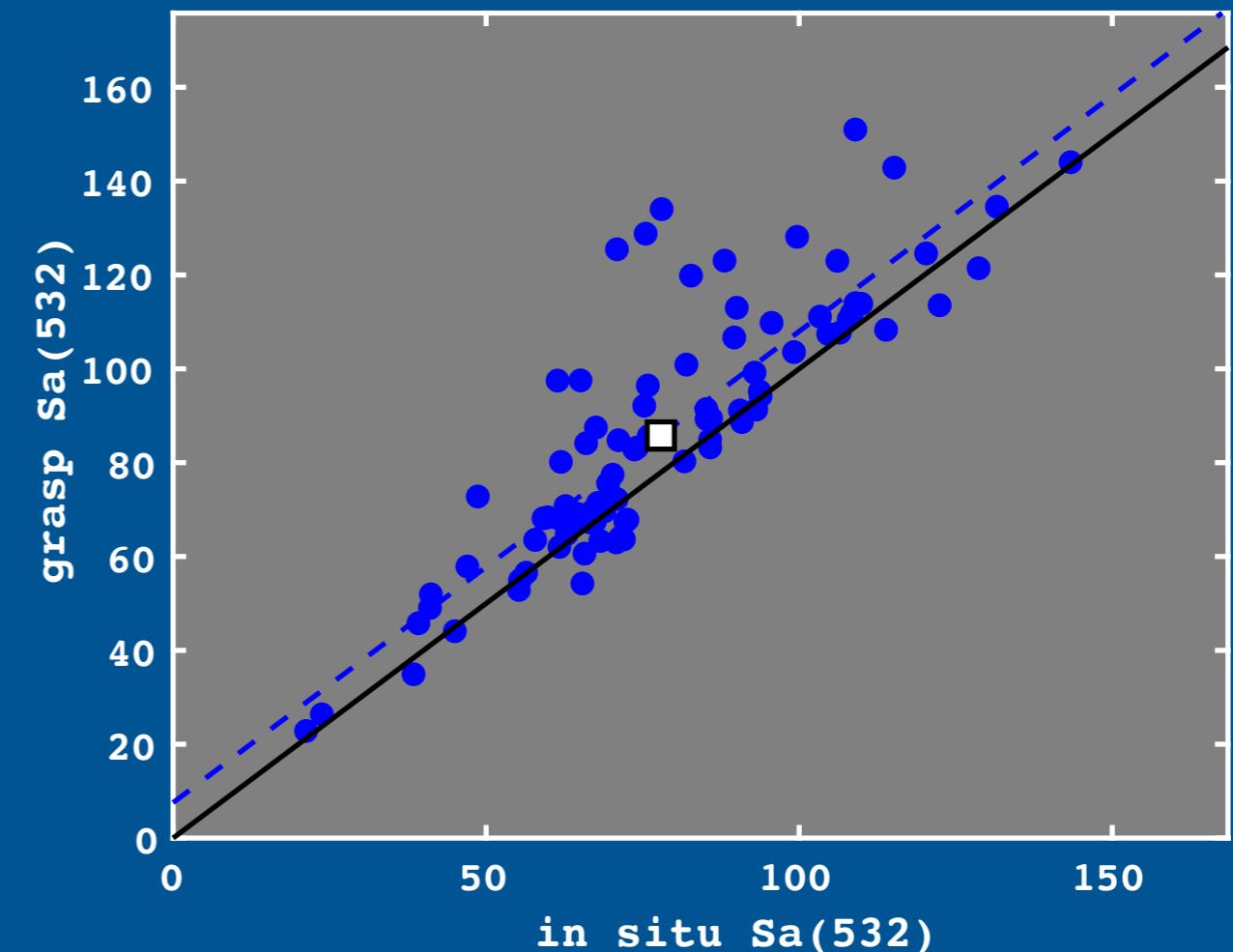
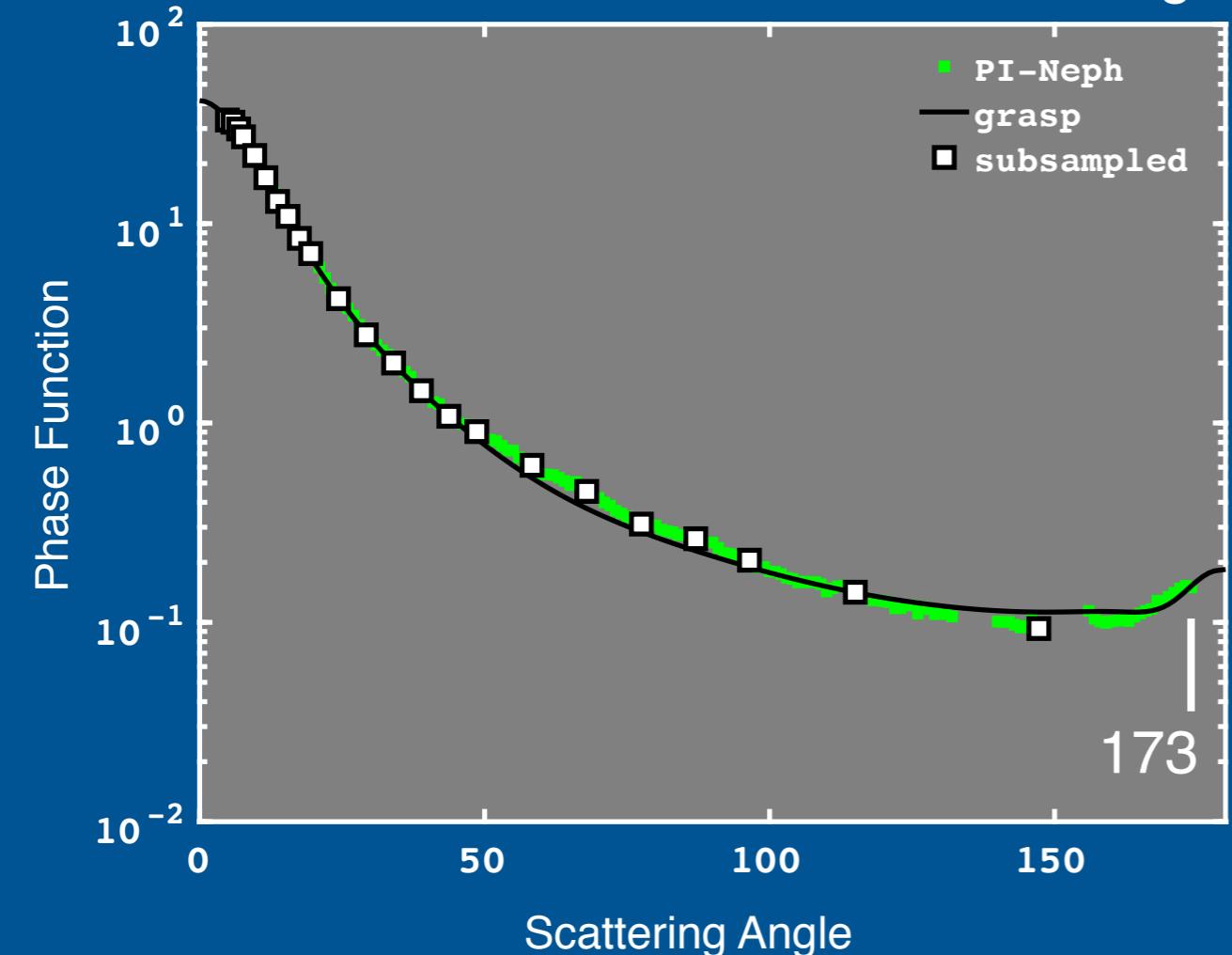
→ See Bond (JGR, 2013) for the "proper" way to use AERONET Level 1.5 data.



Bistatic Lidar Ratio at 173 degrees

Mt. St. Helens, PM1, sza = 77 deg

sza = 77 deg

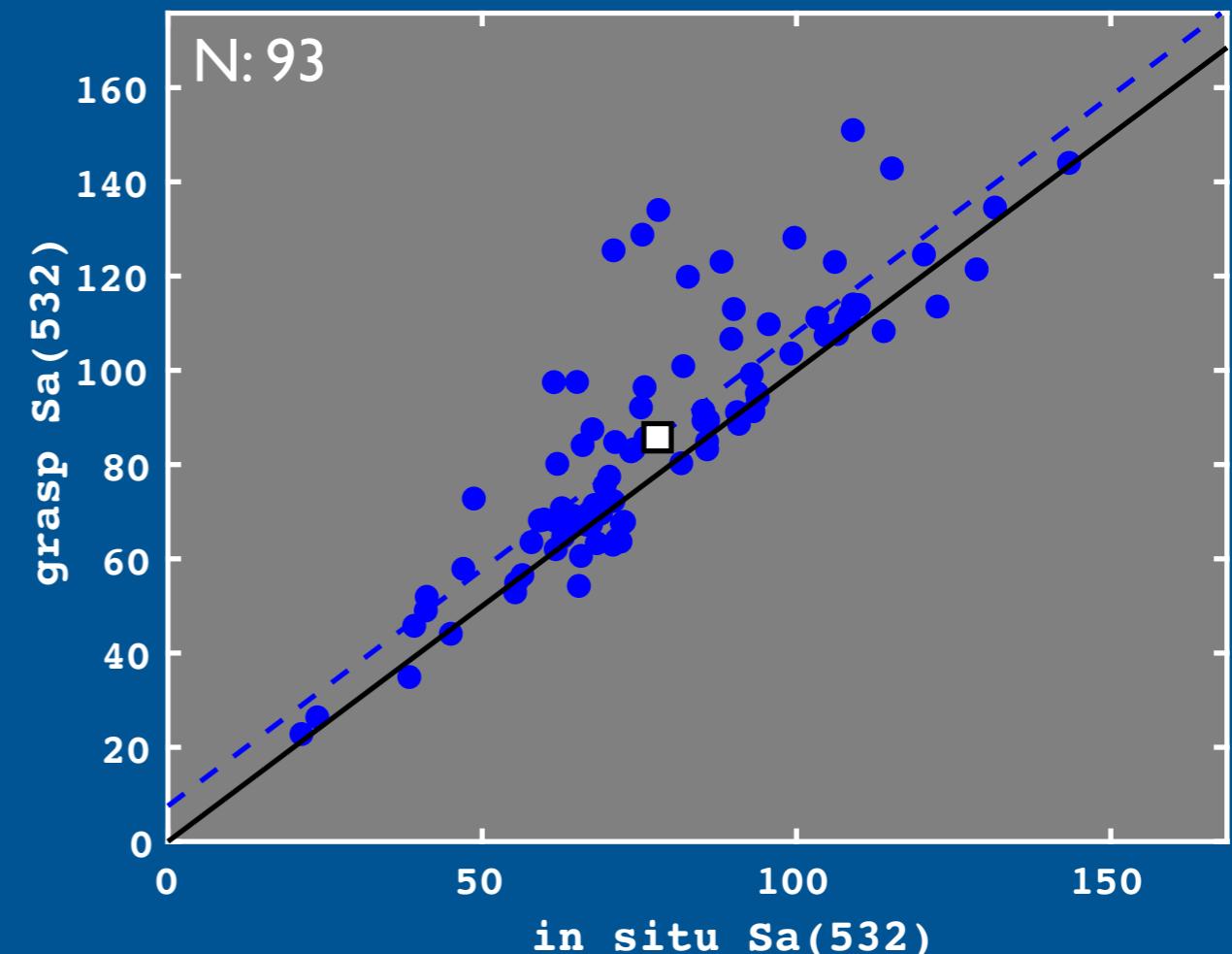
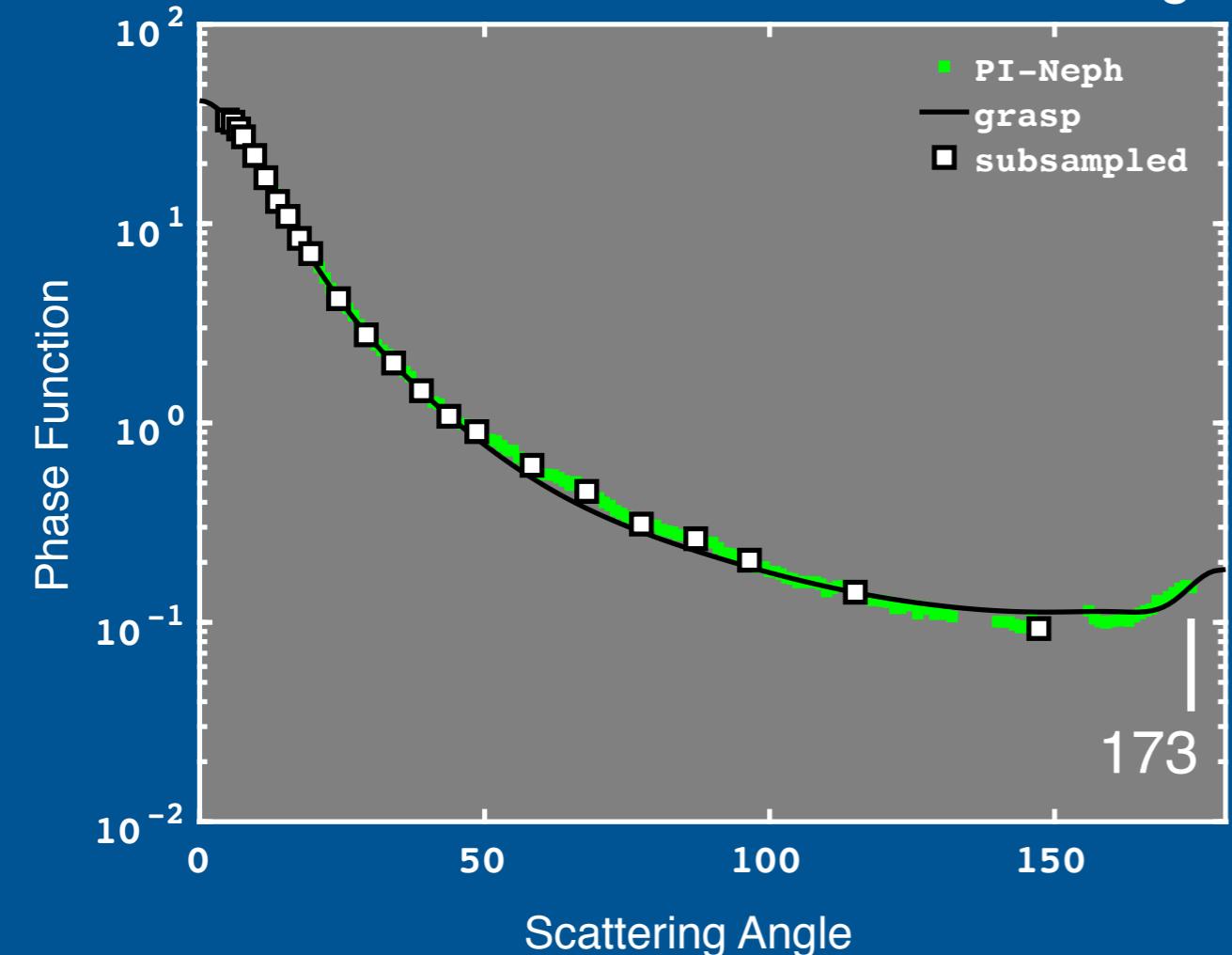


$$S_a = \frac{\text{ext}}{\text{sca}} \frac{4\pi}{P(173)}$$

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Mt. St. Helens, PM1, sza = 77 deg

sza = 77 deg



$$S_a = \frac{\text{ext}}{\text{sca}} \frac{4\pi}{P(173)}$$

| | | |
|------------------|---------|--------|
| sza | 50 | 77 |
| correlation coef | 0.714 | 0.860 |
| slope | 0.774 | 1.004 |
| Intercept | 19.8 sr | 7.5 sr |
| Absolute bias | 1.8 sr | 7.9 sr |
| Relative Bias | 1.02 | 1.10 |

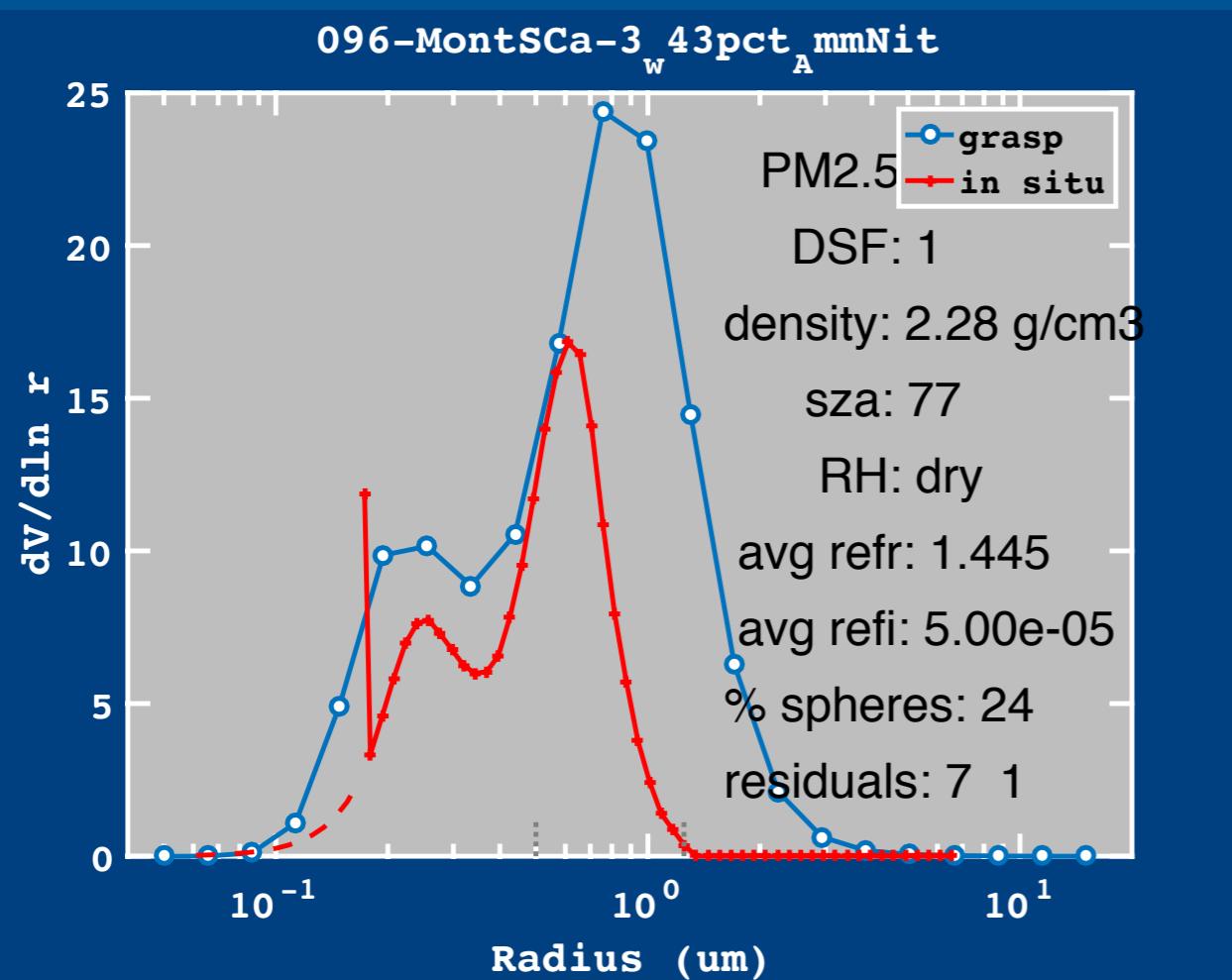
Comparing Retrieved Size Distributions to Aerodynamic Size

Evaluate size distribution retrievals using the effective variance and effective radius.

$$r_{eff} = \frac{\int r \times \pi r^2 n(r) dr}{\int \pi r^2 n(r) dr}$$

$$v_{eff} = \frac{\int (r - r_{eff})^2 \times \pi r^2 n(r) dr}{r_{eff}^2 \int \pi r^2 n(r) dr}$$

$$\chi = 1$$



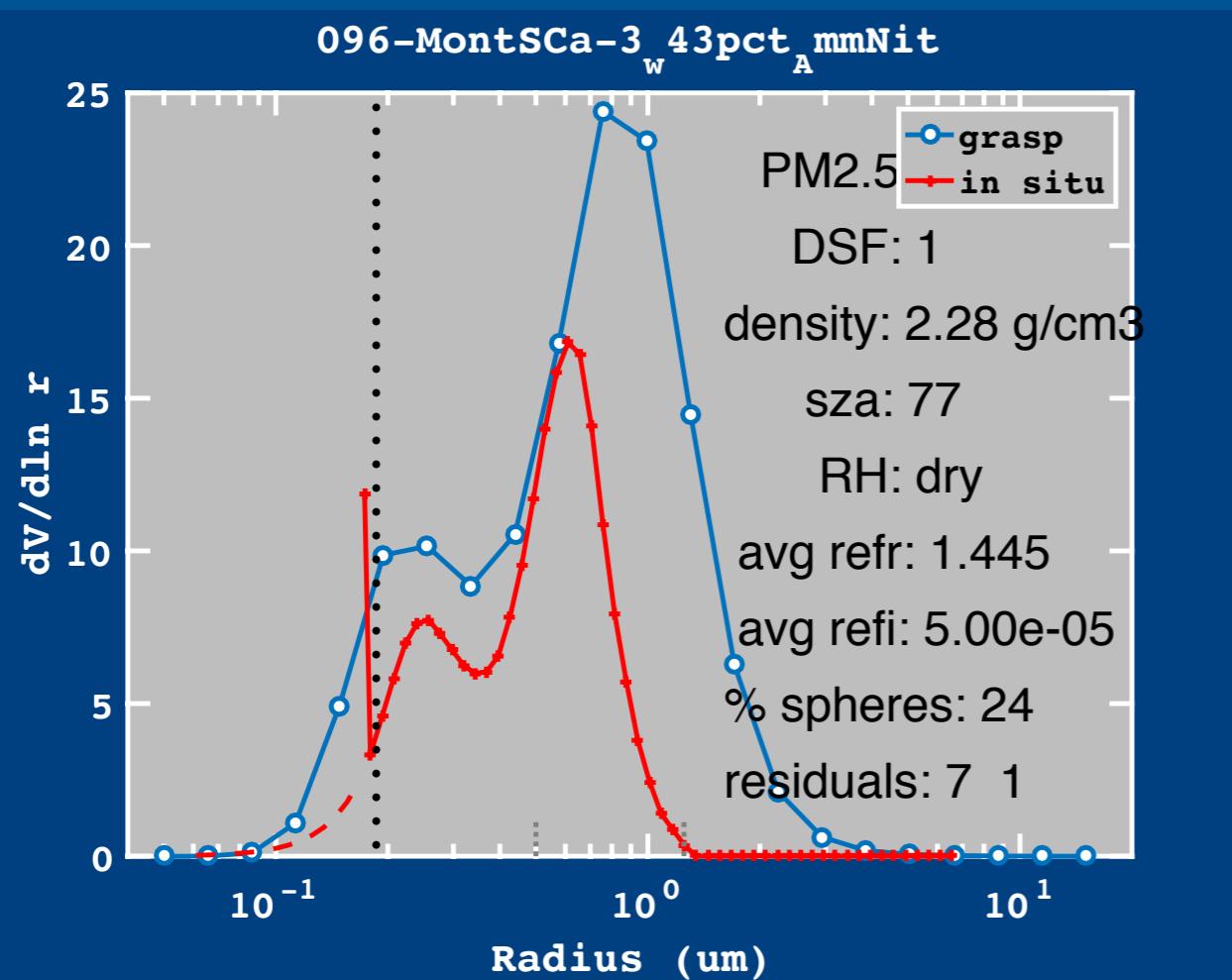
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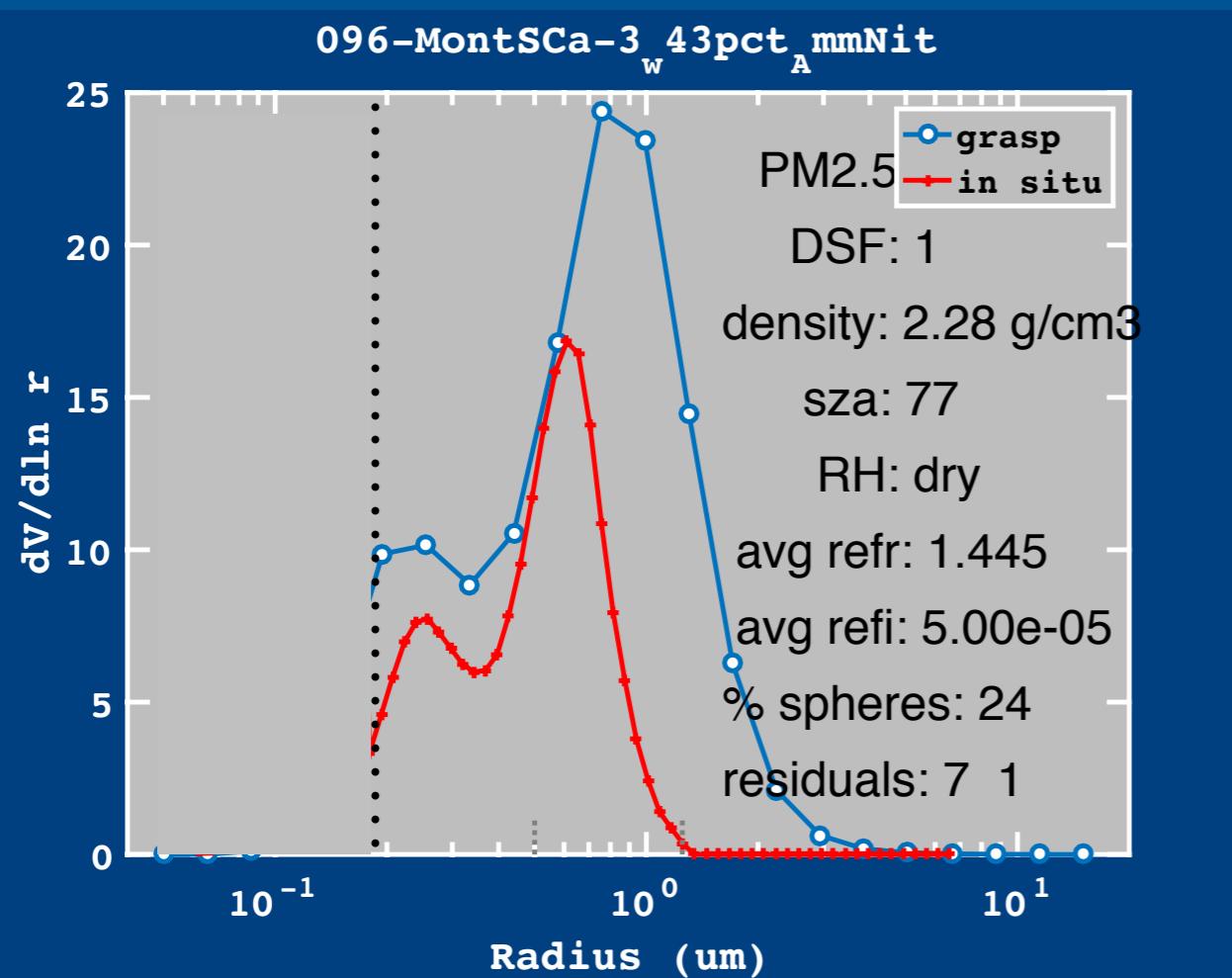
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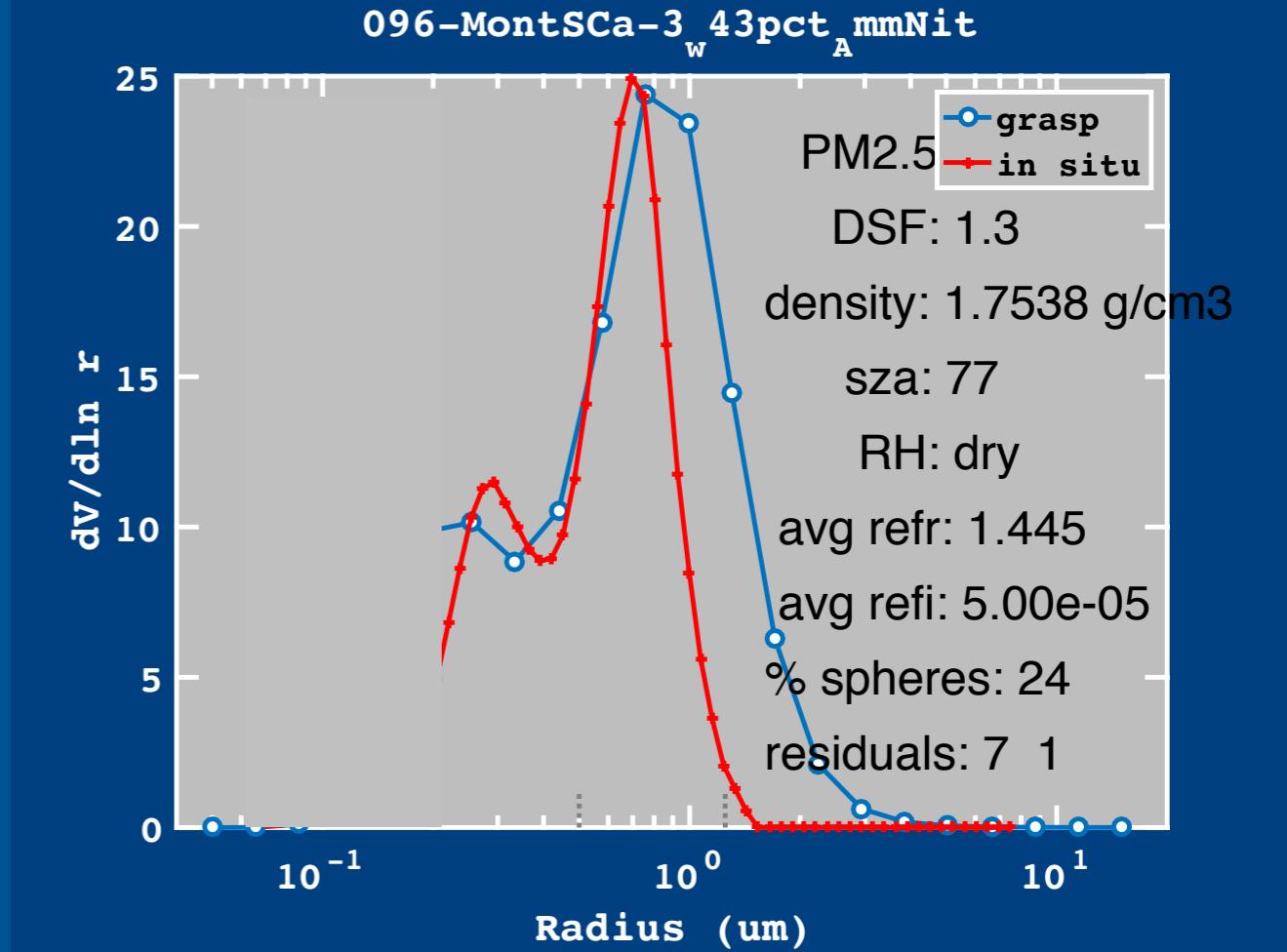
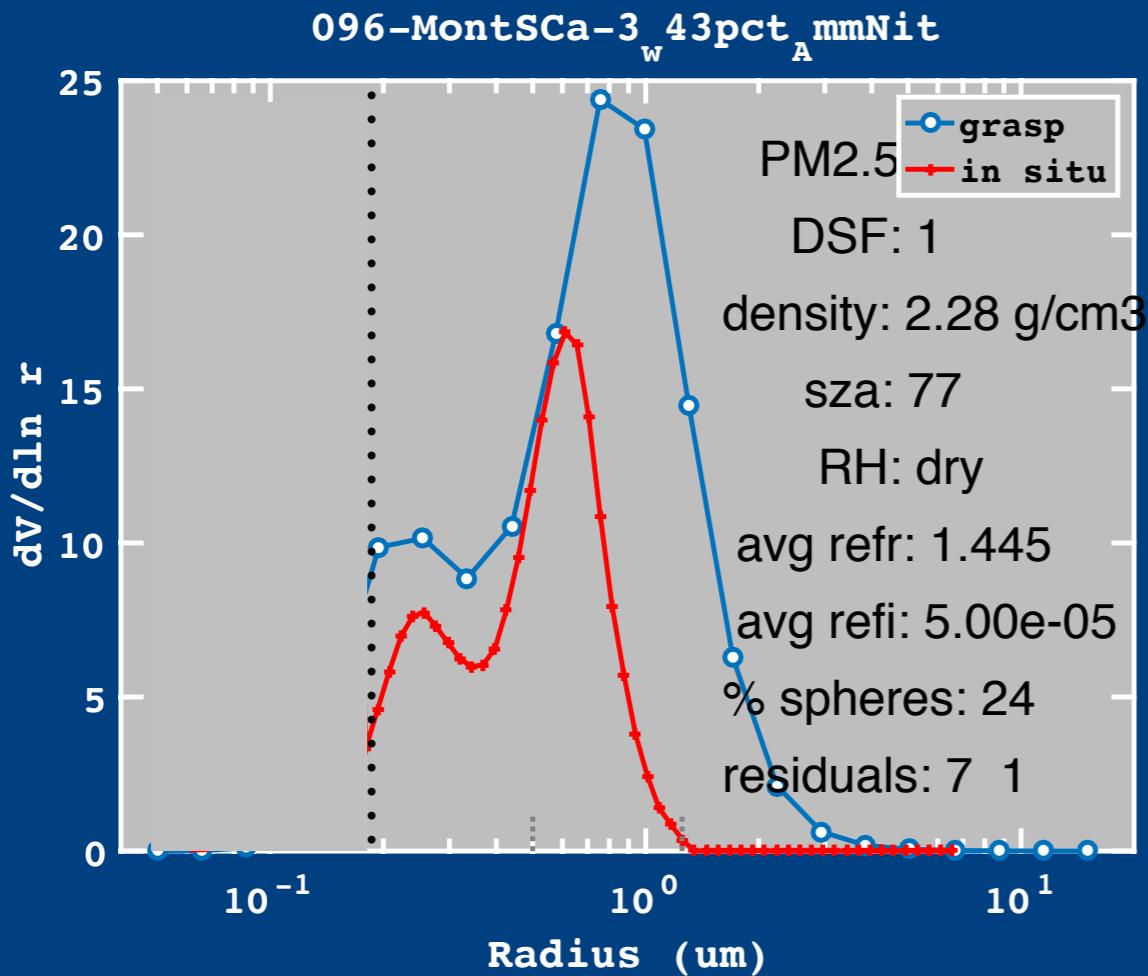
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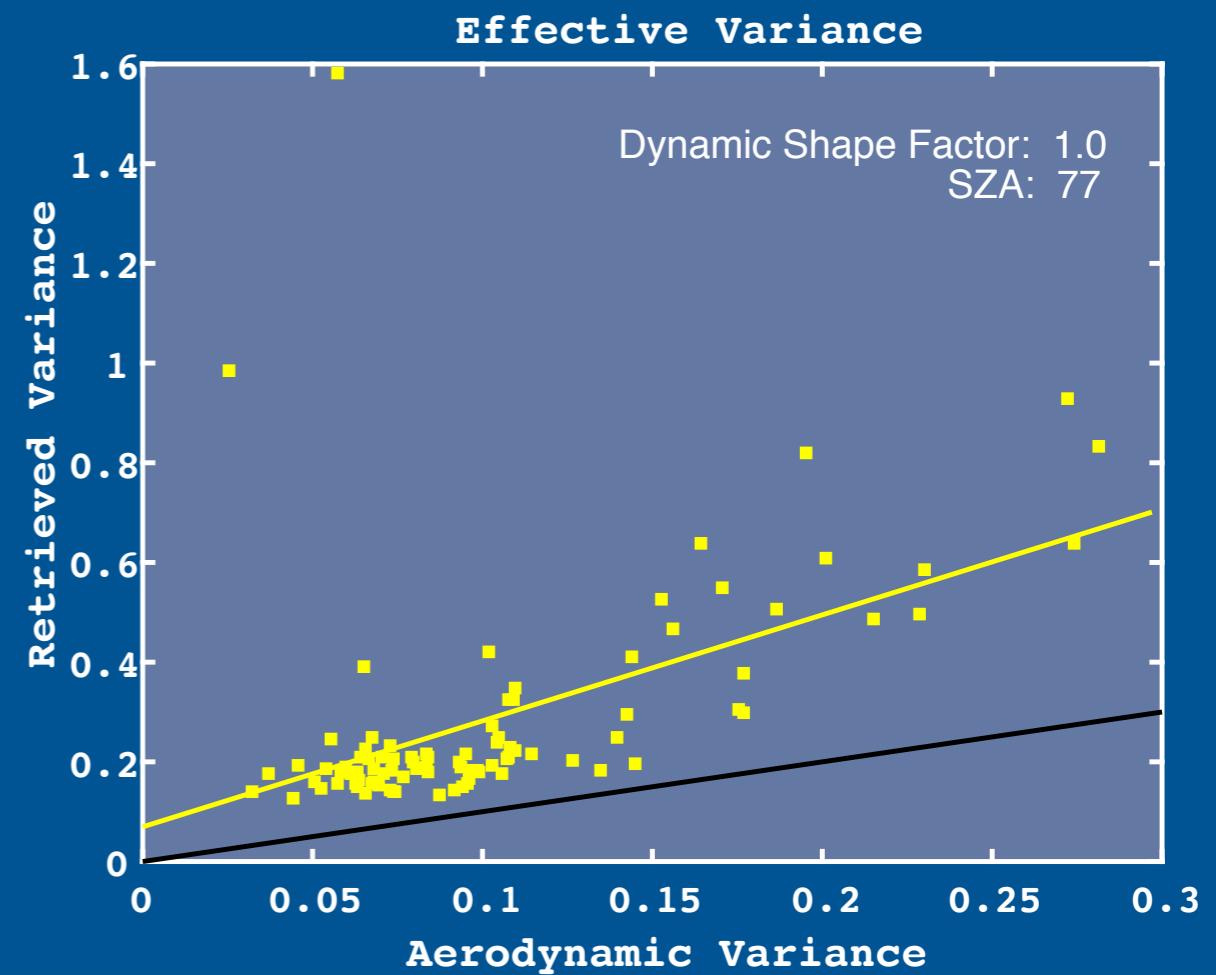
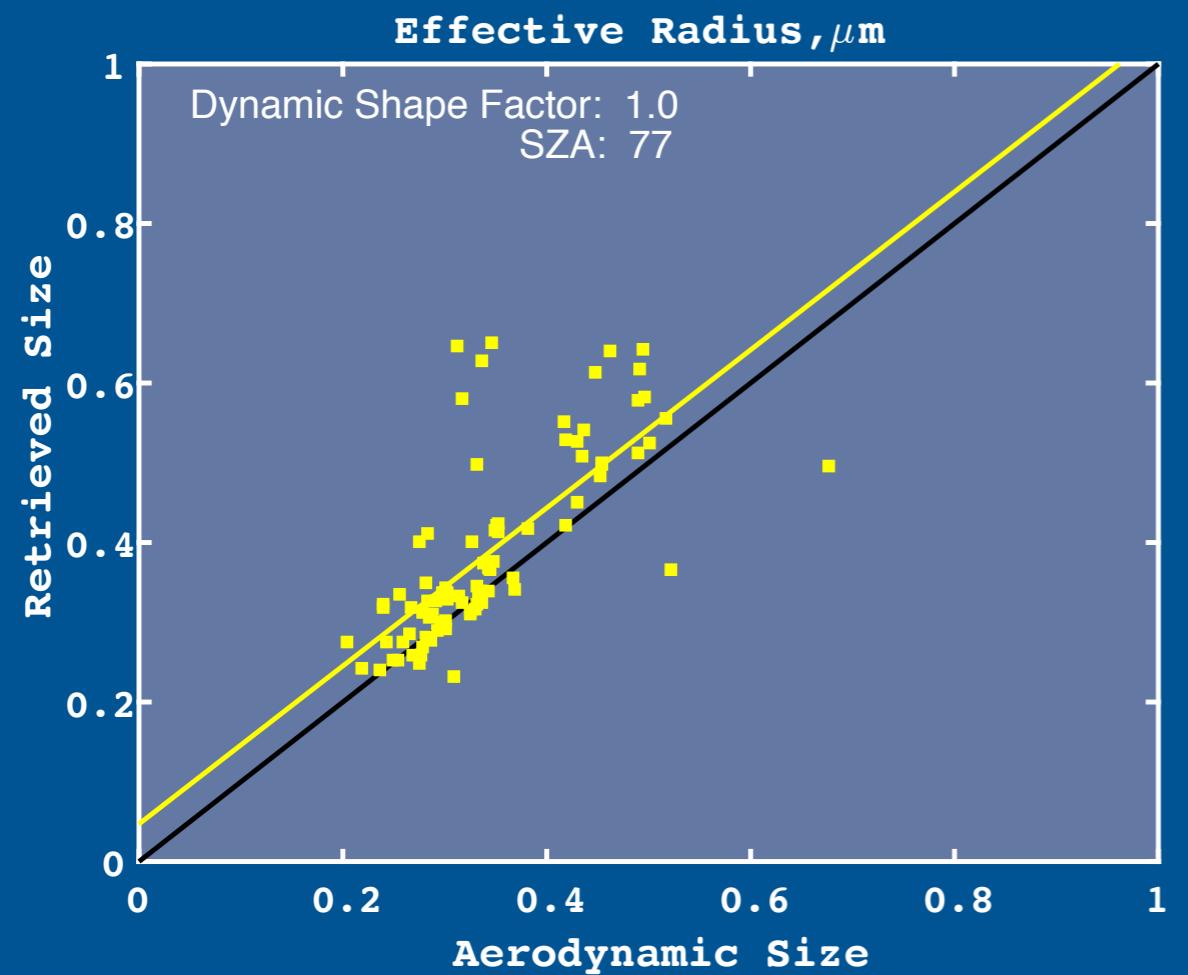
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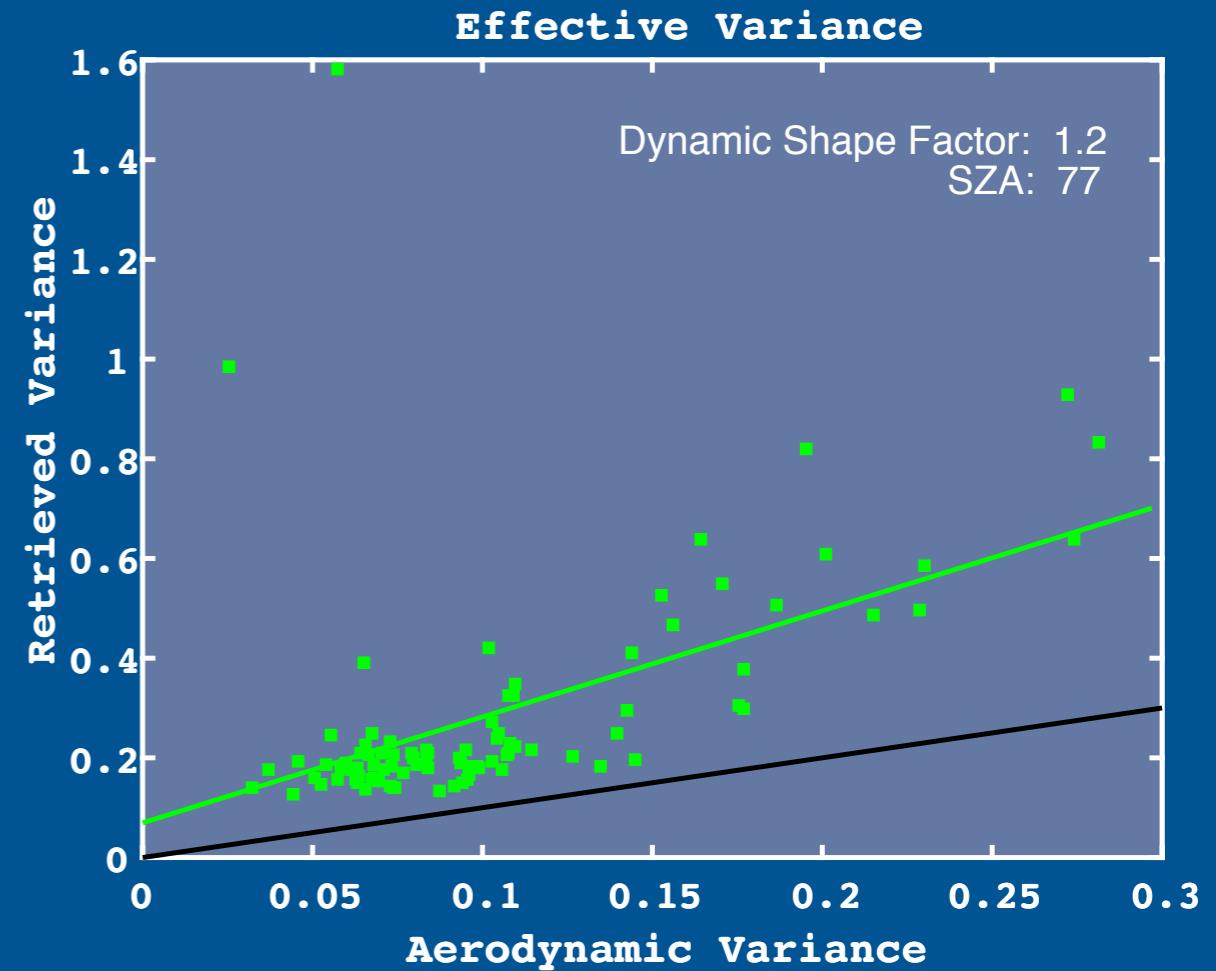
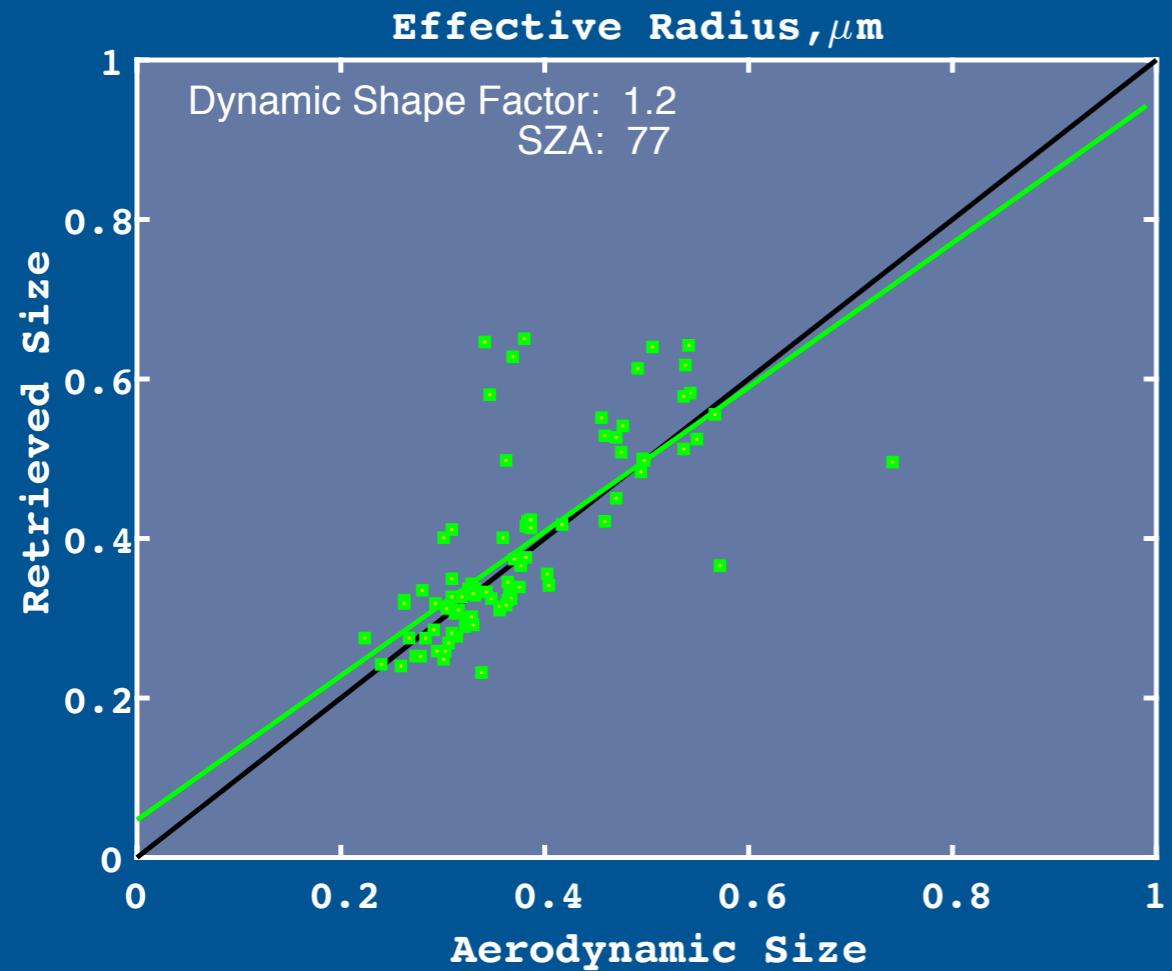
$$\chi = 1$$

$$\rho^* = \rho/\chi = \rho/1.3$$

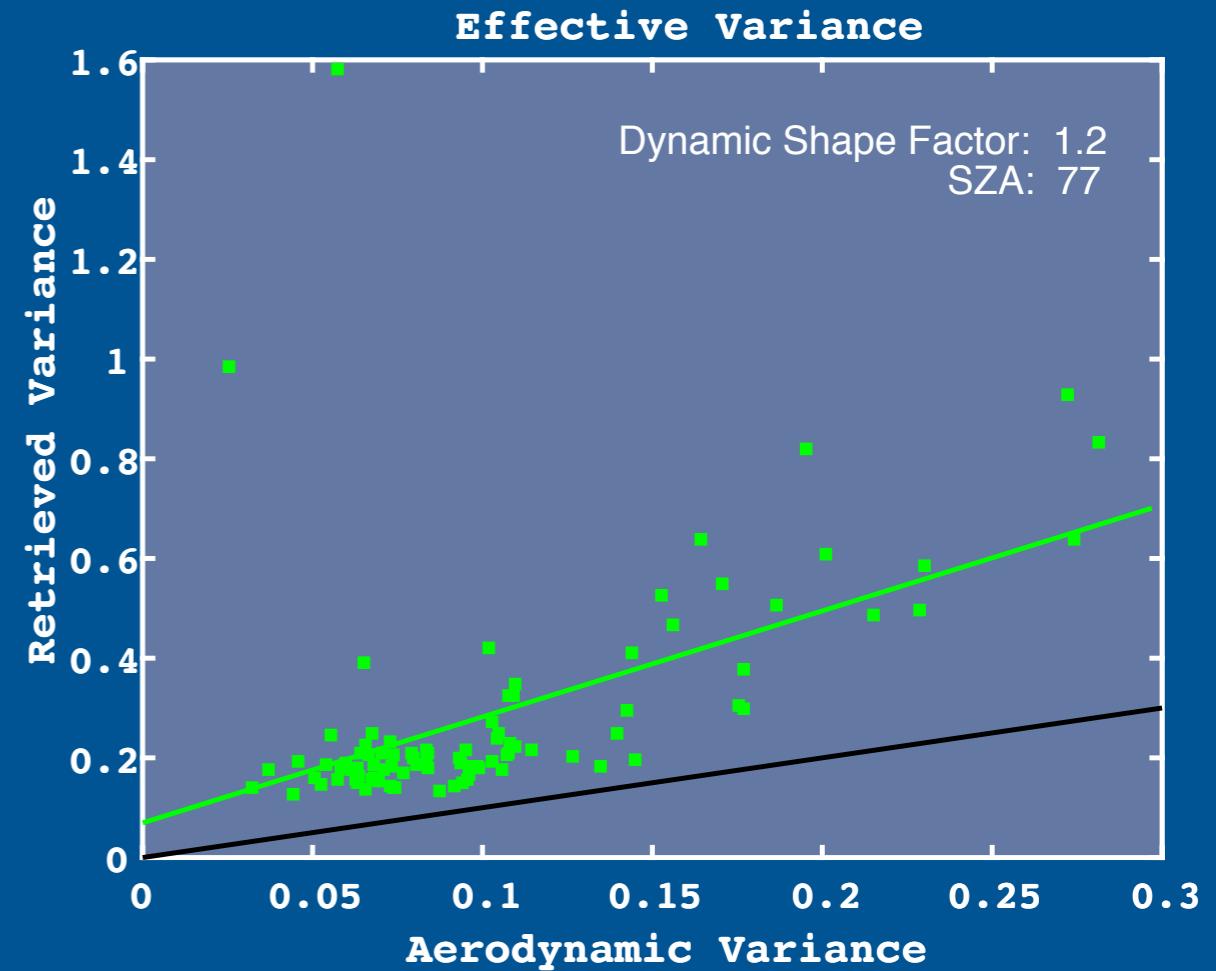
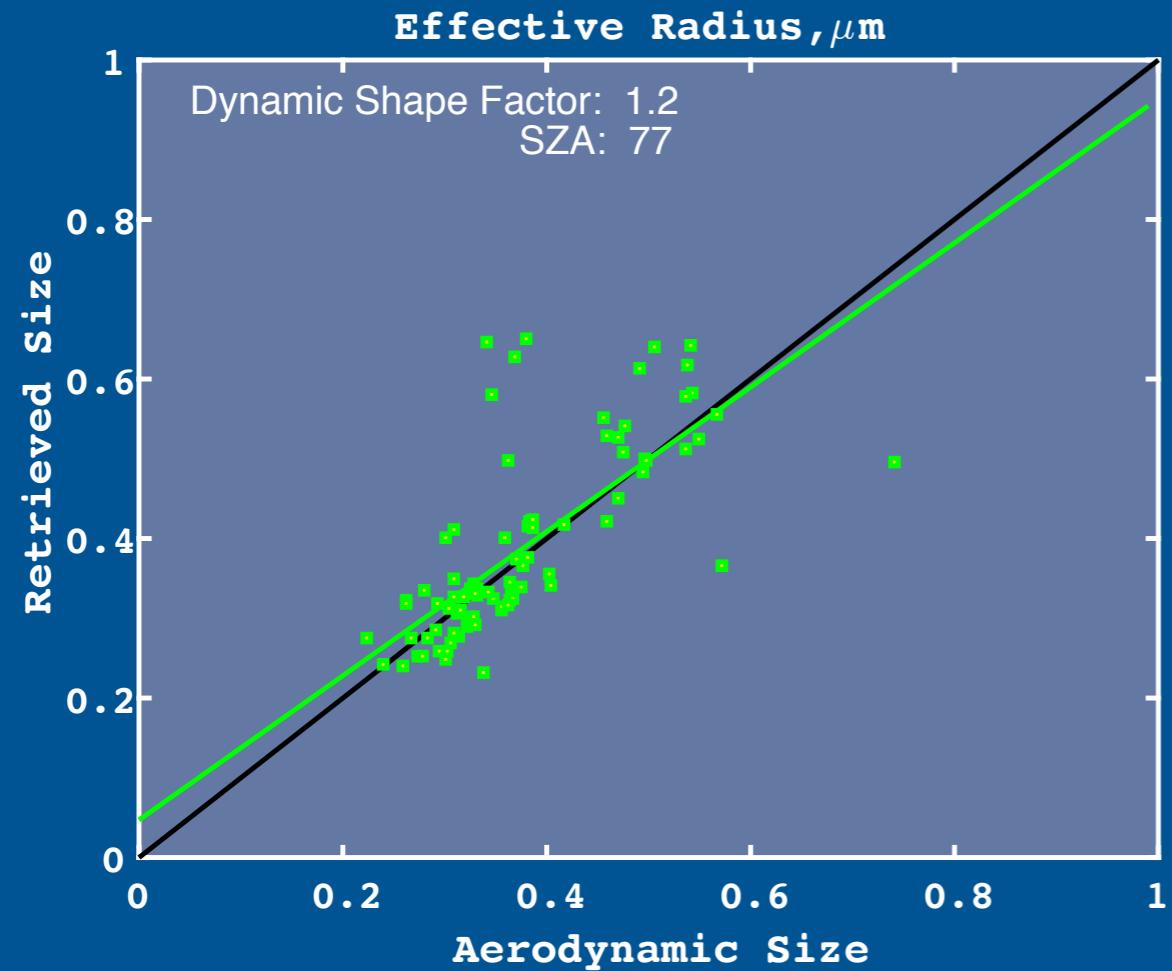




| | slope | intcpt | cc | Absolute Bias | Relative Bias | RMS | N | SZA | DSF |
|-------|--------|--------|--------|---------------|---------------|--------|----|-----|-----|
| R_eff | 0.9908 | 0.0471 | 0.7227 | 0.0440 | 1.1283 | 0.0898 | 92 | 77 | 1.0 |
| V_eff | 2.1260 | 0.0696 | 0.5126 | 0.1863 | 2.7979 | 0.2757 | 92 | 77 | 1.0 |



| | slope | intcpt | cc | Absolute Bias | Relative Bias | RMS | N | SZA | DSF |
|-------|--------|--------|--------|---------------|---------------|--------|----|-----|-----|
| R_eff | 0.9908 | 0.0471 | 0.7227 | 0.0440 | 1.1283 | 0.0898 | 92 | 77 | 1.0 |
| R_eff | 0.9044 | 0.0471 | 0.7227 | 0.0113 | 1.0300 | 0.0796 | 92 | 77 | 1.2 |
| V_eff | 2.1260 | 0.0696 | 0.5126 | 0.1863 | 2.7979 | 0.2757 | 92 | 77 | 1.0 |
| V_eff | 2.1260 | 0.0696 | 0.5126 | 0.1863 | 2.7979 | 0.2757 | 92 | 77 | 1.2 |



| | slope | intcpt | cc | Absolute Bias | Relative Bias | RMS | N | SZA | DSF |
|-------|--------|--------|--------|---------------|---------------|--------|----|-----|-----|
| R_eff | 0.9908 | 0.0471 | 0.7227 | 0.0440 | 1.1283 | 0.0898 | 92 | 77 | 1.0 |
| R_eff | 0.9044 | 0.0471 | 0.7227 | 0.0113 | 1.0300 | 0.0796 | 92 | 77 | 1.2 |
| R_eff | 0.8689 | 0.0471 | 0.7227 | -0.0041 | 0.9896 | 0.0794 | 92 | 77 | 1.3 |
| V_eff | 2.1260 | 0.0696 | 0.5126 | 0.1863 | 2.7979 | 0.2757 | 92 | 77 | 1.0 |
| V_eff | 2.1260 | 0.0696 | 0.5126 | 0.1863 | 2.7979 | 0.2757 | 92 | 77 | 1.2 |